



IAPD Report

CHRISTOPHER DANIEL CORRITORE

CRD# 2306471

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CHRISTOPHER DANIEL CORRITORE (CRD# 2306471)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/15/2023**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	06/15/2023
IA	OSAIC WEALTH, INC.	CRD# 23131	06/15/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **9** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	VANDERBILT ADVISORY SERVICES	116537	Brooklyn, NY	06/26/2017 - 06/15/2023
B	VANDERBILT SECURITIES, LLC	5953	BROOKLYN, NY	04/18/2003 - 06/15/2023
B	FSC SECURITIES CORPORATION	7461	ATLANTA, GA	03/30/2001 - 04/22/2003

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **9** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	06/15/2023
B	FINRA	Invest. Co and Variable Contracts	Approved	06/15/2023
B	Alabama	Agent	Approved	06/15/2023
B	California	Agent	Approved	06/15/2023
B	Florida	Agent	Approved	06/15/2023
B	New Jersey	Agent	Approved	06/15/2023
B	New Mexico	Agent	Approved	06/15/2023
B	New York	Agent	Approved	06/15/2023
IA	New York	Investment Adviser Representative	Approved	06/15/2023
B	Pennsylvania	Agent	Approved	06/15/2023
B	South Carolina	Agent	Approved	06/15/2023
B	Texas	Agent	Approved	08/15/2023

Branch Office Locations



Qualifications

OSAIC WEALTH, INC.
LONG BEACH, NY

OSAIC WEALTH, INC.
LONG BEACH, NY



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	11/15/1995
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/29/1993

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	12/15/1999
B Uniform Securities Agent State Law Examination (S63)	Series 63	02/01/1993



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/26/2017 - 06/15/2023	VANDERBILT ADVISORY SERVICES	CRD# 116537	Brooklyn, NY
B	04/18/2003 - 06/15/2023	VANDERBILT SECURITIES, LLC	CRD# 5953	BROOKLYN, NY
B	03/30/2001 - 04/22/2003	FSC SECURITIES CORPORATION	CRD# 7461	ATLANTA, GA
B	12/21/2000 - 03/30/2001	SECURITIES AMERICA, INC.	CRD# 10205	LAVISTA, NE
B	01/14/1999 - 01/12/2001	PRIME CAPITAL SERVICES, INC.	CRD# 18334	POUGHKEEPSIE, NY
B	03/19/1996 - 01/14/1999	ROYAL ALLIANCE ASSOCIATES, INC.	CRD# 23131	SCOTTSDALE, AZ
B	07/28/1995 - 03/22/1996	CHEMICAL INVESTMENT SERVICES CORP.	CRD# 36312	
B	08/23/1994 - 07/28/1995	ESSEX NATIONAL SECURITIES, INC.	CRD# 25454	NAPA, CA
B	03/07/1994 - 09/01/1994	GNA SECURITIES, INC.	CRD# 10465	GLEN ALLEN, VA
B	02/01/1993 - 03/09/1994	EQUICO SECURITIES, INC.	CRD# 6627	NEW YORK, NY
B	02/01/1993 - 03/09/1994	THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES	CRD# 4039	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2023 - Present	Royal Alliance	Reg Rep	Y	Islandia, NY, United States
04/2003 - 06/2023	VANDERBILT SECURITIES	REGISTERED REPRESENTATIVE	Y	WOODBURY, NY, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. -AMERITAX ADVISORS/TAX PRERP
-NON INVESTMENT RELATED
-7811 15TH AVE., BROOKLYN, NY 11228
-TAX PREPARATION
-TAX ADVISOR/OWNER
-1/1/2001 TO PRESENT
-40 HR/MO; 8 DURING TRADING
-TAX PREPARATION

2. ANNUITY DEPOT AGENCY -- Not Investment related. Start date: 10/01/2000. Address; 7811 15th Ave, Brooklyn New York, 11228. Title: Salesman. Duties: Annuity and Insurance sales. Time spent during regular hours: 5%.

3. RIDGE HEIGHTS MGMT. CO. - NON INV RELATED - VICE PRES. PROPERTY MANAGEMENT OF APARTMENT COMPLEX

4. CHRIS CORRITORE - LANDLORD FOR PERSONAL PROPERTY - ADDRESS: 435 EAST PENN ST LONG BEACH NY, 11561 OWNER - ONGOING, LANDLORD & BASIC MAINTENANCE - NON-INV RELATED - TIME SPENT DURING REGULAR BUSINESS HOURS IS 5%.

5. AMERITAX ADVISORS

POSITION: President NATURE: Tax Preperation INVESTMENT RELATED: No NUMBER OF HOURS: 75 SECURITIES TRADING HOURS: 50 START DATE: 01/15/2000
ADDRESS: 7811 15Th Ave, Brooklyn NY 11228, United States
DESCRIPTION: Preperation of Income Tax

6. CHRIS CORRITORE

POSITION: Agent NATURE: Insurance Agency INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 3 START DATE: 03/19/1993
ADDRESS: 7811 15th Ave, Brooklyn NY 11228, United States
DESCRIPTION: Insurance Agent

7. CHRIS CORRITORE

POSITION: owner NATURE: Rental Apartments INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 04/20/1992
ADDRESS: 435 east penn st, long beach NY 11561, United States
DESCRIPTION: Landlord

8. RIDGE HEIGHTS MANAGEMENT

POSITION: owner NATURE: Land Development INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 06/20/2012
ADDRESS: 435 east penn st, long beach NY 11561, United States
DESCRIPTION: Purchased lots to build house to sell

9. ANNUITY DEPOT

POSITION: agent NATURE: Insurance Agency INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 01/20/2003
ADDRESS: 125 froehlich farm road, Woodbury NY 11797, United States
DESCRIPTION: insurance agent



End of Report

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