



IAPD Report

GREGG ALAN JEHL

CRD# 2313084

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

GREGG ALAN JEHL (CRD# 2313084)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/27/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	06/21/2023
IA	LPL FINANCIAL LLC	CRD# 6413	06/21/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **25** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	134139	FORT WAYNE, IN	05/25/2005 - 06/29/2023
B	CAMBRIDGE INVESTMENT RESEARCH, INC.	39543	FORT WAYNE, IN	05/19/2005 - 06/29/2023
IA	RAYMOND JAMES FINANCIAL SERVICES	6694	FORT WAYNE, IN	07/05/2002 - 05/20/2005

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **25** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	06/21/2023
B	FINRA	General Securities Representative	Approved	06/21/2023
B	FINRA	General Securities Sales Supervisor	Approved	06/21/2023
B	FINRA	Municipal Securities Principal	Approved	06/21/2023
B	FINRA	Municipal Securities Representative	Approved	06/21/2023
B	Alabama	Agent	Approved	07/03/2023
B	Arizona	Agent	Approved	06/21/2023
B	Arkansas	Agent	Approved	06/21/2023
B	California	Agent	Approved	06/21/2023
B	District of Columbia	Agent	Approved	11/15/2023
B	Florida	Agent	Approved	06/21/2023
B	Georgia	Agent	Approved	07/05/2023
B	Idaho	Agent	Approved	07/03/2023



Qualifications

Regulator	Registration	Status	Date
B Illinois	Agent	Approved	06/30/2023
B Indiana	Agent	Approved	06/21/2023
IA Indiana	Investment Adviser Representative	Approved	06/21/2023
B Iowa	Agent	Approved	06/21/2023
B Kentucky	Agent	Approved	06/30/2023
B Maryland	Agent	Approved	06/20/2024
B Massachusetts	Agent	Approved	06/27/2025
B Michigan	Agent	Approved	06/21/2023
B Minnesota	Agent	Approved	06/29/2023
B Missouri	Agent	Approved	07/19/2023
B New Jersey	Agent	Approved	08/25/2023
B New York	Agent	Approved	06/21/2023
B North Carolina	Agent	Approved	09/03/2024
B Ohio	Agent	Approved	06/21/2023
B Tennessee	Agent	Approved	07/13/2023
B Texas	Agent	Approved	06/21/2023
IA Texas	Investment Adviser Representative	Restricted Approval	06/21/2023
B Virginia	Agent	Approved	06/21/2023



Qualifications

Regulator	Registration	Status	Date
B Washington	Agent	Approved	06/21/2023

Branch Office Locations

LPL FINANCIAL LLC
6209 Constitution Drive
Fort Wayne, IN 46804

LPL FINANCIAL LLC
6209 CONSTITUTION DRIVE
FORT WAYNE, IN 46804







Qualifications

PASSED INDUSTRY EXAMS






This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	05/11/2000
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	05/11/2000
 Municipal Securities Principal Examination (S53)	Series 53	10/20/1999
 General Securities Principal Examination (S24)	Series 24	05/26/1999

General Industry/Product Exams

Exam	Category	Date
 Municipal Securities Representative Examination (S52TO)	Series 52TO	06/21/2023
 Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Futures Managed Funds Examination (S31)	Series 31	12/11/2003
 General Securities Representative Examination (S7)	Series 7	02/09/1993

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	11/16/1999
 Uniform Securities Agent State Law Examination (S63)	Series 63	02/10/1993



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/25/2005 - 06/29/2023	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	CRD# 134139	FORT WAYNE, IN
B	05/19/2005 - 06/29/2023	CAMBRIDGE INVESTMENT RESEARCH, INC.	CRD# 39543	FORT WAYNE, IN
IA	07/05/2002 - 05/20/2005	RAYMOND JAMES FINANCIAL SERVICES	CRD# 6694	FORT WAYNE, IN
B	06/14/2002 - 05/20/2005	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	ST. PETERSBURG, FL
IA	03/26/2001 - 06/26/2002	WELLS FARGO INVESTMENTS, LLC	CRD# 10582	FORT WAYNE, IN
B	12/13/2000 - 06/26/2002	WELLS FARGO INVESTMENTS, LLC	CRD# 10582	SAN FRANCISCO, CA
B	12/16/1999 - 05/07/2001	WELLS FARGO SECURITIES INC.	CRD# 17438	SAN FRANCISCO, CA
B	12/14/2000 - 03/14/2001	WELLS FARGO BROKERAGE SERVICES, L.L.C.	CRD# 16100	MINNEAPOLIS, MN
B	09/18/1995 - 12/13/2000	WELLS FARGO BROKERAGE SERVICES, L.L.C.	CRD# 16100	MINNEAPOLIS, MN
B	02/11/1993 - 09/20/1995	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	MINNEAPOLIS, MN
B	02/11/1993 - 09/20/1995	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2023 - Present	LPL Financial LLC	Registered Representative	Y	Fort Wayne, IN, United States
05/2005 - Present	JEHL & KREILACH FINANCIAL MANAGEMENT	PARTNER	Y	FT. WAYNE, IN, United States
05/2005 - 06/2023	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC	IA REP	Y	FAIRFIELD, IA, United States
05/2005 - 06/2023	CAMBRIDGE INVESTMENT RESEARCH, INC	REG REP	Y	FAIRFIELD, IA, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2005 - 06/2023	CAMBRIDGE INVESTMENT RESEARCH, INC.	ASSOCIATED PERSON (NFA)	Y	FAIRFIELD, IA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) 05/24/2023 - Parkview Health Systems - Non-Profit Board Member - Finance Committee Member - IN 46835 - Non investment related - 2 Hours per month - Start Date: 12/02/2013.
- 2) 05/24/2023 - FW HOOSIERS PROPERTIES LLC - Business Entity For Tax/Investment Purposes Only - IN 46804 - Non investment related - 1 Hour per month - Start Date: 10/01/2018.
- 3) 05/24/2023 - JEHL & KREILACH FINANCIAL MANAGEMENT, LLC - DBA for LPL Business (entity for LPL business) - Investment related - At reported business location(s) - 160 Hours per month.
- 4) 05/24/2023 - Non-Variable Insurance - Selling: Life, Disability, LTC - IN 46804 - Investment related - 1 Hour per month - Start Date: 05/02/2005.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 4

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: WELLS FARGO INVESTMENTS, LLC.

Allegations: CLIENTS PURCHASED VARIABLE ANNUITIES IN MAY 2002. MR. DETTMER SUBSEQUENTLY RECEIVED A COPY OF THE ORIGINAL APPLICATION, WHICH HE CLAIMS WAS FORGED AS ORIGINAL INFORMATION WAS WHITED OUT ON THE APPLICATION AND THE CHANGES HAD NOT BEEN APPROVED BY HIM. THEY ALSO ALLEGED THAT THEY WERE TOLD THAT THE ANNUITIES WOULD PAY AT LEAST A 3% RETURN AND THAT THAT FIGURE WAS NOT ACCURATE. THEY REQUEST THAT THEIR PREMIUMS BE RETURNED, LESS WITHDRAWALS, AND THAT THEY BE PAID 3% INTERET ON THE PREMIUMS AND NOT BE CHARGED CDSC FEES UPON THE SALES OF THE ANNUITIES.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$15,019.00

Customer Complaint Information

Date Complaint Received: 12/29/2004

Complaint Pending? No

Status: Denied

Status Date: 03/04/2005

Settlement Amount: \$0.00



Individual Contribution Amount: \$0.00

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: WELLS FARGO INVESTMENTS, LLC

Allegations: CLIENTS PURCHASED VARIABLE ANNUITIES IN MAY 2002. MR. DETTMER SUBSEQUENTLY RECEIVED A COPY OF THE ORIGINAL APPLICATION, WHICH HE CLAIMS WAS FORGED AS ORIGINAL INFORMATION WAS WHITED OUT ON THE APPLICATION AND THE CHANGES HAD NOT BEEN APPROVED BY HIM. THEY ALSO ALLEGED THAT THEY WERE TOLD THAT THE ANNUITIES WOULD PAY AT LEAST A 3% RETURN AND THAT THAT FIGURE WAS NOT ACCURATE. THEY REQUEST THAT THEIR PREMIUMS BE RETURNED, LESS WITHDRAWALS, AND THAT THEY BE PAID 3% INTERET ON THE PREMIUMS AND NOT BE CHARGED CDSC FEES UPON THE SALES OF THE ANNUITIES.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$15,019.00

Customer Complaint Information

Date Complaint Received: 12/29/2004

Complaint Pending? No

Status: Denied

Status Date: 03/04/2005

Settlement Amount:

Individual Contribution Amount:

Disclosure 2 of 4

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: WELLS FARGO INVESTMENTS/WELLS FARGO SECURITIES

Allegations: CLIENTS ALLEGE THAT GREGG JEHL TOLD THEM THAT THEY COULD NOT LOSE MAONEY IN THEIR VARIABLE ANNUITY - THAT IT WAS GUARANTEED. VARIABLE ANNUITY WAS PURCHASED IN JANUARY 1998

Product Type: Annuity(ies) - Variable

Alleged Damages: \$37,000.00

Customer Complaint Information

Date Complaint Received: 12/09/2002

Complaint Pending? No

Status: Denied

Status Date: 02/11/2003

Settlement Amount:



Individual Contribution Amount:

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: WELLS FARGO INVESTMENTS/WELLS FARGO SECURITIES

Allegations: CLIENTS ALLEGE THAT GREGG JEHL TOLD THEM THAT THEY COULD NOT LOSE MOEN YIN THEIR VARIABLE ANNUITY - THAT IT WAS GUARANTEED. VARIABLE ANNUITY WAS PURCHASED IN JANUARY OF 1998.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$37,000.00

Customer Complaint Information

Date Complaint Received: 12/09/2002

Complaint Pending? No

Status: Denied

Status Date: 02/11/2003

Settlement Amount:

Individual Contribution Amount:

Broker Statement COMPLAINT NO LONGER REPORTABLE BECAUSE FILED MORE THAN 24 MONTHS AGO AND DID NOT RESULT IN A SETTLEMENT OF \$10,000 OR MORE. PLEASE ARCHIVE.

Disclosure 3 of 4

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: WELLS FARGO INVESTMENTS LLC

Allegations: CLIENT ALLEGES THAT THE MUTUAL FUND INVESTMENT RECOMMENDED BY GREGG JEHL WERE UNSUITABLE. MUTUAL FUNDS WERE PRUCHAED 4/15/02 AND 4/16/02

Product Type: Mutual Fund(s)

Alleged Damages: \$12,000.00

Customer Complaint Information

Date Complaint Received: 12/30/2002

Complaint Pending? No

Status: Denied

Status Date: 01/21/2003

Settlement Amount:

Individual Contribution Amount:



Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: WELLS FARGO INVESTMENTS LLC

Allegations: CLIENTS ALLEGE THAT MUTUAL FUND INVESTMENT RECOMMENDED BY GREGG JEHL WERE UNSUITABLE. MUTUAL FUNDS WERE PURCHASED 4/15/02 & 4/16/02.

Product Type: Mutual Fund(s)

Alleged Damages: \$12,000.00

Customer Complaint Information

Date Complaint Received: 12/30/2002

Complaint Pending? No

Status: Denied

Status Date: 01/23/2003

Settlement Amount:

Individual Contribution Amount:

Broker Statement THE CLAIM WAS DENIED BASED ON THE FACT THAT THE CLIENT'S INVESTMENTS WERE IN COMPLETE ALIGNMENT WITH THEIR STATED INVESTMENT POLICY AND RISK TOLERANCE.

Disclosure 4 of 4

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: WELLS FARGO INVESTMENT LLC

Allegations: CLIENT ALLEGES THAT THE NUTUAL FUND INVESTMENT RECOMMENDED BY GREGG JEHL WERE UNSUITABLE. MUTUAL FUNDS WERE PRUCHASED 4/8/02 AND 4/9/02

Product Type: Mutual Fund(s)

Alleged Damages: \$12,000.00

Customer Complaint Information

Date Complaint Received: 12/09/2002

Complaint Pending? No

Status: Denied

Status Date: 01/21/2003

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Individual



Employing firm when activities occurred which led to the complaint: WELLS FARGO INVESTMENT LLC

Allegations: CLIENT ALLEGES THAT THE MUTUAL FUND INVESTMENT RECOMMENDED BY GREGG JEHL WERE UNSUITABLE. MUTUTAL FUNDS WERE PURCHASED 4/8/02 & 4/9/02

Product Type: Mutual Fund(s)

Alleged Damages: \$12,000.00

Customer Complaint Information

Date Complaint Received: 12/09/2002

Complaint Pending? No

Status: Denied

Status Date: 01/21/2003

Settlement Amount:

Individual Contribution Amount:

Broker Statement THE CLAIM WAS DENIED BASED ON THE CLIENT'S INVESTMENT POLICY. CLIENT WAS RECOMMENDED INVESTMENTS THAT WERE IN COMPLETE ALIGNMENT WITH THEIR STATED GOALS AND RISK TOLERANCE.



End of Report

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