IAPD Report

CRAIG EDWARD DYSON

CRD# 2322415

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When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

CRAIG EDWARD DYSON (CRD# 2322415)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/28/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	TRUIST INVESTMENT SERVICES, INC.	CRD# 17499	12/24/2019
IA	TRUIST ADVISORY SERVICES, INC.	CRD# 283390	02/18/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **53** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	BB&T SECURITIES, LLC	142785	RICHMOND, VA	01/02/2013 - 02/17/2021
B	BB&T SECURITIES, LLC	142785	RICHMOND, VA	11/16/2012 - 02/17/2021
IA	SCOTT & STRINGFELLOW, LLC	6255	ALEXANDRIA, VA	03/08/2007 - 01/02/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Termination	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **53** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **TRUIST ADVISORY SERVICES, INC.**

Main Address: 303 PEACHTREE STREET
2ND FLOOR
ATLANTA, GA 30303

Firm ID#: 283390

	Regulator	Registration	Status	Date
IA	Rhode Island	Investment Adviser Representative	Approved	01/19/2023
IA	Texas	Investment Adviser Representative	Restricted Approval	10/07/2025
IA	Virginia	Investment Adviser Representative	Approved	02/18/2021

Branch Office Locations

TRUIST ADVISORY SERVICES, INC.
201 N UNION ST
STE 240
ALEXANDRIA, VA 22314

Employment 2 of 2

Firm Name: **TRUIST INVESTMENT SERVICES, INC.**

Main Address: 303 PEACHTREE STREET
2ND FLOOR
ATLANTA, GA 30303

Firm ID#: 17499

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	12/24/2019
B	FINRA	General Securities Sales Supervisor	Approved	12/24/2019
B	Alabama	Agent	Approved	05/23/2022

User Guidance

www.adviserinfo.sec.gov

Qualifications

	Regulator	Registration	Status	Date
B	Alaska	Agent	Approved	05/23/2022
B	Arizona	Agent	Approved	02/17/2021
B	Arkansas	Agent	Approved	08/26/2022
B	California	Agent	Approved	02/17/2021
B	Colorado	Agent	Approved	02/17/2021
B	Connecticut	Agent	Approved	02/17/2021
B	Delaware	Agent	Approved	02/17/2021
B	District of Columbia	Agent	Approved	02/17/2021
B	Florida	Agent	Approved	02/17/2021
B	Georgia	Agent	Approved	02/17/2021
B	Hawaii	Agent	Approved	02/17/2023
B	Idaho	Agent	Approved	05/23/2022
B	Illinois	Agent	Approved	02/17/2021
B	Indiana	Agent	Approved	07/25/2022
B	Iowa	Agent	Approved	05/23/2022
B	Kansas	Agent	Approved	05/23/2022
B	Kentucky	Agent	Approved	05/23/2022
B	Louisiana	Agent	Approved	05/23/2022
B	Maine	Agent	Approved	05/23/2022

Qualifications

	Regulator	Registration	Status	Date
B	Maryland	Agent	Approved	02/17/2021
B	Massachusetts	Agent	Approved	02/17/2021
B	Michigan	Agent	Approved	02/17/2021
B	Minnesota	Agent	Approved	05/24/2022
B	Mississippi	Agent	Approved	05/23/2022
B	Missouri	Agent	Approved	07/20/2022
B	Montana	Agent	Approved	05/23/2022
B	Nebraska	Agent	Approved	05/23/2022
B	Nevada	Agent	Approved	06/02/2022
B	New Hampshire	Agent	Approved	05/24/2022
B	New Jersey	Agent	Approved	02/17/2021
B	New Mexico	Agent	Approved	05/23/2022
B	New York	Agent	Approved	02/17/2021
B	North Carolina	Agent	Approved	02/17/2021
B	North Dakota	Agent	Approved	05/23/2022
B	Ohio	Agent	Approved	02/17/2021
B	Oklahoma	Agent	Approved	06/02/2022
B	Oregon	Agent	Approved	06/01/2022
B	Pennsylvania	Agent	Approved	02/17/2021

Qualifications

	Regulator	Registration	Status	Date
B	Puerto Rico	Agent	Approved	05/27/2022
B	Rhode Island	Agent	Approved	05/31/2022
B	South Carolina	Agent	Approved	02/17/2021
B	South Dakota	Agent	Approved	06/08/2022
B	Tennessee	Agent	Approved	02/17/2021
B	Texas	Agent	Approved	02/17/2021
B	Utah	Agent	Approved	05/23/2022
B	Vermont	Agent	Approved	05/20/2022
B	Virgin Islands	Agent	Approved	05/26/2022
B	Virginia	Agent	Approved	02/17/2021
B	Washington	Agent	Approved	04/20/2022
B	West Virginia	Agent	Approved	02/17/2021
B	Wisconsin	Agent	Approved	05/26/2022
B	Wyoming	Agent	Approved	05/23/2022

Branch Office Locations

SUNTRUST INVESTMENT SERVICES, INC.
201 N UNION ST
STE 240
ALEXANDRIA, VA 22314

SUNTRUST INVESTMENT SERVICES, INC.
ALEXANDRIA, VA

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	08/31/2007
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	08/07/2007

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Futures Managed Funds Examination (S31)	Series 31	12/01/2011
B	General Securities Representative Examination (S7)	Series 7	04/07/1993

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	05/07/1993
B	Uniform Securities Agent State Law Examination (S63)	Series 63	04/20/1993

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/02/2013 - 02/17/2021	BB&T SECURITIES, LLC	CRD# 142785	RICHMOND, VA
B	11/16/2012 - 02/17/2021	BB&T SECURITIES, LLC	CRD# 142785	RICHMOND, VA
IA	03/08/2007 - 01/02/2013	SCOTT & STRINGFELLOW, LLC	CRD# 6255	ALEXANDRIA, VA
B	03/02/2007 - 01/02/2013	SCOTT & STRINGFELLOW, LLC	CRD# 6255	ALEXANDRIA, VA
B	02/21/2006 - 03/08/2007	CITIGROUP GLOBAL MARKETS INC.	CRD# 7059	ALEXANDRIA, VA
IA	02/21/2006 - 03/08/2007	CITIGROUP GLOBAL MARKETS INC.	CRD# 7059	ALEXANDRIA, VA
IA	02/28/2002 - 02/21/2006	LEGG MASON WOOD WALKER INC	CRD# 6555	ALEXANDRIA, VA
B	01/15/2002 - 02/21/2006	LEGG MASON WOOD WALKER, INCORPORATED	CRD# 6555	BALTIMORE, MD
B	04/08/1993 - 01/23/2002	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2021 - Present	TRUIST ADVISORY SERVICES, INC.	ADVISOR	Y	ALEXANDRIA, VA, United States
02/2021 - Present	TRUIST INVESTMENT SERVICES, INC.	COMPLEX DIRECTOR - PRODUCING	Y	ALEXANDRIA, VA, United States
12/2019 - 02/2021	SUNTRUST BANK	COMPLEX DIRECTOR	N	ATLANTA, GA, United States
12/2019 - 02/2021	SUNTRUST INVESTMENT SERVICES, INC.	COMPLEX DIRECTOR	Y	ATLANTA, GA, United States
01/2013 - 02/2021	BB&T SECURITIES, LLC	MASS TRANSFER	Y	MCLEAN, VA, United States
03/2007 - 02/2021	SCOTT & STRINGFELLOW, INC	FINANCIAL ADVISOR	Y	ALEXANDRIA, VA, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Termination	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	MERRILL LYNCH, PIERCE, FENNER AND SMITH
Allegations:	THE CUSTOMER ALLEGES THAT SHE WAS NOT AWARE THAT SHE HAD A MARGIN ACCOUNT. THE COMPLAINT FURTHER ALELGES THAT THE FINANCIAL ADVISOR DID NOT FOLLOW HER INSTRUCTIONS TO PLACE A GOOD TILL CANCEL ORDER. THE COMPLAINT ALSO ALLEGES THAT THE SECURITIES RECOMMENDED WERE NOT SUITABLE.
Product Type:	Equity - OTC
Alleged Damages:	$56,000.00

Customer Complaint Information

Date Complaint Received:	03/24/2003
Complaint Pending?	No
Status:	Settled
Status Date:	04/20/2004
Settlement Amount:	$21,100.00
Individual Contribution Amount:	$0.00
Firm Statement	THIS MATTER WAS SETTLED AS A BUSINESS DECISION TO AVOID THE COSTS AND UNCERTAINTIES OF LITIGATION.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH

Allegations: CUSTOMER ALLEGES SHE WAS NOT AWARE THAT SHE HAD A MARGIN ACCOUNT. THE COMPLAINT FURTHER ALLEGES THAT THE FINANCIAL ADVISOR DID NOT FOLLOW HER INSTRUCTIONS TO PLACE A GOOD TILL CANCEL ORDER. THE COMPLAINT ALSO ALLEGES THAT THE SECURITIES RECOMMENDED WERE NOT SUITABLE.

Product Type: Equity-OTC

Alleged Damages: $56,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 03/24/2003

Complaint Pending? No

Status: Settled

Status Date: 04/20/2004

Settlement Amount: $21,100.00

Individual Contribution Amount: $0.00

Disclosure 2 of 3

Reporting Source: Regulator

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH PIERCE FENNER & SMITH, INC.

Allegations: BREACH OF CONTRACT, BREACH OF FIDUCIARY DUTY, UNSUITABILITY, UNAUTHORIZED TRADING

Product Type: Other

Other Product Type(s): STOCK

Alleged Damages: $800,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD - CASE #04-04860

Date Notice/Process Served: 07/09/2004

Arbitration Pending? No

Disposition: Award

Disposition Date:	10/10/2005
Disposition Detail:	RESPONDENT IS LIABLE FOR AND SHALL PAY TO CLAIMANT THE SUM OF $22,600 IN COMPENSATORY DAMAGES.

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
Allegations:	THE CLAIMANT ALLEGES THE FA MADE UNSUITABLE RECOMMENDATIONS AND INVESTMENTS. THE CLAIMANT ALSO ALLEGES THE FA FAILED TO DISCLOSE THE HIGH RISK NATURE OF STOCKS IN HIS PORTFOLIO. THE CLAIMANT ALLEGES THE FA ENGAGED IN UNAUTHORIZED TRADING AND AN IMPROPER USE OF A MARGIN ACCOUNT.
Product Type:	Equity - OTC
Alleged Damages:	$800,000.00

Customer Complaint Information

Date Complaint Received:	10/18/2002
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	08/10/2004
Settlement Amount:	
Individual Contribution Amount:	

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD DISPUTE RESOLUTION CASE NO. 04-04860
Date Notice/Process Served:	08/10/2004
Arbitration Pending?	No
Disposition:	Award to Customer
Disposition Date:	10/10/2005
Monetary Compensation Amount:	$226,000.00
Individual Contribution Amount:	$22,600.00

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
Allegations:	THE CLAIMANT ALLEGES THAT THE FA MADE UNSUITABLE RECOMMENDATIONS AND INVESTMENTS. THE CLAIMANT ALSO ALLEGES THAT FA FAILED TO DISCLOSE THE HIGH RISK NATURE OF STOCKS IN HIS PORTFOLIO. THE CLAIMANT ALLEGES THE FA ENGAGED IN

	UNAUTHORIZED TRADING AND AN IMPROPER USE OF MARGIN.
Product Type:	Equity-OTC
Alleged Damages:	$800,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	Detroit, MI
Docket/Case #:	04-04860
Filing date of arbitration/CFTC reparation or civil litigation:	08/10/2004

Customer Complaint Information

Date Complaint Received:	10/18/2002
Complaint Pending?	No
Status:	Settled
Status Date:	08/10/2004
Settlement Amount:	$226,000.00
Individual Contribution Amount:	$22,600.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA
Docket/Case #:	04-04860
Date Notice/Process Served:	08/10/2004
Arbitration Pending?	No
Disposition:	Award to Customer
Disposition Date:	10/10/2005
Monetary Compensation Amount:	$226,000.00
Individual Contribution Amount:	$22,600.00

Disclosure 3 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
Allegations:	CLAIMANTS ALLEGE UNSUITABLE RECOMMENDATIONS AND TRANSACTIONS, AS WELL AS UNSUITABLE USE OF MARGIN.

Product Type: Equity - OTC

Alleged Damages: $700,000.00

Customer Complaint Information

Date Complaint Received: 11/02/2001

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 03/07/2003

Settlement Amount: $0.00

Individual Contribution Amount: $0.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NYSE, INC.
DOCKET # 2003-0011494

Date Notice/Process Served: 03/07/2003

Arbitration Pending? No

Disposition: Settled

Disposition Date: 08/29/2006

Monetary Compensation Amount: $210,000.00

Individual Contribution Amount: $0.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH

Allegations: CLAIMANTS ALLEGE UNSUITABLE RECOMMENDATIONS AND TRANSACTIONS, AS WELL AS UNSUITABLE USE OF MARGIN.

Product Type: Equity-OTC

Alleged Damages: $700,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 11/02/2001

Complaint Pending? No

Status: Settled

Status Date: 03/07/2003

Settlement Amount: $210,000.00

Individual Contribution Amount:	$210,000.00

Arbitration Information

Date Notice/Process Served:	03/07/2003
Arbitration Pending?	No
Disposition:	Award to Customer
Disposition Date:	08/29/2006
Monetary Compensation Amount:	$210,000.00
Individual Contribution Amount:	$0.00
Broker Statement	THE FIRM HAS INITIATED AN INVESTIGATION INTO THE ALLEGATIONS SET FORTH BY THE CLAIMANTS IN THEIR STATEMENT OF CLAIM.

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Individual
Firm Name:	MERRILL LYNCH, PIERCE, FENNERAND SMITH INCORPORATED
Termination Type:	Discharged
Termination Date:	01/04/2002
Allegations:	MR. DYSON WAS TERMINATED ON JANUARY 4, 2002, AFTER THE FIRM LEARNED THAT HE HAS EXERCISED DISCRETION IN TWO OF HIS CLIENT'S ACCOUNTS. ACCORDING TO MR. DYSON THE TWO CLIENTS HAD GIVEN HIM ORAL AUTHORITY TO DO SO.
Product Type:	Equity-OTC

End of Report

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