



IAPD Report

GREG LAURENCE COBURN

CRD# 2349925

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

GREG LAURENCE COBURN (CRD# 2349925)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/06/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	07/19/2023
IA	LPL FINANCIAL LLC	CRD# 6413	07/19/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **9** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	BANCWEST INVESTMENT SERVICES, INC.	29357	WALNUT CREEK, CA	08/01/2016 - 07/19/2023
IA	BANCWEST INVESTMENT SERVICES, INC. (BWIS)	29357	WALNUT CREEK, CA	07/06/2016 - 07/19/2023
IA	TIAA-CREF ADVICE AND PLANNING SERVICES	20472	SAN FRANCISCO, CA	04/05/2007 - 10/15/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 9 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	07/19/2023
B	FINRA	General Securities Representative	Approved	07/19/2023
B	FINRA	Invest. Co and Variable Contracts	Approved	07/19/2023
B	FINRA	Municipal Fund	Approved	07/19/2023
B	California	Agent	Approved	07/19/2023
IA	California	Investment Adviser Representative	Approved	07/19/2023
B	Colorado	Agent	Approved	07/19/2023
IA	Colorado	Investment Adviser Representative	Approved	07/19/2023
B	Iowa	Agent	Approved	07/19/2023
IA	Iowa	Investment Adviser Representative	Approved	07/19/2023
B	Kansas	Agent	Approved	07/19/2023
IA	Kansas	Investment Adviser Representative	Approved	07/19/2023
B	Missouri	Agent	Approved	07/19/2023



Qualifications

Regulator	Registration	Status	Date
IA Missouri	Investment Adviser Representative	Approved	07/19/2023
B Nebraska	Agent	Approved	07/19/2023
IA Nebraska	Investment Adviser Representative	Approved	07/19/2023
B New Mexico	Agent	Approved	07/19/2023
IA New Mexico	Investment Adviser Representative	Approved	07/19/2023
B Oregon	Agent	Approved	04/19/2024
IA Oregon	Investment Adviser Representative	Approved	04/19/2024
B Washington	Agent	Approved	04/11/2024
IA Washington	Investment Adviser Representative	Approved	04/11/2024

Branch Office Locations

LPL FINANCIAL LLC
 1333 N. CALIFORNIA BLVD.
 SUITE SL10
 WALNUT CREEK, CA 94596

LPL FINANCIAL LLC
 1112 GALLERIA BLVD STE 170
 ROSEVILLE, CA 95678

LPL FINANCIAL LLC
 4001 MANZANITA AVE
 CARMICHAEL, CA 95608

LPL FINANCIAL LLC
 5120 MOWRY AVE
 SUITE SL10
 FREMONT, CA 94538

LPL FINANCIAL LLC
 701 SANTA CRUZ AVE
 SUITE SL10
 MENLO PARK, CA 94025

LPL FINANCIAL LLC
 416 SW 20TH STREET
 PENDLETON, OR 97801

LPL FINANCIAL LLC
 1250 PACIFIC AVE STE 100
 TACOMA, WA 98402

LPL FINANCIAL LLC
 905 NE HALSEY STREET
 PORTLAND, OR 97232

LPL FINANCIAL LLC
 120 E. 4TH STREET
 THE DALLES
 THE DALLES, OR 97058

LPL FINANCIAL LLC
 7525 W CANAL DR
 KENNEWICK, WA 99336

LPL FINANCIAL LLC
 1191 2ND AVE STE 120



Qualifications

SEATTLE, WA 98101

LPL FINANCIAL LLC
3525 W COURT ST
PASCO, WA 99301

LPL FINANCIAL LLC
2606 OCEAN AVE
SAN FRANCISCO, CA 94132

LPL FINANCIAL LLC
321 GELLERT BLVD
DALY CITY, CA 94015

LPL FINANCIAL LLC
801 CLEMENT ST
SAN FRANCISCO, CA 94118

LPL FINANCIAL LLC
149 PARK RD
BURLINGAME, CA 94010

LPL FINANCIAL LLC
3820 CAPITOLA RD
CAPITOLA, CA 95010

LPL FINANCIAL LLC
21 MORAGA WAY
ORINDA, CA 94563

LPL FINANCIAL LLC
2527 CAMINO RAMON
SAN RAMON, CA 94583

LPL FINANCIAL LLC
307 DIABLO RD
DANVILLE, CA 94526

LPL FINANCIAL LLC
77 N ALMADEN AVE
SAN JOSE, CA 95110

LPL FINANCIAL LLC
34 E GRIDLEY RD
GRIDLEY, CA 95948

LPL FINANCIAL LLC
890 N. 1ST ST
SAN JOSE, CA 95112

LPL FINANCIAL LLC
531 COWPER STREET
PALO ALTO, CA 94301

LPL FINANCIAL LLC
MARTINEZ, CA

LPL FINANCIAL LLC
186 MAIN ST
WOODLAND, CA 95695

LPL FINANCIAL LLC
195 E 4TH AVE
SAN MATEO, CA 94401

LPL FINANCIAL LLC
1540 ESPLANADE
CHICO, CA 95926

LPL FINANCIAL LLC
312 N RUBY ST
ELLENSBURG, WA 98926

LPL FINANCIAL LLC
1050 DEL MONTE CENTER
MONTEREY, CA 93940

LPL FINANCIAL LLC
2626 ORO DAM BLVD
OROVILLE, CA 95966

LPL FINANCIAL LLC
5452 SUNOL BLVD
PLEASANTON, CA 94566

LPL FINANCIAL LLC
7533 DUBLIN BLVD
DUBLIN, CA 94568

LPL FINANCIAL LLC
140 SUNSET DR
SAN RAMON, CA 94583

LPL FINANCIAL LLC
505 SUNSET DR
ONTARIO, OR 97914

LPL FINANCIAL LLC
239 WESTLAKE CENTER
DALY CITY, CA 94015

LPL FINANCIAL LLC
1313 GRANT AVE
NOVATO, CA 94945





Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 Municipal Fund Securities Principal Examination (S51)	Series 51	07/30/2004
 General Securities Principal Examination (S24)	Series 24	05/07/2004

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	10/19/1993
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/26/1993

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	07/11/2007
 Uniform Securities Agent State Law Examination (S63)	Series 63	05/26/1993

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities



Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	08/01/2016 - 07/19/2023	BANCWEST INVESTMENT SERVICES, INC.	CRD# 29357	WALNUT CREEK, CA
IA	07/06/2016 - 07/19/2023	BANCWEST INVESTMENT SERVICES, INC. (BWIS)	CRD# 29357	WALNUT CREEK, CA
IA	04/05/2007 - 10/15/2015	TIAA-CREF ADVICE AND PLANNING SERVICES	CRD# 20472	SAN FRANCISCO, CA
B	10/19/1994 - 10/15/2015	TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC	CRD# 20472	SAN FRANCISCO, CA
B	12/05/1994 - 12/31/1995	TEACHERS PERSONAL INVESTORS SERVICES, INC.	CRD# 36130	NEW YORK, NY
B	05/27/1993 - 05/02/1994	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	FORT WAYNE, IN
B	05/27/1993 - 05/02/1994	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	FORT WAYNE, IN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2023 - Present	LPL FINANCIAL LLC	FINANCIAL CONSULTANT	Y	WALNUT CREEK, CA, United States
06/2016 - Present	BANK OF THE WEST	PREMIER MARKET MANAGER	Y	SAN RAMON, CA, United States
06/2016 - Present	BancWest Investment Services	Premier Market Manager	Y	San Ramon, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 05/10/2023 - BMO Investment Services - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business)



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Criminal	1
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Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	NORTH BUTTE CO. MUNICIPAL COURT-CALIFORNIA CR32222
Charge Date:	11/20/1987
Charge Details:	THE ALLEGATIONS WERE THAT I PURCHASED A SWEATSHIRT FROM THE SCHOOL BOOKSTORE AT AN UNAUTHORIZED DISCOUNT
Felony?	No
Current Status:	Final
Status Date:	03/28/1988
Disposition Details:	I WAS CONVICTED OF 484PC MISDEMEANOR. I WAS FINED \$10.00 AND DID 3 DAYS COMMUNITY SERVICE
Broker Statement	I WAS CONVICTED OF 484PC ON MARCH 28, 1988 IN CHICO, CALIFORNIA WHILE ATTENDING COLLEGE. IT WAS A REGRETABLE INCIDENT AND WAS STUPID OF ME TO PURCHASE A SWEATSHIRT FROM THE BOOKSTORE AT AN UNAUTHORIZED DISCOUNT. I HAD NOT ANY PRIOR RECORD AND WAS ABLE TO FILE A "PETITION AND ORDER" ENABLING ME TO CHANGE MY PLEA TO "NOT GUILTY". IT WAS DISMISSED FROM MY RECORD UNDER PENAL CODE 1203.412203.4. I MISUNDERSTOOD THE LAW CONCERNING WHAT THAT ENTAILED. MY FAILURE TO DISCLOSE THIS INFORMATION WASN'T DONE WITH THE INTENT TO DECEIVE THE SEC OR NASD



End of Report

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