



IAPD Report

MICHAEL JACOB HASS

CRD# 2350422

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MICHAEL JACOB HASS (CRD# 2350422)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/24/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WOODBURY FINANCIAL SERVICES, INC.	421	TROY, MI	01/06/2011 - 01/19/2024
B	WOODBURY FINANCIAL SERVICES, INC.	421	TROY, MI	12/18/2002 - 01/19/2024
IA	WOODBURY FINANCIAL SERVICES, INC.	421	TROY, MI	04/10/2003 - 11/01/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	01/19/2024
B FINRA	General Securities Sales Supervisor	Approved	01/19/2024
B Arizona	Agent	Approved	01/19/2024
B California	Agent	Approved	01/19/2024
B Colorado	Agent	Approved	01/19/2024
B Florida	Agent	Approved	01/19/2024
IA Florida	Investment Adviser Representative	Approved	02/06/2024
B Kansas	Agent	Approved	01/19/2024
B Kentucky	Agent	Approved	03/27/2024
B Michigan	Agent	Approved	01/19/2024
IA Michigan	Investment Adviser Representative	Approved	01/19/2024
B Mississippi	Agent	Approved	04/24/2026
B Ohio	Agent	Approved	09/24/2024



Qualifications

Regulator	Registration	Status	Date
B Texas	Agent	Approved	01/19/2024
IA Texas	Investment Adviser Representative	Restricted Approval	01/19/2024
B Virginia	Agent	Approved	01/19/2024
B Wisconsin	Agent	Approved	01/19/2024

Branch Office Locations

OSAIC WEALTH, INC.
6151 Lake Osprey Drive
Executive Suites at Lakewood Ranch, Third Floor
Sarasota, FL 34240

OSAIC WEALTH, INC.
101 WEST BIG BEAVER ROAD
14TH FLOOR COLUMBIA CENTER
TROY, MI 48084

OSAIC WEALTH, INC.
ROCHESTER HILLS, MI






Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
 General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	05/26/1995

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	06/22/1993

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	04/08/2003
 Uniform Securities Agent State Law Examination (S63)	Series 63	05/20/1993

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/06/2011 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	TROY, MI
B	12/18/2002 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	TROY, MI
IA	04/10/2003 - 11/01/2004	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	TROY, MI
B	03/02/2001 - 01/07/2003	LINSCO/PRIVATE LEDGER CORP.	CRD# 6413	FORT MILL, SC
B	06/16/1997 - 03/05/2001	COMERICA SECURITIES	CRD# 17079	AUBURN HILLS, MI
B	12/17/1993 - 06/04/1997	OLDE DISCOUNT CORPORATION	CRD# 5979	DETROIT, MI
B	06/23/1993 - 12/10/1993	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	MINNEAPOLIS, MN
B	06/23/1993 - 12/10/1993	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	Sarasota, FL, United States
12/2002 - 01/2024	WOODBURY FINANCIAL SERVICES	REGISTERED REPRESENTATIVE	Y	TROY, MI, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. STELLAR FINANCIAL SERVICES; INVT REL; 101 WEST BIG BEAVER RD STE 1400, TROY, MI 48084; INSURANCE; INSURANCE AGENT; START 06/01/2006; 5HRS/MO; 5HRS/MO DURING TRADING; SELLING HEALTH INSURANCE, FIXED AND INDEXED ANNUITIES, AND LIFE INSURANCE.

2. MY NAME

POSITION: OWNER NATURE: RENTAL PROPERTY ON A HOME I OWN IN FLORIDA INVESTMENT RELATED: NO NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 03/10/2015 ADDRESS: 676 WOODFIELD WAY, ROCHESTER HILLS MI 48307



Registration & Employment History



OTHER BUSINESS ACTIVITIES

DESCRIPTION: I AM RENTING OUT A PROPERTY IN FORIDAUSING A MANAGEMENT COMPANY SO I WILL NOT BE REQUIRED TO DO ANYTHING AT ALL, THEY HANDLE ALL ACTIVITIES.

3. MAKING THE MOST OF SOCIAL SECURITY

POSITION: Speaker NATURE: Presenting Social Security workshops for informational purposes. They will be conducted at local community centers. INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 2 START DATE: 01/09/2017

ADDRESS: 101 West Big Beaver Rd, Suite 1400, Troy MI 48084

DESCRIPTION: Presenting Social Security workshops to local people in the area that are informational only. There will be no discussion of securities or advisory services at these workshops.



End of Report

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