



## IAPD Report

# LeeAnna Grace Kraus Glessing

CRD# 2381458

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### LeeAnna Grace Kraus Glessing (CRD# 2381458)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/05/2026**.

### CURRENT EMPLOYERS

This individual is not currently registered as an Investment Adviser Representative.

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and 0 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	J.P. MORGAN SECURITIES LLC	79	SEATTLE, WA	06/28/2018 - 12/31/2021
IA	CAPITAL ONE ADVISORS, LLC	136865	SEATTLE, WA	09/14/2012 - 02/17/2017
IA	SYMETRA INVESTMENT SERVICES, INC.	19061	BELLEVUE, WA	05/18/2001 - 12/31/2011

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications



### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **0** jurisdiction(s) and 0 SRO(s) through his or her employer(s).

#### Employment 1 of 3

Firm Name: **PETRIE PARTNERS SECURITIES, LLC**

Main Address: 1144 15TH STREET, SUITE 3900  
DENVER, CO 80202

Firm ID#: 131783

#### Branch Office Locations

Sammamish, WA

#### Employment 2 of 3

Firm Name: **BOSONIC SECURITIES**

Main Address: 3761 W BEARTOOTH LOOP  
SPEARFISH, SD 57783

Firm ID#: 317012

#### Branch Office Locations

Sammamish, WA

#### Employment 3 of 3

Firm Name: **CHA FINANCIAL ADVISORS**

Main Address: 1700 LINCOLN STREET, SUITE 3030  
DENVER, CO 80203

Firm ID#: 46726

#### Branch Office Locations

Sammamish, WA



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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No information reported.

#### State Securities Law Exams

Exam	Category	Date
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IA

Uniform Investment Adviser Law Examination (S65)

Series 65

12/14/1999



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/28/2018 - 12/31/2021	J.P. MORGAN SECURITIES LLC	CRD# 79	SEATTLE, WA
IA	09/14/2012 - 02/17/2017	CAPITAL ONE ADVISORS, LLC	CRD# 136865	SEATTLE, WA
IA	05/18/2001 - 12/31/2011	SYMETRA INVESTMENT SERVICES, INC.	CRD# 19061	BELLEVUE, WA

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	Petrie Partners Securities LLC	CCO, FinOp and Operations Professional	Y	Denver, CO, United States
09/2025 - Present	Bosonic Securities, LLC	Registered Principal	Y	Spearfish, SD, United States
11/2024 - Present	Glessing Consulting Services LLC (dba Glessing Consulting)	President, Member	Y	Sammamish, WA, United States
06/2024 - Present	Support Services Financial Advisors, Inc.	FinOp, Principal Financial Officer, Principal Operations Officer	Y	Denver, CO, United States
03/2024 - 11/2024	Bates Group LLC	Independent Consultant	Y	Portland, OR, United States
11/2023 - 11/2024	Arootah, LLC	Independent Consultant	Y	New York, NY, United States
11/2023 - 11/2024	Self Employed	Independent Contractor	Y	Sammamish, WA, United States
07/2023 - 11/2023	Unemployed	Unemployed	N	Sammamish, WA, United States
03/2023 - 07/2023	Silicon Valley Bank, a division of First Citizen's Bank	Investment and Securities Compliance Director - FLOD	Y	Raleigh, NC, United States
07/2022 - 03/2023	Silicon Valley Bank	Investment and Securities Compliance Director - FLOD	Y	Santa Clara, CA, United States
06/2018 - 07/2022	JP MORGAN CHASE BANK, N.A.	Compliance Manager	Y	Irvine, CA, United States



## Registration & Employment History



### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2018 - 07/2022	JP MORGAN SEUCURITIES, LLC	Compliance Manager	Y	Irvine, CA, United States
08/2017 - 01/2021	GLESSING CONSULTING, LLC	PARTIAL OWNER	N	SAMMAMISH, WA, United States
02/2017 - 06/2018	City University	Student	N	SEATTLE, WA, United States
02/2017 - 06/2018	Phoenix University	Student	N	SEATTLE, WA, United States
05/2013 - 02/2017	CAPITAL ONE Financial Corp	DIRECTOR OF COMPLIANCE	Y	SEATTLE, WA, United States
09/2012 - 02/2017	Capital One Advisors, LLC (f/k/a Sharebuilder 401k)	Director of Compliance	Y	Seattle, WA, United States
09/2012 - 02/2017	Capital One Investing, LLC (f/k/a Capital One Sharebuilder)	Director of Compliance	Y	Seattle, WA, United States



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Glessing Consulting Services, LLC dba Glessing Consulting, 21909 NE 24th Ct, Sammamish, WA 98074. Launched in 2024, the business provides compliance, financial operations, and project management services for primarily investment-related businesses. As President of the firm, I perform business operations, marketing, and accounting functions, in addition to providing client services, for ~160 hours/mo, with up to 100 hours/mo during securities trading hours.

Hadrius, 30 Wall St. Ste 812, New York, NY 10005. On April 16, 2024, I entered into a mutual referral arrangement with Hadrius. Hadrius is a software development firm creating automated compliance solutions for investment-related firms (e.g. robo-advisors, funding portals, broker-dealers, RIAs, etc.). I currently spend less than 5 hours per month receiving updates on product developments and speaking to prospective Hadrius clients, which may occur during trading hours.

Arbolus Network (the trading name of Collective iQ Group Ltd.), 27 Alwyne Road, London, N1 2HN. Periodically, I'm invited to consult on financial operation projects that are not investment-related. I may perform research and consult with project teams during trading hours, for less than 5 hours per month.



## End of Report

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