



IAPD Report

GREGORY WAYNE BOEHNE

CRD# 2394386

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

GREGORY WAYNE BOEHNE (CRD# 2394386)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/18/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	09/01/2023
IA	OSAIC WEALTH, INC.	CRD# 23131	09/01/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **26** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	SAGEPOINT FINANCIAL, INC.	133763	ROGERSVILLE, MO	10/31/2008 - 09/01/2023
IA	SAGEPOINT FINANCIAL, INC.	133763	ROGERSVILLE, MO	10/31/2008 - 09/01/2023
IA	AMERICAN GENERAL SECURITIES INCORPORATED	13626	ROGERSVILLE, MO	07/18/2007 - 10/31/2008

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **26** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	Corporate Securities Represent	Approved	09/01/2023
B	FINRA	Invest. Co and Variable Contracts	Approved	09/01/2023
B	FINRA	Investment Co./Variable Contracts Prin	Approved	09/01/2023
B	FINRA	Municipal Fund	Approved	09/01/2023
B	Alabama	Agent	Approved	09/01/2023
B	Arizona	Agent	Approved	09/01/2023
B	Arkansas	Agent	Approved	09/01/2023
IA	Arkansas	Investment Adviser Representative	Approved	09/01/2023
B	California	Agent	Approved	09/01/2023
B	Colorado	Agent	Approved	09/01/2023
IA	Colorado	Investment Adviser Representative	Approved	12/20/2024
B	Florida	Agent	Approved	09/01/2023
B	Georgia	Agent	Approved	09/01/2023



Qualifications

Regulator	Registration	Status	Date
B Illinois	Agent	Approved	09/01/2023
B Iowa	Agent	Approved	09/01/2023
B Kansas	Agent	Approved	09/01/2023
B Louisiana	Agent	Approved	09/01/2023
IA Louisiana	Investment Adviser Representative	Approved	09/01/2023
B Mississippi	Agent	Approved	09/01/2023
B Missouri	Agent	Approved	09/01/2023
IA Missouri	Investment Adviser Representative	Approved	09/01/2023
B Nebraska	Agent	Approved	09/01/2023
B Nevada	Agent	Approved	09/01/2023
B New Jersey	Agent	Approved	09/01/2023
B New Mexico	Agent	Approved	09/01/2023
B North Carolina	Agent	Approved	09/01/2023
B Oklahoma	Agent	Approved	09/01/2023
IA Oklahoma	Investment Adviser Representative	Approved	03/19/2024
B Pennsylvania	Agent	Approved	09/01/2023
B South Dakota	Agent	Approved	03/11/2026
B Tennessee	Agent	Approved	09/01/2023
B Texas	Agent	Approved	09/01/2023



Qualifications

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Restricted Approval	09/22/2025
B Utah	Agent	Approved	09/01/2023
B Virginia	Agent	Approved	09/01/2023
B Washington	Agent	Approved	02/02/2026

Branch Office Locations

OSAIC WEALTH, INC.
4940 SOUTH FARM ROAD 189
SUITE 500A
ROGERSVILLE, MO 65742





Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 Municipal Fund Securities Principal Examination (S51)	Series 51	01/02/2008
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	02/25/2000

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Corporate Securities Limited Representative Examination (S62)	Series 62	02/20/2006
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/30/1993

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	07/17/2007
 Uniform Securities Agent State Law Examination (S63)	Series 63	11/12/1993

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/31/2008 - 09/01/2023	SAGEPOINT FINANCIAL, INC.	CRD# 133763	ROGERSVILLE, MO
IA	10/31/2008 - 09/01/2023	SAGEPOINT FINANCIAL, INC.	CRD# 133763	ROGERSVILLE, MO
IA	07/18/2007 - 10/31/2008	AMERICAN GENERAL SECURITIES INCORPORATED	CRD# 13626	ROGERSVILLE, MO
B	10/01/2002 - 10/31/2008	AMERICAN GENERAL SECURITIES INCORPORATED	CRD# 13626	ROGERSVILLE, MO
IA	03/05/1999 - 12/31/2004	HEARTLAND FINANCIAL ADVISORS GROUP, INC.	CRD# 116114	ROGERSVILLE, MO
B	08/31/1993 - 10/01/2002	FRANKLIN FINANCIAL SERVICES CORPORATION	CRD# 5435	HOUSTON, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	ROGERSVILLE, MO, United States
04/2023 - Present	Precision Retirement Group, inc.	consultant	N	Chippewa Falls, WI, United States
10/2002 - Present	AIG AMERICAN GENERAL LIFE INSURANCE COMPANY	AGENT	Y	ROGERSVILLE, MO, United States
01/2009 - 09/2023	SAGEPOINT FINANCIAL, INC	REGISTERED REPRESENTATIVE	Y	ROGERSVILLE, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) ADVISOR FIN'L LLC YES;4940 S FARM RD 189 STE 400, ROGERSVILLE,MO 65742;COR; MARKETING CONSULTANT 9/01; SEC TRD HRS 5; MRKING SERVICE OF ADVISOR FUNDING TO THE PUBLIC.

2) BOEHNE FIN'L GROUP; YES;4940 S FARM RD 189 STE 500A,ROGERSVILLE,MO 65742; INS/CORP;OWNER/AGT;10/1996;SEC TRDNG HRS 7;HELPING CLIENTS W/PURCHASING FIXED LIFE INS. PRODUCTS.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

- 3) ADVISOR ASSURANCE LLC; NO;4940 S FARM RD 189 STE 500A,ROGERSVILLE,MO 65742;LLC OWNER;8/11/10;5 HRS/MO;5 HRS DT;OVERSITE OF P&C CO.
- 4) NOTARY PUBLIC;NO;SERVICE OFFERED FREE TO CLIENTS;NOTARY; 03/28/11;0 HRS;DO NOT TELL CLIENTS UNLESS ARE PROCESSING PPWK RELATED TO INS. NEEDS A NOTARY.
- 5) SUCCESSOR TTEE OF TERRY & DIANE REID'S REV LIV TRUST DTD 11-18-05:YES;#OF HRS:0SEC TRADING HRS:0 STARTED:10/30/15:2250 W.PEBBLE CRK DR, NIXA MO 65714:will BE THE TTEE OF REID'S TRUST AT THE 2ND DEATH.UNTIL THEN NO DUTIES. APPROVED BY THE EXECUTIVE CMTE TERRY & DIANE ARENT RELATED.
- 6) TTEE OF THE TERRY & DIANE REID MAP TRUST.YES # OF HRS:1 SEC TRDG HRS:0 12/21/15; ssy: ROGERSVILLE MO 65742:WILL SIGN DOCUMENTS, AT DIRECTION OF THE REIDS ATTY, ON BEHALF OF THE REID'S-IRREVOCABLE TRST.
- 7) TTEE OF THE RAYMA LEE MCGEE MAP TRUST DTD 6/20/17:TTEE OF MOTHER'S MAP TRST.YES; # OF HRS: 1 SEC TRADG HRS: 0 STD: 6/20/17;4940 S.FARM RD.189 STE 500A,ROGERSVILLE MO 65742: TTEE OF MOTHER'S TRST.SIGN DOCUMENTS
- 8) BOEHNE FINANCIAL GROUP, INC.OWNER:STATE REGISTERED CORP EST FOR MANAGING BIZ EXPENSES/COMMISSIONS:NO; # OF HRS:40 SEC TRDG HRS: 40 STARTED:10/1/96 4940 S.FARM RD.189STE.500A,ROGERSVILLE MO 65742;RUNNING BFG STATE REGISTERED CORP
- 9) MISSOURI 100; MEMBER; ADVISE & ASSIST PRES IN PROMOTING CRITICAL ROLE OF THE UOFMO SYS IN STATE'S FUTURE & REPUTATION. ITS DEDICATED MEMBERS STRONG ADVOCATES FOR PUBLIC POLICIES & PROGRAMS THAT PROMOTE THE UNI'S GOALS OF EXCELLENCE IN TEACHING, WORLD-CLASS RESEARCH, SERVICE to CITIZENS, & STIMULATION OF AN ENTREPRENEURIAL ECONOMY. INVEST: NO; #OF HRS:1 SEC TRADING HRS:0STARTED:11/1/18; 321 UNI HALL,COLUMBIA MO 65211: MEMBERS ATTEND MEETINGS 2X YEARLY RECEIVE UPDATES ON THE UOFMO SYSTEM
- 10) LANDORD POSITION: landlord NATURE: Collect rent/reimbursement . INVESTMENT RELATED: Yes # OF HRS:1 SECURITIES TRADING HRS:1 START DATE:1/1/21 ADDY: Rogersville MO 65742: Collect rent/reimbursement checks
- 11) UNI OF MO FLAGSHIP COUNCIL POS: Board MBR NATURE: Advocates for the Univ of Mo. INVESTMENT RELATED: No # OF HRS:1 SECURITIES TRADING HOURS: 0 START DATE: 6/14/21 ADDY: Columbia MO 65201;member of org COUNCIL FOR AGRICULTURAL RESEARCH, EXTENSION&TEACHING(CARET)POS: delegate representing the Univ of MO NATURE:NPO INVESTMENT RELATED: No # OF HRS: 1 SECURITIES TRADING HRS: 1 START DATE:1/1/23 ADDY:1220 L S NW Ste 1000 Washington DC 20005: The Council is a national grassroots org of volunteers seeks to enhance national support of the land-grant uni system's food & agricultural research, extension, teaching programs
- 12) PRECISION RETIREMENT GROUP, INC. POSITION: consultant NATURE: establish Medical Trusts(HRA's)&special pay plans for retiree's. Plans funded w/employer dollars only. Will nvr handle funds held in trust. Job is to introduce school HR depts to PRG to explain the benefits of HRA's and Special pay plans. INVESTMENT RELATED: No # OF HRS: 2 SECURITIES TRADING HRS: 2 START DATE: 4/18/23 ADDY: Chippewa Falls WI 54729 DESCRIP: Intro to SD business for officials to learn of the svcs offered by PRG
- 13) COUNCIL FOR AGRICULTURAL RESEARCH, EXTENSION, AND TEACHING (CARET) POSITION: delegate representing the University of Missouri NATURE: not for profit INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 1/1/23 ADDY: Washington, DC 20005 DESCRIPTION: Council for Agricultural Research, Extension, and Teaching org of volunteers



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	SagePoint Financial
Allegations:	The customer alleges the representative misrepresented the third party money manager leading her to believe that losses would be minimal.
Product Type:	Other: Managed Account
Alleged Damages:	\$60,618.54
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	04/27/2016
Complaint Pending?	No
Status:	Denied
Status Date:	06/15/2016

Settlement Amount:

Individual Contribution Amount:

Broker Statement I began working with the [customer's] in January 2012 as they were a POA for an



uncle, [third party]. I spoke with them several times a year, always discussing his investments. There were times during [third party's] ownership of the account that it declined in value due to market fluctuations and while that concerned them, they never chose to liquidate the investments. When [third party] passed, the [customer's] inherited the account. The investments they chose had securities that would face volatility. I showed them the 2008 negative returns and told them that the possibility existed for future negative returns. They communicated to me that they understood. In April 2016, when the account was down, they indicated that they had an issue with performance and filed a complaint despite the fact that they knew the account was subject to market volatility and the loss was not as great as the example provided from 2008. One third of the amount alleged as being a "loss" is actually monthly distributions to the [customer's].



End of Report

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