IAPD Report

BRIAN MICHAEL NEYLAND

CRD# 2399536

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

BRIAN MICHAEL NEYLAND (CRD# 2399536)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/20/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA INVESTMENT SERVICES LLC	CRD# 15340	11/15/2018
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	11/15/2018

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **40** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	SAN ANTONIO, TX	05/27/2008 - 12/13/2018
IA	LPL FINANCIAL LLC	6413	SAN ANTONIO, TX	05/27/2008 - 12/13/2018
B	IFMG SECURITIES, INC.	14416	PATCHOGUE, NY	07/31/2003 - 05/27/2008

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **40** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA INVESTMENT SERVICES LLC**

Main Address: 400 FIRST ST. S. SUITE 300
ST. CLOUD, MN 56301

Firm ID#: 15340

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	11/15/2018
B	FINRA	General Securities Representative	Approved	11/15/2018
B	FINRA	Invest. Co and Variable Contracts	Approved	11/15/2018
B	FINRA	Investment Co./Variable Contracts Prin	Approved	11/15/2018
B	Alabama	Agent	Approved	03/02/2024
B	Arizona	Agent	Approved	09/07/2022
B	Arkansas	Agent	Approved	03/02/2024
B	California	Agent	Approved	09/07/2022
B	Colorado	Agent	Approved	01/24/2020
B	Connecticut	Agent	Approved	03/02/2024
B	Delaware	Agent	Approved	03/02/2024
B	District of Columbia	Agent	Approved	03/02/2024
B	Florida	Agent	Approved	01/24/2020

Qualifications

	Regulator	Registration	Status	Date
B	Georgia	Agent	Approved	03/02/2024
B	Illinois	Agent	Approved	03/02/2024
B	Indiana	Agent	Approved	03/02/2024
B	Kansas	Agent	Approved	03/02/2024
B	Kentucky	Agent	Approved	03/02/2024
B	Louisiana	Agent	Approved	01/24/2020
B	Maine	Agent	Approved	09/07/2022
B	Maryland	Agent	Approved	09/07/2022
B	Massachusetts	Agent	Approved	01/24/2020
B	Michigan	Agent	Approved	03/02/2024
B	Minnesota	Agent	Approved	01/24/2020
B	Mississippi	Agent	Approved	03/02/2024
B	Missouri	Agent	Approved	03/02/2024
B	Nebraska	Agent	Approved	03/02/2024
B	Nevada	Agent	Approved	03/02/2024
B	New Hampshire	Agent	Approved	09/07/2022
B	New Jersey	Agent	Approved	01/24/2020
B	New Mexico	Agent	Approved	01/24/2020
B	New York	Agent	Approved	11/15/2018

Qualifications

	Regulator	Registration	Status	Date
B	North Carolina	Agent	Approved	01/24/2020
B	Ohio	Agent	Approved	03/04/2024
B	Oklahoma	Agent	Approved	11/15/2018
B	Pennsylvania	Agent	Approved	01/24/2020
B	Rhode Island	Agent	Approved	09/07/2022
B	South Carolina	Agent	Approved	03/02/2024
B	Tennessee	Agent	Approved	03/02/2024
B	Texas	Agent	Approved	11/15/2018
B	Vermont	Agent	Approved	03/02/2024
B	Virginia	Agent	Approved	09/07/2022
B	Washington	Agent	Approved	09/07/2022
B	Wyoming	Agent	Approved	01/24/2020

Branch Office Locations

CETERA INVESTMENT SERVICES LLC
SAN ANTONIO, TX

CETERA INVESTMENT SERVICES LLC
San Antonio, TX

CETERA INVESTMENT SERVICES LLC
2101 MCCULLOUGH AVE
SUITE 200
SAN ANTONIO, TX 78212

CETERA INVESTMENT SERVICES LLC
45 NE LOOP 410 STE 500
SAN ANTONIO, TX 78216

CETERA INVESTMENT SERVICES LLC
1816 W BUENA VISTA
HARLINGEN, TX 78550

CETERA INVESTMENT SERVICES LLC
18730 TUSCANY STONE 2102
SAN ANTONIO, TX 78258

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**

Qualifications

Main Address: 1450 AMERICAN LANE
6TH FLOOR, SUITE 650
SCHAUMBURG, IL 60173-2096

Firm ID#: 105644

	Regulator	Registration	Status	Date
IA	Texas	Investment Adviser Representative	Approved	11/15/2018

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC
3735 SUNSET CLIFF
SAN ANTONIO, TX 78261

CETERA INVESTMENT ADVISERS LLC
45 NE LOOP 410 STE 500
SAN ANTONIO, TX 78216

CETERA INVESTMENT ADVISERS LLC
SAN ANTONIO, TX

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	02/26/2004
B	Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	02/02/1999

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	12/05/2000
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/14/1993

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	06/01/1999
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/09/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/27/2008 - 12/13/2018	LPL FINANCIAL LLC	CRD# 6413	SAN ANTONIO, TX
IA	05/27/2008 - 12/13/2018	LPL FINANCIAL LLC	CRD# 6413	SAN ANTONIO, TX
B	07/31/2003 - 05/27/2008	IFMG SECURITIES, INC.	CRD# 14416	PATCHOGUE, NY
IA	07/31/2003 - 05/27/2008	IFMG SECURITIES, INC.	CRD# 14416	PATCHOGUE, NY
B	06/18/2003 - 07/24/2003	CITICORP INVESTMENT SERVICES	CRD# 23988	LONG ISLAND CITY, NY
IA	07/15/2002 - 07/08/2003	IFMG SECURITIES, INC.	CRD# 14416	BROOKLYN, NY
B	03/21/2001 - 07/08/2003	IFMG SECURITIES, INC.	CRD# 14416	PURCHASE, NY
B	09/17/1993 - 03/22/2001	PRUCO SECURITIES CORPORATION	CRD# 5685	NEWARK, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2018 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	SAN ANTONIO, TX, United States
11/2018 - Present	CETERA INVESTMENT SERVICES LLC	RELATIONSHIP MANAGER	Y	ST. CLOUD, MN, United States
05/2008 - 11/2018	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	SAN ANTONIO, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) 100% OWNER/PRESIDENT OF NEYLAND WEALTH MANAGEMENT (S CORP); PASS THROUGH COMPANY FOR

Registration & Employment History

OTHER BUSINESS ACTIVITIES

CETERA INVESTMENT SERVICES BUSINESS
2) 100% OWNER/PRESIDENT OF LONG ISLAND BUILDING SYSTEMS, INC, COMMERCIAL CONSTRUCTION CO., 4HRS WK, NOT INVESTMENT RELATED; NO SECURITIES CLIENTS"
3) OWNER OF WMA: RETIREMENT AND PRIVATE WEALTH; DBA FOR CETERA BUSINESS
4) OWNER OF RENTAL PROPERTY; PRESIDENT OF NY LAND CONSTRUCTION MANAGEMENT & DEVELOPMENT LLC; CO-OWNED WITH SPOUSE; OVERSEEING OPERATIONS; BUYING HOMES, LAND, AND PROPERTY.
5) CO-OWNER OF GROUP HEALTH SOLUTIONS; SALE OF GROUP HEALTH AND DISABILITY INSURANCE TO BUSINESS OWNERS; 2 HRS/WK.
6) OWNER, PARTNER, AND MEMBER OF WM ADVISORS; DBA FOR CETERA BUSINESS.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	PRUCO SECURITIES, LLC
Allegations:	REGARDING THE 1994 PURCHASE OF A VARIABLE APPRECIABLE LIFE INSURANCE POLICY, THE CLIENT ALLEGES THAT THE REPRESENTATIVE DID NOT FULLY DISCLOSE ALL THE FACTS REGARDING THE WITHDRAWAL FROM THE POLICY.
Product Type:	Insurance
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	NO DAMAGE AMOUNT IS ALLEGED
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	07/22/2013
Complaint Pending?	No
Status:	Denied
Status Date:	07/22/2013

Settlement Amount:	
Individual Contribution Amount:	
Firm Statement	THIS CONCERNS A POLICY INCLUDED IN THE CLASS OF POLICIES THAT WAS THE SUBJECT OF A NATIONWIDE CLASS ACTION SETTLEMENT IN WHICH PRUDENTIAL, ITS INSURANCE AFFILIATES AND PERSONNEL WERE RELEASED CONCERNING LIFE INSURANCE SALES PRACTICES. THE CLASS ACTION SETTLEMENT REMEDIATION PROCESSES FOR ADDRESSING CLAIMS FOR POLICIES INCLUDED IN THE CLASS HAVE CONCLUDED. BECAUSE THE COMPANY AND THE REPRESENTATIVE(S) WERE RELEASED FROM ANY FURTHER LIABILITY OR OBLIGATION WITH RESPECT TO CLAIMS LIKE THOSE MADE BY THE POLICYHOLDER, THE COMPANY IS NOT REVIEWING THIS INQUIRY AND IS MAKING NO FINDING OR FURTHER FILING REGARDING THIS INQUIRY.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	PRUCO SECURITIES, LLC
Allegations:	REGARDING THE 1994 PURCHASE OF A VARIABLE APPRECIABLE LIFE INSURANCE POLICY, THE CLIENT ALLEGES THAT THE REPRESENTATIVE DID NOT FULLY DISCLOSE ALL THE FACTS REGARDING THE WITHDRAWAL FROM THE POLICY.
Product Type:	Insurance
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	NO DAMAGE AMOUNT IS ALLEGED.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	07/22/2013
Complaint Pending?	No
Status:	Denied
Status Date:	07/22/2013
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	THIS CONCERNS A POLICY INCLUDED IN THE CLASS OF POLICIES THAT WAS THE SUBJECT OF A NATIONWIDE CLASS ACTION SETTLEMENT IN WHICH PRUDENTIAL, ITS INSURANCE AFFILIATES AND PERSONNEL WERE RELEASED CONCERNING LIFE INSURANCE PRACTICES. THE CLASS ACTION SETTLEMENT REMEDIATION PROCESSES FOR ADDRESSING CLAIMS FOR POLICIES INCLUDED IN THE CLASS HAVE CONCLUDED.

BECAUSE THE COMPANY AND THE REPRESENTATIVE(S) WERE RELEASED FROM ANY FURTHER LIABILITY OR OBLIGATION WITH RESPECT TO CLAIMS LIKE THOSE MADE BY THE POLICYHOLDER, THE COMPANY IS NOT REVIEWING THIS INQUIRY AND IS MAKING NO FINDING OR FURTHER FILING REGARDING THIS INQUIRY.

End of Report

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