



IAPD Report

William C Parr

CRD# 2453705

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

William C Parr (CRD# 2453705)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/08/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	05/26/1994
IA	EDWARD JONES	CRD# 250	03/05/2007

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **27** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **27** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**
Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131
Firm ID#: 250

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	05/26/1994
B	NYSE American LLC	General Securities Representative	Approved	09/13/2011
B	Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
B	New York Stock Exchange	General Securities Representative	Approved	05/26/1994
B	Alabama	Agent	Approved	12/06/2005
B	Arizona	Agent	Approved	03/06/2002
B	Arkansas	Agent	Approved	09/08/1999
B	California	Agent	Approved	01/02/2020
B	Colorado	Agent	Approved	06/17/2020
B	Florida	Agent	Approved	03/25/1999
B	Georgia	Agent	Approved	06/17/2002
B	Illinois	Agent	Approved	06/29/2005
B	Indiana	Agent	Approved	01/02/2020



Qualifications

	Regulator	Registration	Status	Date
B	Kentucky	Agent	Approved	04/17/1995
B	Louisiana	Agent	Approved	07/21/2009
B	Michigan	Agent	Approved	12/08/2009
B	Minnesota	Agent	Approved	09/15/2015
B	Mississippi	Agent	Approved	01/31/2007
B	Missouri	Agent	Approved	06/27/2000
B	New Mexico	Agent	Approved	12/19/2018
B	New York	Agent	Approved	04/27/2016
B	North Carolina	Agent	Approved	09/16/2011
B	Ohio	Agent	Approved	02/27/2004
B	Oregon	Agent	Approved	10/29/2024
B	Pennsylvania	Agent	Approved	01/23/2020
B	South Carolina	Agent	Approved	11/14/2016
B	Tennessee	Agent	Approved	08/08/1994
IA	Tennessee	Investment Adviser Representative	Approved	03/05/2007
B	Texas	Agent	Approved	01/30/2009
IA	Texas	Investment Adviser Representative	Restricted Approval	06/12/2015
B	Virginia	Agent	Approved	09/21/2017



Qualifications

Regulator	Registration	Status	Date
B Wisconsin	Agent	Approved	05/30/2012
B Wyoming	Agent	Approved	01/05/2004

Branch Office Locations

EDWARD JONES
5007 S FIRST ST
MILAN, TN 38358

EDWARD JONES
Milan, TN



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	05/25/1994

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	02/23/2007
B Uniform Securities Agent State Law Examination (S63)	Series 63	05/27/1994



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/1994 - Present	EDWARD D. JONES & CO., L.P.	NOT PROVIDED	Y	MILAN, TN, United States
01/1994 - Present	EDWARD D. JONES & CO.	OTHER - B.O.S.	N	MARYLAND HTS., MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

PARR & PANNELL TREE FARM
NON-INCOME PRODUCING FARM
GENERAL PARTNER

*

"Commercial Rental Property
Type of business: Check cashing
Milan, TN

Start date: 2/2/2015

owner

Hours per week: 0

Hours during trading: 0

I own the property and hire out required maintenance.

*

MEGA
MILAN, TN
Start date: 3/1/2012

board member

Hours per week: 0

Hours during trading: 0

helping decided appropriate investments

*

INDUSTRIAL DEVELOPMENT BOARD - NOT INVESTMENT RELATED
POSITION: SECRETARY
ZERO HOURS DEVOTED DURING TRADING HOURS
DUTIES: BUSINESS RECRUITMENT

"Rental Property



Registration & Employment History



OTHER BUSINESS ACTIVITIES

Rental
Milan, TN
Start date: 10/21/2025
owner
Hours per week: 1
Hours during trading: 1
I purchased property through real estate."
*

Rental Property
Milan, TN
Start date: 11/7/2014
Owner
Hours per week: 1
Hours during trading: 1
All upkeep and maintenance is hired out.
*

Rental Property
Milan, TN
Start date: 2/2/2015
Owner
Hours per week: 1
Hours during trading: 1
I hire out required maintenance.
*

St Matthew catholic church
MILAN, TN
Start date: 3/1/2011
Parrish council
Hours per week: 0
Hours during trading: 0
church finances
*

Commercial Rental Property
Type of business: Check cashing
Milan, TN
Start date: 2/2/2015
owner
Hours per week: 1
Hours during trading: 0
I own the property and hire out required maintenance.
*

rental
Type of business: rental property
Milan, TN
Start date: 8/11/2004
owner
Hours per week: 1
Hours during trading: 1
All upkeep and maintenance is hired out.
*



Registration & Employment History



OTHER BUSINESS ACTIVITIES

"Rental Property

Milan, TN

Start date: 8/11/2004

owner

Hours per week: 1

Hours during trading: 1

*

"Rental Property

Milan, TN

Start date: 8/11/2004

Owner

Hours per week: 1

Hours during trading: 1

*

"Rental Property

Milan, TN

Start date: 8/11/2004

Owner

Hours per week: 1

Hours during trading: 1

*

rental

Starkville, MS

Start date: 7/19/2019

owner

Hours per week: 1

Hours during trading: 0

No responsibilities.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EDWARD JONES

Allegations: THE ATTORNEY FOR THE CLIENTS INDICATED THAT THERE WAS A MANULIFE VARIABLE ANNUITY CONTRACT THAT WAS SURRENDERED IN 2002, AND THE CLIENTS WERE NOT ADVISED OF THE TAX IMPLICATIONS OF THIS SURRENDER. AS A RESULT, CLIENTS ALLEGE THEY INCURRED TAXES OF \$44,357 FOR THE TAX YEAR 2002, ON INVESTMENTS THAT COULD HAVE REMAINED TAX-DEFERRED. IN ADDITION, THE ATTORNEY ADVISED THAT THE CLIENTS LOST THE GUARANTEED DEATH BENEFIT ASSOCIATED WITH THE ANNUITY CONTRACT WHEN THE IR SURRENDERED THE MANULIFE CONTRACT AND INVESTED THE PROCEEDS INTO CORPORATE BONDS AND MORTGAGE-BACKED INVESTMENTS. THE ATTORNEY FOR THE CLIENTS ALLEGED THAT THEY DID NOT AUTHORIZE THESE TRANSACTIONS AND AS A RESULT ALSO HAD TO PAY THE ADDITIONAL COMMISSIONS ASSOCIATED WITH THE NEW BOND PURCHASES.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$44,357.00

Customer Complaint Information

Date Complaint Received: 11/10/2003

Complaint Pending? No

Status: Denied

Status Date: 12/17/2003



Settlement Amount:

**Individual Contribution
Amount:**

Broker Statement

IR STATED THAT THE CLIENT WAS CONCERNED ABOUT THE CONTINUING DECLINE OF HIS MANULIFE ANNUITY AND EXPRESSED AN INTEREST IN MOVING THESE FUNDS INTO FIXED INVESTMENTS TO ATTAIN A HIGHER INCOME. IR PREVIOUSLY SPOKE TO CLIENT REGARDING THE DEATH BENEFIT ASSOCIATED WITH THIS ANNUITY AND ENCOURAGED CLIENT TO STAY WITH THE INVESTMENT. HOWEVER, WHEN CLIENT STATED THAT HE WANTED TO MOVE THESE FUNDS INTO FIXED RATE BONDS, IR INDICATED THAT THEY SHOULD SEEK OUT BONDS WITH THE ESTATE FEATURE. WHEN THE CLIENT'S ACCOUNT STATEMENT FROM MANULIFE WAS REVIEWED, IT SHOWED A COST BASIS OF \$241,000 AND THE MARKET VALUE AT THAT TIME WAS \$230,000, SUGGESTING A MARKET LOSS OF \$11,000. IR REVIEWED THE SALE OF THE ANNUITY AND PURCHASE OF THE BONDS WITH CLIENT, CLIENT'S SON-IN-LAW AND CLIENT'S CPA. ACCORDING TO THE IR, THE CPA AGREED THAT IF THE INFORMATION THAT WAS PROVIDED WAS CORRECT, THERE WOULD BE A LOSS ON THIS SALE. SINCE OUR INVESTMENT REPRESENTATIVES DO NOT OFFER TAX ADVICE, THE CLIENT OR HIS CPA COULD HAVE CONTACTED MANULIFE IF THEY WERE CONCERNED ABOUT THE TAXES ASSOCIATED WITH THIS SALE. THE IR ADVISED THAT ALL OF THE FEATURES OF THESE BONDS WERE REVIEWED AND THEY MET THE CLIENT'S INVESTMENT OBJECTIVE OF HIGHER INCOME. THE REQUEST FOR REIMBURSEMENT WAS DENIED.



End of Report

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