



IAPD Report

TRENT EUGENE MEEWES

CRD# 2459750

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

TRENT EUGENE MEEWES (CRD# 2459750)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/03/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	TALLAHASSEE FINANCIAL ADVISORS, INC.	CRD# 169204	01/27/2014
B	ARKADIOS CAPITAL	CRD# 282710	02/06/2023
IA	SEVEN HILLS FINANCIAL, LLC	CRD# 143190	03/07/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	TRIAD ADVISORS LLC	25803	TALLAHASSEE, FL	06/30/2010 - 02/13/2023
B	FSC SECURITIES CORPORATION	7461	TALLAHASSEE, FL	03/09/1994 - 06/30/2010
B	NATIONWIDE INVESTMENT SERVICES CORPORATION	7110	COLUMBUS, OH	11/21/1994 - 01/23/2001

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1



Report Summary



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **11** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 3

Firm Name: **SEVEN HILLS FINANCIAL, LLC**
Main Address: 410 EAST 6TH AVENUE
TALLAHASSEE, FL 32303
Firm ID#: 143190

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	03/07/2025

Branch Office Locations

SEVEN HILLS FINANCIAL, LLC
410 EAST 6TH AVENUE
TALLAHASSEE, FL 32303

Employment 2 of 3

Firm Name: **ARKADIOS CAPITAL**
Main Address: 2827 PEACHTREE RD NE, SUITE 510
ATLANTA, GA 30305
Firm ID#: 282710

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	02/06/2023
B FINRA	General Securities Representative	Approved	02/06/2023
B FINRA	Operations Professional	Approved	02/06/2023
B Alabama	Agent	Approved	02/14/2023
B Arkansas	Agent	Approved	02/27/2023
B California	Agent	Approved	02/06/2023



Qualifications

Regulator	Registration	Status	Date
B Florida	Agent	Approved	02/13/2023
B Georgia	Agent	Approved	02/06/2023
B Maryland	Agent	Approved	02/06/2023
B New York	Agent	Approved	02/06/2023
B North Carolina	Agent	Approved	02/14/2023
B South Carolina	Agent	Approved	02/06/2023
B Texas	Agent	Approved	02/06/2023
B Virginia	Agent	Approved	02/18/2023

Branch Office Locations

3015 SHANNON LAKES NORTH #304
Tallahassee, FL 32309

Employment 3 of 3

Firm Name: **TALLAHASSEE FINANCIAL ADVISORS, INC.**
Main Address: 3015 SHANNON LAKES NORTH
#304
TALLAHASSEE, FL 32309
Firm ID#: 169204

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	01/27/2014

Branch Office Locations

TALLAHASSEE FINANCIAL ADVISORS, INC.
3015 SHANNON LAKES NORTH
#304
TALLAHASSEE, FL 32309



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Principal Examination (S24)	Series 24	05/28/1996

General Industry/Product Exams

Exam	Category	Date
Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	03/04/1994

State Securities Law Exams

Exam	Category	Date
Uniform Investment Adviser Law Examination (S65)	Series 65	06/14/1999
Uniform Securities Agent State Law Examination (S63)	Series 63	11/27/1996

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	06/30/2010 - 02/13/2023	TRIAD ADVISORS LLC	CRD# 25803	TALLAHASSEE, FL
B	03/09/1994 - 06/30/2010	FSC SECURITIES CORPORATION	CRD# 7461	TALLAHASSEE, FL
B	11/21/1994 - 01/23/2001	NATIONWIDE INVESTMENT SERVICES CORPORATION	CRD# 7110	COLUMBUS, OH

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2025 - Present	Seven Hills Financial, LLC	Investment Advisor Representative	Y	Tallahassee, FL, United States
01/2023 - Present	Arkadios Capital	Registered Representative	Y	Atlanta, GA, United States
11/2013 - Present	TALLAHASSEE FINANCIAL ADVISORS, INC.	OWNER	Y	TALLAHASSEE, FL, United States
06/2010 - 02/2023	TRIAD ADVISORS, INC.	REGISTERED REPRESENTATIVE	Y	NORCROSS, GA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1-TRENT MEEWES; INVESTMENT RELATED; TALLAHASSEE, FL; INSURANCE, FIXED ANNUITY SALES; AGENT; 1994; 20 HRS/MONTH; 1 HR/DAY; COMPENSATION-COMMISSION; SALES OF LIFE, LTC, & FIXED ANNUITIES.
- 2-TRIAD ADVISORS, INC.; INVESTMENT RELATED; TALLAHASSEE, FL; BD/IA; REGISTERED REPRESENTATIVE; 06/2010 TO PRES; 50% OF HIS TIME.
- 3)TALLAHASSEE FINANCIAL ADVISORS;INVESTMENT RELATED;3015 SHANNON LAKES NORTH,#304,TALLAHASSEE,FL 32309;REGISTERED INVESTMENT ADVISOR;PRESIDENT;11/08/2013;80 HRS/MONTH;4 HRS DURING TRADING;MANAGE PORFOLIOS OF NO-LOAD MUTUAL FUNDS



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	Florida Office of Financial Regulation
Sanction(s) Sought:	Cease and Desist
Date Initiated:	07/22/2015
Docket/Case Number:	0371a-S-7/13
URL for Regulatory Action:	
Employing firm when activity occurred which led to the regulatory action:	Triad Advisors, Inc.
Product Type:	No Product
Allegations:	N/A
Current Status:	Final
Resolution:	Order
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	07/22/2015
Sanctions Ordered:	Cease and Desist



Civil and Administrative Penalty(ies)/Fine(s)

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$2,500.00

Portion Levied against individual: \$2,500.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 07/22/2015

Was any portion of penalty waived? No

Amount Waived:

Regulator Statement On July 22, 2015, the Office of Financial Regulation entered a Final Order adopting the Stipulation and Consent Agreement in the matter of Trent Eugene Meewes. Mr. Meewes neither admitted nor denied the findings but consented to the entry of findings by the Office. The Office found that Mr. Mewes failed to timely update his Form U-4 and disclose his outside business activity. Mr. Meewes agreed to pay an administrative fine of \$2,500.

Reporting Source: Individual

Regulatory Action Initiated By: STATE OF FLORIDA - OFFICE OF FINANCIAL REGULATION

Sanction(s) Sought: Civil and Administrative Penalty(ies)/Fine(s)

Date Initiated: 07/22/2015

Docket/Case Number: OFR 2015-277

Employing firm when activity occurred which led to the regulatory action: TRIAD ADVISORS, INC

Product Type: No Product

Allegations: VIOLATION OF RULE 69W-600.014(1), FLORIDA ADMINISTRATIVE CODE, AND SEC RULES 17A-3 AND 17A-4 IN THAT: THE FLORIDA BRANCH FAILED TO MAINTAIN A CHECKS RECEIVED BLOTTER, TRIAD FAILED TO MAINTAIN A COPY OF THE APPROVAL OF THE BRANCH'S STATIONARY; TRIAD FAILED TO MAINTAIN A RECORD OF ANY ADDITIONAL REVIEW OF THE REPRESENTATIVE'S OBA.

Current Status: Final

Resolution: Order

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 07/22/2015



Sanctions Ordered: Civil and Administrative Penalty(ies)/Fine(s)

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$10,000.00

Portion Levied against individual: \$2,500.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 07/10/2015

Was any portion of penalty waived? No

Amount Waived:



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	FSC SECURITIES CORPORATION
Allegations:	CLAIM ALLEGES REPRESENTATIVE MISREPRESENTED TO THE CUSTOMER THAT THE DEATH BENEFIT IN A VARIABLE ANNUITY WAS GUARANTEED WHEN IT ACTUALLY WAS NOT.
Product Type:	Annuity(ies) - Variable
Alleged Damages:	\$91,028.00

Customer Complaint Information

Date Complaint Received:	07/21/2003
Complaint Pending?	No
Status:	Settled
Status Date:	11/23/2004
Settlement Amount:	\$27,500.00
Individual Contribution Amount:	\$8,750.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD CASE NO. 03-04496
Date Notice/Process Served:	07/21/2003
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	11/23/2004
Monetary Compensation Amount:	\$27,500.00
Individual Contribution Amount:	\$8,750.00



End of Report

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