



IAPD Report

CURTIS MARTIN HILL

CRD# 2462110

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CURTIS MARTIN HILL (CRD# 2462110)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/27/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	PORTFOLIO MEDICS, LLC	CRD# 145958	08/01/2019

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **6** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SECURITIES AMERICA ADVISORS, INC.	110518	LOS ANGELES, CA	04/07/2016 - 08/01/2019
B	SECURITIES AMERICA, INC.	10205	LOS ANGELES, CA	04/07/2016 - 08/01/2019
B	CETERA ADVISORS LLC	10299	ENCINO, CA	12/13/2013 - 04/11/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **6** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **PORTFOLIO MEDICS, LLC**
Main Address: 27499 RIVERVIEW CENTER BLVD
SUITE 406
BONITA SPRINGS, FL 34134
Firm ID#: 145958

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	08/01/2019
IA Florida	Investment Adviser Representative	Approved	09/30/2021
IA North Carolina	Investment Adviser Representative	Approved	12/01/2022
IA South Carolina	Investment Adviser Representative	Approved	03/13/2023
IA Texas	Investment Adviser Representative	Restricted Approval	08/23/2021
IA Washington	Investment Adviser Representative	Approved	08/01/2019

Branch Office Locations

PORTFOLIO MEDICS, LLC
1223 Roxbury Dr. Suite 303
Los Angeles, CA 90035

PORTFOLIO MEDICS, LLC
444 West Ocean Blvd
1402
Long Beach, CA 90802



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
National Commodity Futures Examination (S3)	Series 3	09/18/2000
Futures Managed Funds Examination (S31)	Series 31	09/16/1994
General Securities Representative Examination (S7)	Series 7	05/10/1994

State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	09/01/2006
Uniform Securities Agent State Law Examination (S63)	Series 63	05/12/1994

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities



Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/07/2016 - 08/01/2019	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	LOS ANGELES, CA
B	04/07/2016 - 08/01/2019	SECURITIES AMERICA, INC.	CRD# 10205	LOS ANGELES, CA
B	12/13/2013 - 04/11/2016	CETERA ADVISORS LLC	CRD# 10299	ENCINO, CA
IA	12/13/2013 - 04/11/2016	CETERA ADVISORS LLC	CRD# 10299	ENCINO, CA
B	11/25/2013 - 12/17/2013	D.A. DAVIDSON & CO.	CRD# 199	ENCINO, CA
IA	11/25/2013 - 12/17/2013	D.A. DAVIDSON & CO.	CRD# 199	ENCINO, CA
B	11/04/2013 - 11/25/2013	CROWELL WEEDON & CO.	CRD# 168622	ENCINO, CA
IA	11/04/2013 - 11/25/2013	CROWELL WEEDON & CO.	CRD# 168622	ENCINO, CA
B	01/09/2009 - 11/04/2013	CROWELL, WEEDON & CO.	CRD# 193	ENCINO, CA
IA	01/09/2009 - 11/04/2013	CROWELL, WEEDON & CO.	CRD# 193	ENCINO, CA
B	11/28/2003 - 01/21/2009	RBC CAPITAL MARKETS CORPORATION	CRD# 31194	BEVERLY HILLS, CA
IA	11/28/2003 - 01/21/2009	RBC CAPITAL MARKETS CORPORATION	CRD# 31194	BEVERLY HILLS, CA
IA	07/08/1997 - 12/02/2003	MORGAN STANLEY	CRD# 7556	LOS ANGELES, CA
B	08/03/1994 - 12/02/2003	MORGAN STANLEY DW INC.	CRD# 7556	PURCHASE, NY
B	05/11/1994 - 07/21/1994	BARABAN SECURITIES, INC.	CRD# 7659	LOS ANGELES, CA



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2019 - Present	Portfolio Medics, LLC	Investment Advisor Representative	Y	Bonita Springs, FL, United States
03/2016 - 08/2019	SECURITIES AMERICA ADVISORS	Investment Advisor Rep	Y	Encino, CA, United States
03/2016 - 08/2019	SECURITIES AMERICA INC	REGISTERED REP	Y	Encino, CA, United States
12/2013 - 08/2019	CETERA ADVISORS	REGISTERED REP/IAR	Y	DENVER, CO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

SERENITY WEALTH MANAGEMENT, DBA of Portfolio Medics, LLC RIA.
27499 Riverview Center BLVD
Bonita Springs, FL 34134

SERENITY WEALTH MANAGEMENT - FIXED INSURANCE

POSITION: sales NATURE: Fixed insurance with various companies, investment related, address same as registered location, start october 2013, 3 hrs. per week - varies during trading hours insurance agent - sells life, long-term care, and annuities INVESTMENT RELATED: No NUMBER OF HOURS: 3 SECURITIES TRADING HOURS: 3 START DATE: 12/13/2013
ADDRESS: 1223 ROXBURY DR, SUITE 303, LOS ANGELES, CA 90035, United States
DESCRIPTION: Fixed insurance with various companies, investment related, address same as registered location, start october 2013, 3 hrs. per week - varies during trading hours insurance agent - sells life, long-term care, and fixed annuities

MODERN MILLENNIUM PRESS

POSITION: writer owner NATURE: Publishing INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 03/01/2009
ADDRESS: 1223 ROXBURY DR, SUITE 303, LOS ANGELES, CA 90035, United States
DESCRIPTION: self publishing of books



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	Cetera Advisors LLC
Allegations:	negligence, misrepresentation, omission of a material fact, breach of fiduciary duty, and breach of contract.
Product Type:	Direct Investment-DPP & LP Interests
Alleged Damages:	\$100,000.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA Arbitration
Docket/Case #:	19-02818
Date Notice/Process Served:	09/30/2019
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	11/05/2020
Monetary Compensation Amount:	\$14,999.00
Individual Contribution Amount:	\$0.00



Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: Cetera Advisors, LLC and Securities America, Inc.

Allegations: Claimant alleges that the representative recommended she invest in high risk and speculative alternative investments that were unsuitable given her age and risk tolerance. Allegations include negligence, misrepresentation, omission of material facts, breach of fiduciary duty and breach of contract.

Product Type: Real Estate Security
Other: Business Development Company (BDC)

Alleged Damages: \$100,000.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: 19-02818

Date Notice/Process Served: 09/23/2019

Arbitration Pending? No

Disposition: Settled

Disposition Date: 02/24/2020

Monetary Compensation Amount: \$11,500.00

Individual Contribution Amount: \$0.00

Disclosure 2 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: Crowell Weeden

Allegations: Client alleges that representative provided them with poor investment advice that led to a loss on their investment and legal fees.

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages: \$14,152.83

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/21/2016

Complaint Pending? No

Status: Closed/No Action

Status Date: 05/13/2016



Settlement Amount:

Individual Contribution Amount:

Broker Statement

I deny the client's allegations. The investments were suitable for the client at the time of the investment and all proper risk disclosures were made to the client prior to the investment.

Disclosure 3 of 3

Reporting Source:

Firm

Employing firm when activities occurred which led to the complaint:

MORGAN STANLEY DW INC.

Allegations:

COMPLAINT ALLEGES THAT THE BROKER MADE UNSUITABLE INVESTMENT RECOMMENDATIONS DURING THE PERIOD AUGUST 2000 - DECEMBER 2001.

Product Type:

Other

Other Product Type(s):

EQUITY-LISTED

Alleged Damages:

\$38,922.00

Customer Complaint Information

Date Complaint Received:

06/28/2004

Complaint Pending?

No

Status:

Denied

Status Date:

08/03/2004

Settlement Amount:

Individual Contribution Amount:

Reporting Source:

Individual

Employing firm when activities occurred which led to the complaint:

MORGAN STANLEY DW INC.

Allegations:

COMPLAINT ALLEGES THAT THE BROKER MADE UNSUITABLE INVESTMENT RECOMMENDATIONS DURING THE PERIOD AUGUST 2000 - DECEMBER 2001.

Product Type:

Other

Other Product Type(s):

EQUITY LISTED

Alleged Damages:

\$38,922.00

Customer Complaint Information

Date Complaint Received:

06/28/2004

Complaint Pending?

No

Status:

Denied



Status Date: 08/03/2004

Settlement Amount:

**Individual Contribution
Amount:**



End of Report

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