



IAPD Report

KEVIN MARK REED

CRD# 2467725

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

KEVIN MARK REED (CRD# 2467725)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/09/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	PACKERLAND BROKERAGE SERVICES, INC.	CRD# 37031	08/01/2016
IA	PACKERLAND BROKERAGE SERVICES, INC.	CRD# 37031	08/01/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	FIFTH THIRD SECURITIES, INC.	628	FT. WAYNE, IN	05/25/2010 - 07/13/2016
IA	FIFTH THIRD SECURITIES, INC.	628	FT. WAYNE, IN	05/25/2010 - 07/13/2016
IA	PRIMEVEST FINANCIAL SERVICES, INC.	15340	FT WAYNE, IN	08/13/2009 - 04/13/2010

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	3
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **11** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **PACKERLAND BROKERAGE SERVICES, INC.**

Main Address: 432 SECURITY BLVD.
STE. 101
GREEN BAY, WI 54313-9709

Firm ID#: 37031

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	08/01/2016
B	FINRA	Invest. Co and Variable Contracts	Approved	08/01/2016
B	California	Agent	Approved	02/09/2026
B	Florida	Agent	Approved	09/23/2016
IA	Florida	Investment Adviser Representative	Approved	04/29/2019
B	Illinois	Agent	Approved	02/24/2026
IA	Indiana	Investment Adviser Representative	Approved	08/01/2016
B	Indiana	Agent	Approved	08/02/2016
B	Mississippi	Agent	Approved	12/12/2016
B	North Carolina	Agent	Approved	05/24/2019
IA	North Carolina	Investment Adviser Representative	Approved	11/25/2019
B	Ohio	Agent	Approved	08/16/2016
B	Oklahoma	Agent	Approved	10/04/2019



Qualifications

	Regulator	Registration	Status	Date
B	South Carolina	Agent	Approved	02/02/2022
IA	South Carolina	Investment Adviser Representative	Approved	02/02/2022
B	Texas	Agent	Approved	11/20/2018
IA	Texas	Investment Adviser Representative	Restricted Approval	08/24/2018
B	Washington	Agent	Approved	12/02/2024

Branch Office Locations

PACKERLAND BROKERAGE SERVICES, INC.
FORT WAYNE, IN



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
------	----------	------

No information reported.

General Industry/Product Exams

Exam	Category	Date
------	----------	------

	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	04/08/2004
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/16/2000

State Securities Law Exams

Exam	Category	Date
------	----------	------

	Uniform Investment Adviser Law Examination (S65)	Series 65	07/23/2009
	Uniform Securities Agent State Law Examination (S63)	Series 63	05/12/2000

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/25/2010 - 07/13/2016	FIFTH THIRD SECURITIES, INC.	CRD# 628	FT. WAYNE, IN
IA	05/25/2010 - 07/13/2016	FIFTH THIRD SECURITIES, INC.	CRD# 628	FT. WAYNE, IN
IA	08/13/2009 - 04/13/2010	PRIMEVEST FINANCIAL SERVICES, INC.	CRD# 15340	FT WAYNE, IN
B	12/03/2003 - 04/13/2010	PRIMEVEST FINANCIAL SERVICES, INC.	CRD# 15340	FT WAYNE, IN
B	05/17/2000 - 12/04/2003	FISERV INVESTOR SERVICES, INC.	CRD# 34637	HOUSTON, TX
B	04/07/1994 - 06/21/1995	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	FORT WAYNE, IN
B	04/07/1994 - 06/21/1995	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	FORT WAYNE, IN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2016 - Present	Packerland Brokerage Services	Registered Representative	Y	Green Bay, WI, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

KEVIN REED
6719 PALMILLA CT, FT WAYNE, IN 46835
TITLE: INSURANCE SALES
DUTIES: LIFE, ANNUITIES, AND LTC
HOURS DEVOTED: 12 HOURS, ALSO DURING SECURITY TRADING HOURS
START DATE: 08/01/2016
INVESTMENT AND INSURANCE RELATED

KEVIN REED
1709 N. MILTON ST MUNCIE, IN 47303
TITLE: RENTAL PROPERTY



Registration & Employment History



OTHER BUSINESS ACTIVITIES

DUTIES: OWNING AND MAINTAINING RENTAL PROPERTY
HOURS DEVOTED: 1 HOUR, NOT DURING SECURITIES TRADING HOURS
START DATE: 12/1/2019
NOT INVESTMENT AND INSURANCE RELATED

Kevin Reed
Condo rental; rental property income
10458 Casella Way #201
Fort Myers Fl, 33913
Non-investment related



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	3
Termination	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: Michigan

Sanction(s) Sought: Denial

Date Initiated: 08/26/2016

Docket/Case Number: 330152

URL for Regulatory Action:

Employing firm when activity occurred which led to the regulatory action:

Product Type: No Product

Allegations: The Administrator intends to DENY the SECURITIES AGENT AND INVESTMENT ADVISER REPRESENTATIVE REGISTRATION APPLICATIONS OF KEVIN M. REED, under section 412(1) of the Act, MCL 451.2412(1), because Applicant engaged in dishonest and unethical practices within the last 10 years, which supports the denial of his registration applications under the above-cited provisions of the Michigan Uniform Securities Act (2002), 2008 PA 551, MCL 451.2101 et seq.

Current Status: Final

Resolution: Order



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?

No

Resolution Date:

03/10/2017

Sanctions Ordered:

Denial

Reporting Source:

Individual

Regulatory Action Initiated By:

Michigan

Sanction(s) Sought:

Denial

Date Initiated:

09/01/2016

Docket/Case Number:

330152

Employing firm when activity occurred which led to the regulatory action:

Fifth Third Securities, Inc.

Product Type:

No Product

Allegations:

Michigan alleges that certain acts committed by Kevin Reed during his employment at Fifth Third constitutes dishonest and unethical acts in violation of Michigan statutes. Accordingly, it intends to deny Mr. Reed's registration as a securities agent and investment adviser.

Current Status:

Final

Resolution:

Denied

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?

No

Resolution Date:

03/10/2017

Sanctions Ordered:

Denial

Broker Statement

Mr. Reed applied to be a registered representative with the state of Michigan, but had no clients in the state of Michigan. Michigan decided to deny his application based on allegations contained in Mr. Reed's termination with his former broker-dealer rather than allow Mr. Reed to withdraw his application.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PACKERLAND BROKERAGE SERVICES, INC.

Allegations: On or around 6/23/2022, representative allegedly failed to fully disclose the risks associated with the investment, otherwise the customer would have invested only 1/2 the amount. A prospectus and fact sheet were presented to the customer, but the customer asked the representative to take the information back with him.

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages: \$18,000.00

Alleged Damages Amount Explanation (if amount not exact): Investment was \$400,000, and the client is asking for a redemption of 1/2. That will incur a 9% conversion fee (according to the published declining conversion fee schedule), so we're estimating the damage to be 9% of \$200,000, or \$18,000.

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 08/25/2022

Complaint Pending? No

Status: Settled

Status Date: 10/20/2022

Settlement Amount: \$18,000.00

Individual Contribution Amount: \$5,000.00

Broker Statement Representative truly believes the investment was suitable for the client. He says they thoroughly discussed the risks that were associated, and he thought they understood the risks as discussed. He believed the investment filled the clients' desire to further diversify their portfolio while providing them consistent monthly dividend. The client's daughter initiated the complaint, advising that her parents wouldn't have invested the whole amount had they fully understood the risks, so we worked with the daughter and client to fulfill their request to return 1/2 of the investment. There have been no losses in the account. As of the date of this filing, the balance of the account is the amount invested, and the client has received two dividend payments. The loss in this claim is the declining conversion fee the customer incurred in order to redeem 1/2 the investment.

**Disclosure 2 of 3**

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: FIFTH THIRD SECURITIES, INC.

Allegations: THE CUSTOMER'S POWER OF ATTORNEY, LORI BOATRIGHT, ALLEGES THE REGISTERED REPRESENTATIVE MADE AN UNSUITABLE RECOMMENDATION OF A MUTUAL FUND WHICH LED TO A PURCHASE IN NOVEMBER 2012.

Product Type: Mutual Fund

Alleged Damages: \$5,035.46

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 01/31/2013

Complaint Pending? No

Status: Denied

Status Date: 08/08/2013

Settlement Amount:

Individual Contribution Amount:

Disclosure 3 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: PRIMEVEST FINANCIAL SERVICES

Allegations: CLIENT ALLEGES UNSUITABILITY OF COLE CREDIT PROPERTY TRUST II, INC.

Product Type: Real Estate Security

Alleged Damages: \$5,000.00

Alleged Damages Amount Explanation (if amount not exact): UNKNOWN DAMAGES AMOUNT OVER \$5000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 08/16/2010



Complaint Pending? No
Status: Denied
Status Date: 08/25/2010
Settlement Amount:

**Individual Contribution
Amount:**
.....

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: PRIMEVEST FINANCIAL SERVICES
Allegations: CLIENT ALLEGES UNSUITABILITY OF COLE CREDIT PROPERTY TRUST II, INC.
Product Type: Real Estate Security
Alleged Damages: \$5,000.00
Alleged Damages Amount Explanation (if amount not exact): UNKNOWN DAMAGES AMOUNT OVER \$5000.00

Is this an oral complaint? No
Is this a written complaint? Yes
Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 08/16/2010
Complaint Pending? No
Status: Denied
Status Date: 08/25/2010
Settlement Amount:

**Individual Contribution
Amount:**



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Firm
Firm Name: FIFTH THIRD SECURITIES
Termination Type: Discharged
Termination Date: 07/08/2016
Allegations: FIFTH THIRD SECURITIES TERMINATED THE REGISTERED REPRESENTATIVE FOR ALTERING CUSTOMER DOCUMENTATION
Product Type: Annuity-Variable

.....

Reporting Source: Individual
Firm Name: Fifth Third Securities
Termination Type: Discharged
Termination Date: 07/08/2016
Allegations: Altered Customer Documentation
Product Type: Annuity-Variable



End of Report

This page is intentionally left blank.