



IAPD Report

GREGORY SCOTT KINKEAD

CRD# 2473933

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

GREGORY SCOTT KINKEAD (CRD# 2473933)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/17/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CENTAURUS FINANCIAL, INC.	CRD# 30833	01/04/2010
IA	CENTAURUS FINANCIAL, INC.	CRD# 30833	01/15/2010

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	SECURITIES AMERICA, INC.	10205	LAS VEGAS, NV	01/06/2009 - 01/19/2010
B	AMERIPRISE FINANCIAL SERVICES, INC.	6363	LAS VEGAS, NV	12/05/2008 - 01/06/2010
IA	AMERIPRISE FINANCIAL SERVICES, INC.	6363	LAS VEGAS, NV	12/05/2008 - 01/06/2010

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	7
Judgment/Lien	7



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 11 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CENTAURUS FINANCIAL, INC.**
Main Address: 2300 EAST KATELLA AVE
SUITE 200
ANAHEIM, CA 92806
Firm ID#: 30833

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	01/04/2010
B	FINRA	General Securities Representative	Approved	01/04/2010
B	Arizona	Agent	Approved	01/04/2010
IA	Arizona	Investment Adviser Representative	Approved	10/17/2018
B	California	Agent	Approved	01/05/2010
IA	California	Investment Adviser Representative	Approved	03/11/2011
B	Florida	Agent	Approved	08/21/2018
B	Illinois	Agent	Approved	12/18/2023
IA	Illinois	Investment Adviser Representative	Approved	12/18/2023
B	Indiana	Agent	Approved	01/22/2024
B	Mississippi	Agent	Approved	01/06/2010
IA	Mississippi	Investment Adviser Representative	Approved	03/19/2012
B	Nevada	Agent	Approved	01/15/2010



Qualifications

Regulator	Registration	Status	Date
IA Nevada	Investment Adviser Representative	Approved	01/15/2010
B New Mexico	Agent	Approved	06/25/2024
IA New Mexico	Investment Adviser Representative	Approved	06/25/2024
B Pennsylvania	Agent	Approved	09/26/2025
IA Pennsylvania	Investment Adviser Representative	Approved	09/26/2025
B Tennessee	Agent	Approved	08/28/2023
B Texas	Agent	Approved	03/13/2024
IA Texas	Investment Adviser Representative	Restricted Approval	03/12/2024

Branch Office Locations

CENTAURUS FINANCIAL, INC.
 1980 FESTIVAL PLAZA DRIVE
 SUITE #300
 LAS VEGAS, NV 89135

CENTAURUS FINANCIAL, INC.
 2901 W. COAST HIGHWAY
 SUITE #200
 NEWPORT BEACH, CA 92663



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Principal Examination (S24)	Series 24	11/01/2004

General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	05/04/1994

State Securities Law Exams

Exam	Category	Date
Uniform Investment Adviser Law Examination (S65)	Series 65	06/04/1994
Uniform Securities Agent State Law Examination (S63)	Series 63	05/17/1994

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/06/2009 - 01/19/2010	SECURITIES AMERICA, INC.	CRD# 10205	LAS VEGAS, NV
B	12/05/2008 - 01/06/2010	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	LAS VEGAS, NV
IA	12/05/2008 - 01/06/2010	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	LAS VEGAS, NV
IA	05/17/2004 - 12/26/2008	SUMMIT FINANCIAL GROUP INC	CRD# 109485	LAS VEGAS, NV
B	05/14/2004 - 12/26/2008	SUMMIT BROKERAGE SERVICES, INC.	CRD# 34643	LAS VEGAS, NV
IA	01/09/2003 - 06/04/2004	UBS FINANCIAL SERVICES INC.	CRD# 8174	LAS VEGAS, NV
B	11/29/2002 - 06/04/2004	UBS FINANCIAL SERVICES INC.	CRD# 8174	WEEHAWKEN, NJ
B	05/06/1994 - 12/12/2002	SALOMON SMITH BARNEY INC.	CRD# 7059	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2010 - Present	CENTAURUS FINANCIAL, INC.	REGISTERED REPRESENTATIVE	Y	ANAHEIM, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. CEO/FOUNDER, KINKEAD WEALTH MANAGEMENT, 1980 FESTIVAL PLAZA DR., SUITE #300, LAS VEGAS, NV 89138. INVESTMENT RELATED, SINCE 07/2019. MARKETING PURPOSES AND DBA.
2. ANNUITIES MADE SIMPLE, INVESTMENT RELATED, 1980 FESTIVAL PLAZA DR.,#300, LAS VEGAS, NV 89135, MARKETING PURPOSES AND DBA, CEO AND FOUNDER SINCE 3/2016, DEVOTED TIME IS 40 HRS A MONTH, MARKETING CAMPAIGNS, INCLUDING MAILERS, SEMINARS, ONE ON ONE WORKSHOPS, AND EVENTUALLY WEBINARS AND EDUCATION EVENTS.
3. KINKEAD INSURANCE SERVICES



Registration & Employment History



OTHER BUSINESS ACTIVITIES

POSITION: CEO/Founder NATURE: Term Life Insurance, Fixed Life Insurance, LTC Insurance, Medicare Supplements and Fixed Annuities. INVESTMENT RELATED: No NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 0 START DATE: 08/01/2024

ADDRESS: 1980 Festival Plaza Dr. #300, Las Vegas NV 89135, United States

DESCRIPTION: This will be for marketing purposes for term life insurance, long term care insurance, medicare supplements and Fixed only life insurance and annuities.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	7
Judgment/Lien	7

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 7

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Centaurus Financial, Inc.
Allegations:	During the period November 2018 through August 2019, the customer alleges that the Registered Representative recommended investments that were unsuitable, illiquid and were based on compensation.
Product Type:	Annuity-Fixed Real Estate Security Other: Managed account
Alleged Damages:	\$500.00
Alleged Damages Amount Explanation (if amount not exact):	The customer does not allege explicit compensatory damages, but rather requested that the Registered Representative reimburse \$500 in transfer fees. She also complained about management fees. The compensation amount could not be explicitly determined, but could potentially result in an amount in excess of \$5,000 in compensatory damages. Hence, out of an abundance of caution, the complaint has been reported via this DRP.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received: 08/12/2019



Complaint Pending? No
Status: Settled
Status Date: 10/09/2019
Settlement Amount: \$3,725.91
Individual Contribution Amount: \$0.00

Broker Statement
 I vehemently deny any wrongdoing and assert that the allegations are completely without merit. The investments about which the customer complained were suitable and were recommended based on the customer's objectives, goals and financial circumstances and were offered only after her review of all material documentation related to the investment. The customer confirmed in writing that she not only received the requisite investment documentation/disclosures, but that she fully understood the characteristics and risks of the investments. At all times, I put the customer's interest first and I will vigorously defend this matter to the fullest extent of the law.

Disclosure 2 of 7

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: CITIGROUP GMI

Allegations: THE CLIENTS ALLEGED MISREPRESENTATION OF AN ANNUITY BOUGHT IN APRIL 2000. DAMAGES UNSPECIFIED.

Product Type: Annuity(ies) - Variable

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 01/18/2005
Complaint Pending? No
Status: Denied
Status Date: 03/31/2005

Settlement Amount:
Individual Contribution Amount:

Firm Statement CLAIM DENIED.

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: CITIGROUP GMI

Allegations: CLIENTS ALLEGED MISREPRESENTATION OF AN ANNUITY BROUGHT IN APRIL 2000. DAMAGES UNSPECIFIED.

Product Type: Annuity(ies) - Variable



Alleged Damages:

Customer Complaint Information

Date Complaint Received: 01/18/2005

Complaint Pending? No

Status: Denied

Status Date: 03/31/2005

Settlement Amount:

Individual Contribution Amount:

Broker Statement

LETTER DENIED BY CITIGROUP BECAUSE NO BASIS FOR REMEDIAL ACTION; NO COMPLAINT FILED. CLIENT CALLED QUESTIONING ANNUITY AFTER OWNING IT FOR 5 YRS.

Disclosure 3 of 7

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: CITIGROUP GMI

Allegations: THE CLIENT ALLEGED MISREPRESENTATION OF AN ANNUITY PURCHASED IN MARCH 2000. DAMAGES UNSPECIFIED.

Product Type: Annuity(ies) - Variable

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 05/01/2003

Complaint Pending? No

Status: Denied

Status Date: 07/08/2003

Settlement Amount:

Individual Contribution Amount:

Firm Statement

THE CLAIM WAS DENIED.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: CITIGROUP GMI

Allegations: THE CLIENT ALLEGED MISREPRESENTATION OF AN ANNUITY PURCHASED IN MARCH 2000. DAMAGES UNSPECIFIED.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$0.00



Customer Complaint Information

Date Complaint Received: 05/01/2003

Complaint Pending? No

Status: Denied

Status Date: 07/08/2003

Settlement Amount:

Individual Contribution Amount:

Broker Statement LETTER DENIED BY CITIGROUP BECAUSE NO BASIS FOR REMEDIAL ACTION; NO COMPLAINT FILED.

Disclosure 4 of 7

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: CITIGROUP GLOBAL MARKETS INC.

Allegations: CLIENT ALLEGED GROSS MISMANAGEMENT AND UNSUITABLE INVESTMENTS - 2002. DAMAGES UNSPECIFIED.

Product Type: Annuity(ies) - Variable

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 04/07/2003

Complaint Pending? No

Status: Denied

Status Date: 07/08/2003

Settlement Amount:

Individual Contribution Amount:

Firm Statement THE CLAIM WAS DENIED.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: CITIGROUP GLOBAL MARKETS INC.

Allegations: CLIENT ALLEGED GROSS MISMANAGEMENT AND UNSUITABLE INVESTMENTS IN 2002. DAMAGES NOT SPECIFIED.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 04/07/2003



Complaint Pending? No
Status: Denied
Status Date: 07/08/2003
Settlement Amount:
Individual Contribution Amount:
Broker Statement LETTER DENIED BY CITIGROUP BECAUSE NO BASIS FOR REMEDIAL ACTION; NO COMPLAINT FILED.

Disclosure 5 of 7

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: SALOMON SMITH BARNEY
Allegations: THE CLIENT ALLEGED MISREPRESENTATION OF ANNUITY - MAY 2002. DAMAGES UNSPECIFIED.
Product Type: Annuity(ies) - Variable
Alleged Damages:

Customer Complaint Information

Date Complaint Received: 03/24/2003
Complaint Pending? No
Status: Denied
Status Date: 05/21/2003
Settlement Amount:
Individual Contribution Amount:
Firm Statement THE CLAIM WAS DENIED.

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: SALOMON SMITH BARNEY
Allegations: THE CLIENT ALLEGED MISREPRESENTATION OF AN ANNUITY-MAY 2002. DAMAGES NOT SPECIFIED.
Product Type: Annuity(ies) - Variable
Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 03/24/2003
Complaint Pending? No
Status: Denied
Status Date: 05/21/2003



Settlement Amount:

Individual Contribution Amount:

Broker Statement THE CLAIM WAS DENIED.

Disclosure 6 of 7

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: SALOMON SIMTH BARNEY

Allegations: THE CLIENT ALLEGED MISREPRESENTATION WITH REGARD TO AN ANNUITY - 3/15/03. DAMAGES UNSPECIFIED.

Product Type: Annuity(ies) - Variable

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 03/10/2003

Complaint Pending? No

Status: Denied

Status Date: 05/21/2003

Settlement Amount:

Individual Contribution Amount:

Firm Statement THE CLAIM WAS DENIED.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: SALOMON SMITH BARNEY

Allegations: THE CLIENT ALLEGED MISREPRESENTATION WITH REGARD TO AN ANNUITY. DAMAGES UNSPECIFIED.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 03/10/2003

Complaint Pending? No

Status: Denied

Status Date: 05/21/2003

Settlement Amount:

Individual Contribution Amount:



Broker Statement THE CLAIM WAS DENIED.

Disclosure 7 of 7

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: SALOMON SMITH BARNEY INC

Allegations: CLIENTS, IN A LETTER, CLAIM GROSS MISMANAGEMENT AND SUITABILITY OF THEIR ACCOUNTS. DAMAGES ARE UNSPECIFIED.

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 10/16/2002

Complaint Pending? No

Status: Denied

Status Date: 02/10/2003

Settlement Amount:

Individual Contribution Amount:

Firm Statement RICHARD APICELLA IS HANDLING THIS MATTER.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: SALOMON SMITH BARNEY INC.

Allegations: ALLEGING GROSS MISMANAGEMENT OF ACCOUNTS AND SUITABILITY

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 10/15/2002

Complaint Pending? No

Status: Denied

Status Date: 02/10/2003

Settlement Amount:

Individual Contribution Amount:

Broker Statement RICHARD APICELLA IS HANDLING THIS MATTER.



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 7

Reporting Source: Individual
Judgment/Lien Holder: PRIYA MALIK-KINKEAD
Judgment/Lien Amount: \$66,500.00
Judgment/Lien Type: Civil
Date Filed with Court: 12/06/2022
Date Individual Learned: 02/15/2023
Type of Court: FAMILY COURT OF NEVADA
Name of Court: CLARK COUNTY OFFICE OF THE DISTRICT ATTORNEY
Location of Court: LAS VEGAS, NV
Docket/Case #: 3200378700
Judgment/Lien Outstanding? Yes
Broker Statement PAYMENT PLAN TO START MARCH 2023.

Disclosure 2 of 7

Reporting Source: Individual
Judgment/Lien Holder: Internal Revenue Services
Judgment/Lien Amount: \$10,383.27
Judgment/Lien Type: Tax
Date Filed with Court: 12/14/2018
Date Individual Learned: 08/26/2019
Type of Court: County Recorder
Name of Court: Department of the Treasury
Location of Court: Clark County, NV
Docket/Case #: 336520618
Judgment/Lien Outstanding? Yes
Broker Statement I am on an approved payment plan as of 1/28/2019 and am up to date with all such payments. The lien was caused by, and was the result of a contentious divorce. Additionally, the lien notification was never received by me, nor was it communicated to me during my discussions with the IRS Revenue Officer.

Disclosure 3 of 7

Reporting Source: Individual
Judgment/Lien Holder: Internal Revenue Services
Judgment/Lien Amount: \$195,613.41
Judgment/Lien Type: Tax



Date Filed with Court: 11/07/2016
Date Individual Learned: 08/26/2019
Type of Court: County Recorder
Name of Court: Department of Treasury
Location of Court: Clark County, NV
Docket/Case #: 235135216
Judgment/Lien Outstanding? Yes
Broker Statement I am on an approved payment plan as of 1/28/2019 and am up to date with all such payments. The lien was caused by, and was the result of a contentious divorce. Additionally, the lien notification was never received by me, nor was it communicated to me during my discussions with the IRS Revenue Officer.

Disclosure 4 of 7

Reporting Source: Individual
Judgment/Lien Holder: INTERNAL REVENUE SERVICES
Judgment/Lien Amount: \$21,668.40
Judgment/Lien Type: Tax
Date Filed with Court: 12/20/2016
Date Individual Learned: 09/10/2018
Type of Court: COUNTY RECORDER
Name of Court: DEPARTMENT OF THE TREASURY
Location of Court: LAS VEGAS, NV
Docket/Case #: 242652916
Judgment/Lien Outstanding? Yes
Broker Statement I AM ON AN APPROVED PAYMENT PLAN AS OF 1/28/2019 AND UP TO DATE WITH SUCH PAYMENTS. THIS WAS A RESULT OF A CONTENTIOUS DIVORCE.

Disclosure 5 of 7

Reporting Source: Individual
Judgment/Lien Holder: INTERNAL REVENUE SERVICES
Judgment/Lien Amount: \$70,280.41
Judgment/Lien Type: Tax
Date Filed with Court: 06/22/2018
Date Individual Learned: 09/10/2018
Type of Court: COUNTY RECORDER
Name of Court: DEPARTMENT OF THE TREASURY
Location of Court: LAS VEGAS, NV
Docket/Case #: 313175618



Judgment/Lien Outstanding? Yes
Broker Statement I AM ON AN APPROVED PAYMENT PLAN AS OF 1/28/2019 AND UP TO DATE WITH SUCH PAYMENTS. THIS WAS A RESULT OF A CONTENTIOUS DIVORCE.

Disclosure 6 of 7

Reporting Source: Individual
Judgment/Lien Holder: LARRY L. BLERTSCH
Judgment/Lien Amount: \$65,000.00
Judgment/Lien Type: Civil
Date Filed with Court: 11/01/2015
Date Individual Learned: 11/09/2015
Type of Court: FAMILY COURT OF NEVADA
Name of Court: FAMILY COURT OF NEVADA
Location of Court: CLARK COUNTY, LAS VEGAS
Docket/Case #: D-13-486097-D
Judgment/Lien Outstanding? Yes
Broker Statement RESULT OF CONTENTIOUS DIVORCE.

Disclosure 7 of 7

Reporting Source: Individual
Judgment/Lien Holder: FRAN FINE
Judgment/Lien Amount: \$51,000.00
Judgment/Lien Type: Civil
Date Filed with Court: 11/01/2015
Date Individual Learned: 11/09/2015
Type of Court: FAMILY COURT OF NEVADA
Name of Court: FAMILY COURT OF NEVADA
Location of Court: CLARK COUNTY, LAS VEGAS
Docket/Case #: D-13486097-D
Judgment/Lien Outstanding? Yes
Broker Statement RESULT OF CONTENTIOUS DIVORCE.



End of Report

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