



## IAPD Report

# EDWARD ALLEN KOLANKO

CRD# 2488788

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### EDWARD ALLEN KOLANKO (CRD# 2488788)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/25/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>IA</b>	BAYNES INVESTMENT COUNSEL LLC	CRD# 322909	03/04/2024

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>B</b>	ALLSTATE FINANCIAL SERVICES, LLC	18272	Oregon, OH	07/14/2010 - 01/23/2024
<b>IA</b>	SECURITIES AMERICA ADVISORS, INC.	110518	WALBRIDGE, OH	02/02/2009 - 07/19/2010
<b>B</b>	SECURITIES AMERICA, INC.	10205	WALBRIDGE, OH	01/28/2009 - 07/19/2010

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **BAYNES INVESTMENT COUNSEL LLC**  
Main Address: 801 CHERRY STREET  
STE 2310  
FORT WORTH, TX 76102  
Firm ID#: 322909

Regulator	Registration	Status	Date
<b>IA</b> Ohio	Investment Adviser Representative	Approved	03/04/2024

### Branch Office Locations

**BAYNES INVESTMENT COUNSEL LLC**  
7577 Central Parke Blvd  
Suite 323  
Mason, OH 45040



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	02/28/2000

#### General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	11/04/1994

#### State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	03/01/2024
	Uniform Securities Agent State Law Examination (S63)	Series 63	07/20/1995

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	07/14/2010 - 01/23/2024	ALLSTATE FINANCIAL SERVICES, LLC	CRD# 18272	Oregon, OH
IA	02/02/2009 - 07/19/2010	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	WALBRIDGE, OH
B	01/28/2009 - 07/19/2010	SECURITIES AMERICA, INC.	CRD# 10205	WALBRIDGE, OH
IA	06/28/2007 - 01/23/2009	EPLANNING ADVISORS INC	CRD# 109184	ROSEVILLE, CA
B	03/06/2007 - 01/23/2009	EPLANNING SECURITIES, INC.	CRD# 46000	WALBRIDGE, OH
IA	02/07/2003 - 07/20/2007	MODERN PORTFOLIO MANAGEMNT INC	CRD# 104926	MAUMEE, OH
B	06/20/2003 - 03/22/2007	NFP SECURITIES, INC.	CRD# 42046	MAUMEE, OH
IA	04/08/2003 - 10/30/2003	BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.	CRD# 13609	MAUMEE, OH
B	04/02/2003 - 10/30/2003	BERTHEL, FISHER & COMPANY FINANCIAL SERVICES, INC.	CRD# 13609	CEDAR RAPIDS, IA
B	06/15/2001 - 04/08/2003	CONTINENTAL CAPITAL INVESTMENT SERVICES, INC.	CRD# 2864	BRYAN, OH
B	10/15/1999 - 05/11/2001	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	ST. PETERSBURG, FL
B	11/07/1994 - 10/25/1999	SALOMON SMITH BARNEY INC.	CRD# 7059	NEW YORK, NY

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2026 - Present	Kolanko Capital	Agent	N	Mason, OH, United States
02/2024 - Present	BAYNES INVESTMENT COUNSEL LLC	Investment Adviser Representative	Y	Mason, OH, United States



## Registration & Employment History

### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2008 - Present	OHSAA BASKETBALL OFFICIAL	LICENSED BASKETBALL OFFICIAL	N	WALBRIDGE, OH, United States
01/2007 - Present	VILLAGE OF WALBRIDGE	COUNCILMAN	N	WALBRIDGE, OH, United States
04/2019 - 01/2024	Allstate Insurance Co.	Insurance Agency Owner	Y	Oregon, OH, United States
08/2016 - 01/2024	Edward A. Kolanko	AGENT	N	Bryan, OH, United States
06/2010 - 01/2024	ALLSTATE INSURANCE CO	AGENT	N	BRYAN, OH, United States
06/2010 - 04/2019	Allstate Financial Services	Financial Specialist	Y	Oregon, OH, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) VILLAGE OF WALBRIDGE- COUNCILMAN - VILLAGE-MUNCIPALITY. MAIN ST, WALBRIDGE, OH 43465 AS OF 01/07. 5% OF TIME DEVOTED. NON-INVEST RELATED. (Mayor - Village of Walbridge, OH. Elected official of Village of Walbridge, overseeing the administration of local government, managing the village's budget, and ensuring the municipal services are provided to residents.) 2) LICENSED OHSAA BASKETBALL REFEREE - WALBRIDGE, OH. BASKETBALL OFFICIATING. LESS THEN 2%. NON-INVESTMENT RELATED. 3) Independent licensed insurance agent. Provides P and C insurance through his company, Kolanko Capital.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	1

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:** SMITH BARNEY/RAYMOND JAMES

**Allegations:** CLIENT ALLEGES I REQUESTED A \$400 CHECK FROM HIM FOR A MARGIN CALL. ALSO ALLEGES I TOLD HIM TO MAKE CHECK PAYABLE TO ME. I WAS A TRAINEE BROKER AT SMITH BARNEY AND INHERITED THE CLIENT WHEN OTHER BROKER LEFT FIRM. OUR SUCCESS WAS BASED ON ASSETS, COMMISSIONS, AND NEW ACCOUNTS AND THE BRANCH MANAGER TOLD ME TO DO WHAT I COULD TO STRENGTHEN RELATIONSHIP WITH CLIENT WITH SIZABLE ASSETS. CUSTOMER HAD POTENTIAL OF SIZABLE ASSETS AND I WAS TRYING TO HELP. DURING SUMMER/FALL OF 1999, I PURCHASED EDUCATIONAL MATERIAL (BOOKS, ETC.) AND MAIL TO CUSTOMER IN WHICH HE REIMBURSED ME. I WAS ONLY TRYING TO HELP THE CUSTOMER AND DEEPEN THE RELATIONSHIP TO OBTAIN MORE ASSETS SINCE WE WERE JUDGED ON THOSE THREE AREAS. BRANCH MANAGER ALWAYS ENCOURAGED US TO HELP THE CLIENT AND BUILD RELATIONSHIPS. AS I LEFT SMITH BARNEY IN THE FALL OF 1999 AND JOINED RAYMOND JAMES STARTING MY OWN INDEPENDANT OFFICE, CUSTOMER CALLED UNSOLICITED ASKING TO TRANSFER HIS ACCOUNT TO ME.

**Product Type:** Other

**Other Product Type(s):** MARGIN APPROVAL

**Alleged Damages:** \$400.00

### Customer Complaint Information



**Date Complaint Received:** 08/15/2003  
**Complaint Pending?** No  
**Status:** Closed/No Action  
**Status Date:** 12/30/2003  
**Settlement Amount:** \$0.00  
**Individual Contribution Amount:** \$0.00  
**Firm Statement** RE: NASD FILE NO. E8B030273 LETTER TO [CUSTOMER] DTD 12-30-03

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**Reporting Source:** Individual  
**Employing firm when activities occurred which led to the complaint:** SMITH BARNEY/RAYMOND JAMES

**Allegations:** CLIENT ALLEGES I REQUESTED A \$400 CHECK FROM HIM FOR A MARGIN CALL. ALSO ALLEGES I TOLD HIM TO MAKE CHECK PAYABLE TO ME. I WAS A TRAINEE BROKER AT SMITH BARNEY AND INHERITED THE CLIENT WHEN OTHER BROKER LEFT FIRM. OUR SUCCESS WAS BASED ON ASSETS, COMMISSIONS, AND NEW ACCOUNTS, AND THE BRANCH MANAGER TOLD ME TO DO WHAT I COULD TO STRENGTHEN RELATIONSHIP WITH CLIENT WITH SIZABLE ASSETS. CUSTOMER HAD POTENTIAL OF SIZABLE ASSETS AND I WAS TRYING TO HELP. DURING SUMMER/FALL OF 1999, I PURCHASED EDUCATIONAL MATERIAL (BOOKS, ETC.) AND MAIL TO CUSTOMER IN WHICH HE REIMBURSED ME. I WAS ONLY TRYING TO HELP THE CUSTOMER AND DEEPEN THE RELATIONSHIP TO OBTAIN MORE ASSETS SINCE WE WERE JUDGED ON THOSE THREE AREAS. BRANCH MANAGER ALWAYS ENCOURAGED US TO HELP THE CLIENT AND BUILD RELATIONSHIPS. AS I LEFT SMITH BARNEY IN THE FALL OF 1999 AND JOINED RAYMOND JAMES STARTING MY OWN INDEPENDENT OFFICE, CUSTOMER CALLED UNSOLICITED ASKING TO TRANSFER HIS ACCOUNT TO ME.

**Product Type:** Other  
**Other Product Type(s):** MARGIN APPROVAL  
**Alleged Damages:** \$400.00

### Customer Complaint Information

**Date Complaint Received:** 08/15/2003  
**Complaint Pending?** No  
**Status:** Closed/No Action  
**Status Date:** 12/30/2003  
**Settlement Amount:**  
**Individual Contribution Amount:**

**Broker Statement** CLIENT ALLEDGES HE SENT ME \$400 CHECK FOR MARGIN CALL MADE PAYABLE TO ME - WHICH I DEPOSITED. CLIENT WAS REIMBURSING ME FOR VARIOUS ITEMS - HE WAITED ALMOST FOUR YEARS TO COMPLAIN ABOUT THE \$400 CHECK. CLIENT DISGRUNTLED DUE TO PRINCIPAL LOSS IN STOCK MARKET-WANTS TO TAKE IT OUT ON ME. I DID NOT JEOPARDIZE



MY LIFE OVER A \$400 CHECK. CUSTOMER IS NOT TELLING TRUTH. CUSTOMER WAS FULLY AWARE OF THE RISKS AND REWARDS OF THE MARKET. I FIRED THE CUSTOMER AS A CLIENT DUE TO HIS LACK OF FOLLOWING MY RECOMMENDATIONS - BEFORE THIS COMPLAINT WAS FILED. CUSTOMER WAS AN AGGRESSIVE TRADER WHO BELIEVED HE COULD MAKE A LIVING TRADING STOCKS IN THE MARKET DESPITE MY OBJECTIONS. BECAUSE HE DID NOT LISTEN TO MY RECOMMENDATIONS I FIRED HIM DUE TO HIM BEING A COMPLIANCE RISK. I LEFT SMITH BARNEY AND JOINED RAYMOND JAMES AND LEFT THERE TO JOIN MY CURRENT FIRM ONLY TO HAVE THE CUSTOMER TRANSFER HIS ACCOUNTS UNSOLICITED. CUSTOMER ALSO GAVE ME NUMEROUS REFERRALS OVER THE YEARS - ALL AFTER THE ALLEDGED INCIDENT. I WORKED EXTREMELY HARD, TAKING PHONE CALLS IN THE LATE EVENINGS AND WEEKENDS TO HELP THIS INDIVIDUAL. I GAVE THIS CUSTOMER 110%, AND FEEL I ACTED ETHICALLY AND LEGALLY WITHIN THE RULES AND REGULATIONS OF THE NASD AND GOVERNING BOARDS. NASD FILE NO. E8B030273 LETTER TO [CUSTOMER] DTD 12-30-03



## Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

### Disclosure 1 of 1

**Reporting Source:** Individual  
**Firm Name:** RAYMOND JAMES  
**Termination Type:** Discharged  
**Termination Date:** 05/10/2001  
**Allegations:** VIOLATION OF FIRM POLICY - ACCEPTING CASH FROM CUSTOMER  
**Product Type:** Money Market Fund(s)  
**Other Product Types:**

**Broker Statement** I ACCEPTED \$300.00 IN CASH FROM A CLIENT TO DEPOSIT TO ACCOUNT. I PROVIDED CLIENT WITH RECEIPT AND WENT TO BANK TO CONVERT CASH TO CASHIERS CHECK TO SEND TO HOME OFFICE. CLIENT WAS MY GIRLFRIEND AND SHE HAD A MARGIN CALL TO MEET SO I WAS TRYING TO HELP HER OUT. I HAD TO FAX A COPY OF CHECK TO SHOW HOME OFFICE WE HAD MONEY IN BRANCH.



## End of Report

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