



IAPD Report

JASON ALAN DUMONT

CRD# 2493241

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JASON ALAN DUMONT (CRD# 2493241)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/23/2026**.

CURRENT EMPLOYERS

Firm	CRD#	Registered Since
IA WEALTH ADVISORS GROUP, LLC	CRD# 291648	01/18/2018

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
B PRIVATE CLIENT SERVICES, LLC	120222	Coralville, IA	01/15/2018 - 04/24/2023
IA SII INVESTMENTS, INC.	2225	CORALVILLE, IA	01/20/2004 - 01/12/2018
B SII INVESTMENTS, INC.	2225	CORALVILLE, IA	08/02/2002 - 01/12/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **WEALTH ADVISORS GROUP, LLC**
Main Address: 2771 OAKDALE BOULEVARD,
SUITE 4
CORALVILLE, IA 52241
Firm ID#: 291648

Regulator	Registration	Status	Date
Iowa	Investment Adviser Representative	Approved	01/18/2018
Texas	Investment Adviser Representative	Restricted Approval	01/23/2019

Branch Office Locations

WEALTH ADVISORS GROUP, LLC
2771 OAKDALE BOULEVARD,
SUITE 4
CORALVILLE, IA 52241



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	Municipal Fund Securities Principal Examination (S51)	Series 51	05/12/2003
	General Securities Principal Examination (S24)	Series 24	01/06/2003

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	12/27/2002
	Corporate Securities Limited Representative Examination (S62)	Series 62	01/04/1999
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/28/1994

State Securities Law Exams

	Exam	Category	Date
	Uniform Combined State Law Examination (S66)	Series 66	11/10/2003
	Uniform Securities Agent State Law Examination (S63)	Series 63	06/28/1994

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/15/2018 - 04/24/2023	PRIVATE CLIENT SERVICES, LLC	CRD# 120222	Coralville, IA
IA	01/20/2004 - 01/12/2018	SII INVESTMENTS, INC.	CRD# 2225	CORALVILLE, IA
B	08/02/2002 - 01/12/2018	SII INVESTMENTS, INC.	CRD# 2225	CORALVILLE, IA
IA	12/27/1999 - 08/01/2002	INTERSECURITIES, INC.	CRD# 16164	IOWA CITY, IA
B	06/15/1998 - 08/01/2002	INTERSECURITIES, INC.	CRD# 16164	PHILADELPHIA, PA
B	02/27/1997 - 06/15/1998	WASHINGTON SQUARE SECURITIES, INC.	CRD# 2882	WINDSOR, CT
B	06/29/1994 - 02/27/1997	LOCUST STREET SECURITIES, INC.	CRD# 1703	DES MOINES, IA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2021 - Present	GHS Development	Owner	N	North Liberty, IA, United States
07/2021 - Present	SHG BUILDERS	OWNER	N	NORTH LIBERTY, IA, United States
11/2019 - Present	Wolf Creek Pork, LLC	Co-Owner	N	North Liberty, IA, United States
09/2019 - Present	Wolf Creek Acres, LLC	Co-Owner	N	North Liberty, IA, United States
11/2018 - Present	BDKG Properties	Co-Owner	N	North Liberty, IA, United States
01/2018 - Present	Wealth Advisors Group, LLC	Managing Member/Investment Advisor Representative	Y	Coralville, IA, United States
02/2017 - Present	JDSF Farms	Co-Owner	N	North Liberty, IA, United States
04/2014 - Present	Dumont Investments	Owner	N	North Liberty, IA, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2011 - Present	KMG Holdings	Owner	N	North Liberty, IA, United States
10/2010 - Present	JDSD Rentals	Co-Owner	N	North Liberty, IA, United States
05/2009 - Present	The Boulders	Owner	N	North Liberty, IA, United States
07/1993 - Present	Jason Dumont, Sole Proprietor	Insurance Agent	Y	Coralville, IA, United States
01/2018 - 10/2023	Private Client Services	Registered rep	Y	Louisville, KY, United States
10/2003 - 10/2021	Shelby Builders	Owner	N	North Liberty, IA, United States
04/2004 - 08/2019	JD&D Farms	Co-Owner	N	North Liberty, IA, United States
05/2008 - 06/2019	Golfview Investors	Co-Owner	N	North Liberty, IA, United States
01/2004 - 01/2018	SII Investments, Inc.	Investment Advisor Representative	Y	IOWA CITY, IA, United States
08/2002 - 01/2018	SII Investments, Inc.	Registered Representative	Y	IOWA CITY, IA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) KMG Holdings, PO Box 78, North Liberty IA 52317. Owner, convenience store. 2hrs/moth. none during trading hrs.
- 2) Dumont Investments, Box 78 North Liberty IA 52317. Owner, Land development company. 2hrs/month. none during trading hrs.
- 3) GHS Development, North Liberty IA 52317. Residential housing development. 10 hrs/month, 5 during trading hrs.
- 4) JDSD Farms, PO Box 78 Salon IA 52333 Co-owner farm ground. 30 hrs/month. 10 hrs during trading.
- 5) JDSD Rentals, PO Box 78, North Liberty, IA 52317. Owner, real estate rentals. 30hrs/month. 10hrs during trading.
- 6) The Boulders, LLC, PO Box 78, North Liberty, IA 52317. Land development. 10 hrs/month, 5 during trading hrs.
- 7) Wealth Advisors Group LLC, 2771 Oakdale Blvd, Suite 1, Coralville IA 52341. advisor. 65 hrs/month all during trading hrs.
- 8) Jason Dumont, Sole Proprietor; Fixed Insurance Sales; Insurance Agent; Investment Related; Coralville, IA; Started 07/1993
- 9)BDKG Properties; Co-Owner; Real state holding company; ; Started 11/2018
- 10) Wolf Creek Pork, LLC; Co-Owner; Not Investment Related; 11/2019; North Liberty, IA; 10 Hours Per Month/0 Hours During Securities Trading
- 11) Wolf Creek Acres, LLC; Co-Owner; Not Investment Related; 09/2019; North Liberty, IA; 10 Hours per month/0 Hours during securities trading
- 12) SHG Builders; Owner; Not Investment Related; 07/2021; North Liberty, IA; 25 Hours per month/10 hours during securities trading.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	LOCUST STREET SECURITES
Allegations:	9/95 SOLD CLIENTS FIXED AND VARIABLE ANNUITIES - IN 4/97 THEY MOVED TO DIFFERENT CARRIER AND INCURRED SURRENDER CHARGES - THEY CLAIMED THEY DID NOT KNOW ABOUT SURRENDERS AND SUED ME AND PREVIOUS EMPLOYER AS WELL AS METLIFE FOR RECOVERY OF MONEY PLUS DAMAGES.
Product Type:	Annuity(ies) - Variable
Alleged Damages:	\$30,000.00

Customer Complaint Information

Date Complaint Received:	07/21/1998
Complaint Pending?	No
Status:	Litigation Settled
Status Date:	07/21/1998
Settlement Amount:	\$30,000.00
Individual Contribution Amount:	\$10,000.00

Civil Litigation Information

Court Details:	DISTRICT COURT FOR JOHNSON COUNTY, LA CV 059200
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Date Notice/Process Served:	07/21/1998
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	11/24/1999
Monetary Compensation Amount:	\$30,000.00
Individual Contribution Amount:	\$10,000.00
Broker Statement	SETTLED OUT OF COURT. 30,000 TOTAL SETTLEMENT - 10,000 PER DEFENDANT - NO LIMITS OR CONDITIONS



End of Report

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