



IAPD Report

GREGORY LEWIS MONEY

CRD# 2499581

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

GREGORY LEWIS MONEY (CRD# 2499581)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/07/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	COASTAL WEALTH ADVISORY SERVICES, LLC	CRD# 306986	06/15/2020

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **5** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	TRIUMPH WEALTH ADVISORS, INC.	153175	Lake Mary, FL	01/30/2018 - 07/13/2020
B	WORLD EQUITY GROUP, INC.	29087	Lake Mary, FL	07/01/2019 - 03/16/2020
B	TAYLOR CAPITAL MANAGEMENT INC.	43559	WOODSTOCK, GA	01/30/2018 - 08/01/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **5** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **COASTAL WEALTH ADVISORY SERVICES, LLC**
Main Address: 2500 W. LAKE MARY BLVD
SUITE 215
LAKE MARY, FL 32746
Firm ID#: 306986

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA North Carolina	Investment Adviser Representative	Approved	08/21/2025
IA South Carolina	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA Virginia	Investment Adviser Representative	Approved	07/15/2020
IA West Virginia	Investment Adviser Representative	Approved	06/16/2020

Branch Office Locations

COASTAL WEALTH ADVISORY SERVICES, LLC
2500 W. LAKE MARY BLVD
SUITE 215
LAKE MARY, FL 32746



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
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No information reported.



General Industry/Product Exams

Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/09/1994

State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	05/19/2017
 Uniform Securities Agent State Law Examination (S63)	Series 63	08/29/1994

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/30/2018 - 07/13/2020	TRIUMPH WEALTH ADVISORS, INC.	CRD# 153175	Lake Mary, FL
B	07/01/2019 - 03/16/2020	WORLD EQUITY GROUP, INC.	CRD# 29087	Lake Mary, FL
B	01/30/2018 - 08/01/2019	TAYLOR CAPITAL MANAGEMENT INC.	CRD# 43559	WOODSTOCK, GA
IA	11/06/2017 - 01/24/2018	BROKERS FINANCIAL	CRD# 139627	Lake Mary, FL
B	11/03/2017 - 01/24/2018	BROKERS INTERNATIONAL FINANCIAL SERVICES, LLC.	CRD# 139627	Lake Mary, FL
B	05/31/2011 - 11/08/2017	CFD INVESTMENTS, INC.	CRD# 25427	DALEVILLE, VA
B	03/02/2006 - 05/25/2011	SOUTHEAST INVESTMENTS, N.C., INC.	CRD# 43035	CHARLOTTE, NC
B	02/08/2002 - 01/27/2006	EQUITY SERVICES, INC.	CRD# 265	MONTPELIER, VT
B	01/24/2000 - 01/25/2002	METLIFE SECURITIES INC.	CRD# 14251	SPRINGFIELD, MA
B	01/24/2000 - 01/25/2002	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	NEW YORK, NY
B	04/26/1996 - 01/10/2000	EQUITY SERVICES, INC.	CRD# 265	MONTPELIER, VT
B	09/12/1994 - 06/30/1995	AMERICAN UNITED LIFE INSURANCE COMPANY	CRD# 1075	INDIANAPOLIS, IN
B	09/12/1994 - 06/30/1995	AUL EQUITY SALES CORP.	CRD# 4173	INDIANAPOLIS, IN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2020 - Present	Coastal Wealth Advisory Services, LLC	Managing Member/Investment Advisor Representative/Chief	Y	Lake Mary, FL, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
		Compliance Officer		
10/2008 - Present	Alexander, Money, & Associates, Inc.	President/Insurance Agent	Y	Lake Mary, FL, United States
01/2018 - 07/2020	Triumph Wealth Advisors, Inc.	investment Advisor Representative	Y	Woodstock, GA, United States
06/2019 - 03/2020	WORLD EQUITY GROUP	REGISTERED REPRESENTATIVE	Y	Arlington Heights, IL, United States
01/2018 - 08/2019	Taylor Capital Management Inc.	Registered Representative	Y	Woodstock, GA, United States
11/2017 - 01/2018	Brokers Financial	Investment Advisor Representative	Y	Lake Mary, FL, United States
11/2017 - 01/2018	Brokers International Financial Services, LLC	Registered Representative	Y	Lake Mary, FL, United States
05/2011 - 11/2017	CFD INVESTMENTS INC	REGISTERED REPRESENTATIVE	N	KOKOMO, IN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) INDEPENDENT INSURANCE AGENT (LIFE AND HEALTH), 10-20 HRS/MONTH DOING BUSINESS AS ALEXANDER, MONEY & ASSOCIATES WHICH IS A SUB-CHAPTER S CORPORATION OWNED BY ME IN WHICH FIXED LIFE AND FIXED ANNUITY COMMISSIONS ARE PROCESSED. THE ADDRESS FOR THIS FLORIDA CORPORATION IS 1240 STONEHAVEN CT., LAKE MARY, FL. 32746. THE MAILING ADDRESS IS P.O. BOX 952739, LAKE MARY, FL. 32795 FIXED INDEX ANNUITIES ARE PROCESSED THROUGH COMPASS FINANCIAL MANAGEMENT GROUP AND IS INVESTMENT RELATED. START DATE OF ALEXANDER, MONEY & ASSOCIATES, INC. WAS 9/5/2014 AND MY TITLE IS PRESIDENT. I DEVOTE APPROXIMATELY 40 TO 60 HOURS PER MONTH TO MY INSURANCE BUSINESS ACTIVITIES.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	ROANOKE COUNTY CRIMINAL COURT CASE # 972997
Charge Date:	08/10/1994
Charge Details:	CHARGED WITH ONE COUNT MISDEMEANOR INSUFFICIENT FUNDS
Felony?	No
Current Status:	Final
Status Date:	02/24/2000
Disposition Details:	DISMISSED
Broker Statement	IN 1994 AN UNACCOUNTED FOR CHECK CLEARED AFTER AN ACCOUNT WAS CLOSED. I DID NOT RECEIVE NOTIFICATION UNTIL LATE 1997. I SETTLED THE CHECK WITH THE VENDOR. THE MISDEMEANOR CHARGE WAS DISMISSED. IT WAS A MISUNDERSTANDING BECAUSE I HAD MOVED FROM THE AREA.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: METLIFE

Allegations: CLIENTS ALLEGE THE VARIABLE UNIVERSAL LIFE INSURANCE POLICIES THEY PURCHASED IN FEBRUARY AND MARCH 2001 WERE MISREPRESENTED.

Product Type: Other

Other Product Type(s): VARIABLE LIFE INSURANCE

Alleged Damages: \$15,079.43

Customer Complaint Information

Date Complaint Received: 07/07/2003

Complaint Pending? No

Status: Settled

Status Date: 08/20/2003

Settlement Amount: \$15,079.43

Individual Contribution Amount: \$0.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: METLIFE

Allegations: CLIEENTS ALLEGE THE VARIABLE UNIVERSAL LIFE INSURANCE POLICIES THEY PURCHASED IN FEBRUARY AND MARCH 2001 WERE MISREPRESENTED.

Product Type: Insurance

Alleged Damages: \$15,079.43

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 07/07/2003



Complaint Pending? No
Status: Settled
Status Date: 08/20/2003
Settlement Amount: \$15,079.43
Individual Contribution Amount: \$0.00

Broker Statement

In 2000, I was doing payroll deductions for Tazewell County schools in Tazewell, VA. The customer was payroll deducting into a variable life policy with MetLife sold by another agent. The customer was a beneficiary of his grandfather's trust, along with his mother & brother. All 3 relationships were strained a eventual lawsuit was filed and all trust income ceased. The customer was living a lifestyle based on his salary and trust income which was pretty significant. After that income ceased, the customer barely had enough income to cover his mortgage & groceries. The writing agent made incorrect statements on how liquid the MetLife policy was. The customer had notes from his meeting with the writing agent which noted how he could access cash values by reducing the death benefit. The statements were not accurate. I constructed a letter on the customer's behalf in an effort to access the cash values without penalty. MetLife agreed to surrender the policy without surrender charges. They applied my name to the complaint since I was the servicing agent. MetLife settled with the customer and I was not assessed any monetary penalty.



End of Report

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