



IAPD Report

JONATHAN PAUL REARDON

CRD# 2520178

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JONATHAN PAUL REARDON (CRD# 2520178)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/13/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	10/11/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	10/11/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **36** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AMERICAN PORTFOLIOS ADVISORS, INC	112697	HOLBROOK, NY	05/01/2020 - 10/11/2024
B	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	18487	Bala Cynwyd, PA	05/01/2020 - 10/11/2024
IA	PSS BENEFITS, LLC	286508	SOUTHAMPTON, PA	03/27/2017 - 12/31/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **36** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	10/11/2024
B	FINRA	General Securities Representative	Approved	10/11/2024
B	FINRA	Operations Professional	Approved	10/11/2024
B	Alabama	Agent	Approved	10/11/2024
B	Arizona	Agent	Approved	10/11/2024
B	Arkansas	Agent	Approved	10/11/2024
B	California	Agent	Approved	10/11/2024
IA	California	Investment Adviser Representative	Approved	10/11/2024
B	Colorado	Agent	Approved	10/11/2024
B	Connecticut	Agent	Approved	10/11/2024
B	Delaware	Agent	Approved	10/11/2024
B	District of Columbia	Agent	Approved	10/11/2024
IA	District of Columbia	Investment Adviser Representative	Approved	10/11/2024



Qualifications

	Regulator	Registration	Status	Date
B	Florida	Agent	Approved	10/11/2024
B	Georgia	Agent	Approved	10/11/2024
IA	Georgia	Investment Adviser Representative	Approved	10/11/2024
B	Illinois	Agent	Approved	10/11/2024
B	Indiana	Agent	Approved	10/11/2024
B	Louisiana	Agent	Approved	06/30/2025
B	Maine	Agent	Approved	10/11/2024
B	Maryland	Agent	Approved	10/11/2024
B	Massachusetts	Agent	Approved	10/11/2024
B	Michigan	Agent	Approved	10/11/2024
B	Minnesota	Agent	Approved	10/11/2024
IA	Minnesota	Investment Adviser Representative	Approved	10/11/2024
B	Nevada	Agent	Approved	10/11/2024
B	New Hampshire	Agent	Approved	10/11/2024
B	New Jersey	Agent	Approved	10/11/2024
IA	New Jersey	Investment Adviser Representative	Approved	02/07/2025
B	New Mexico	Agent	Approved	10/11/2024
B	New York	Agent	Approved	10/11/2024
B	North Carolina	Agent	Approved	10/11/2024



Qualifications

	Regulator	Registration	Status	Date
IA	North Carolina	Investment Adviser Representative	Approved	10/11/2024
B	Ohio	Agent	Approved	10/11/2024
B	Oklahoma	Agent	Approved	10/11/2024
B	Pennsylvania	Agent	Approved	10/11/2024
IA	Pennsylvania	Investment Adviser Representative	Approved	10/11/2024
B	Puerto Rico	Agent	Approved	05/13/2025
B	Rhode Island	Agent	Approved	10/11/2024
IA	Rhode Island	Investment Adviser Representative	Approved	10/11/2024
B	South Carolina	Agent	Approved	10/11/2024
B	Tennessee	Agent	Approved	10/11/2024
B	Texas	Agent	Approved	10/11/2024
B	Virginia	Agent	Approved	10/11/2024
B	Washington	Agent	Approved	10/11/2024
B	West Virginia	Agent	Approved	10/11/2024
IA	West Virginia	Investment Adviser Representative	Approved	10/11/2024
B	Wisconsin	Agent	Approved	10/11/2024

Branch Office Locations

OSAIC WEALTH, INC.
1041 Bustleton Pike
#4
Feasterville, PA 19053

OSAIC WEALTH, INC.
One Bala Plaza
231 St. Asaphs Rd, Ste 429
Bala Cynwyd, PA 19004



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	12/06/2000

General Industry/Product Exams

	Exam	Category	Date
	Operations Professional Examination (S99TO)	Series 99TO	04/19/2023
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	10/05/1994

State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	07/06/2002
	Uniform Securities Agent State Law Examination (S63)	Series 63	07/29/1994

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/01/2020 - 10/11/2024	AMERICAN PORTFOLIOS ADVISORS, INC	CRD# 112697	HOLBROOK, NY
B	05/01/2020 - 10/11/2024	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	CRD# 18487	Bala Cynwyd, PA
IA	03/27/2017 - 12/31/2020	PSS BENEFITS, LLC	CRD# 286508	SOUTHAMPTON, PA
IA	05/03/2012 - 05/08/2020	BCG SECURITIES, INC.	CRD# 70	CHERRY HILL, NJ
B	03/28/2003 - 05/08/2020	BCG SECURITIES, INC.	CRD# 70	CHERRY HILL, NJ
IA	07/11/2002 - 12/20/2002	AMERICAN EXPRESS FINANCIAL ADVISORS, INC.	CRD# 6363	WEST CONSHOHOCKE
B	10/06/1994 - 12/20/2002	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	MINNEAPOLIS, MN
B	10/06/1994 - 12/20/2002	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2024 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	SCOTTSDALE, AZ, United States
01/2016 - Present	PSS Benefits, LLC	Managing Member & Chief Compliance Officer	Y	Southampton, PA, United States
12/2012 - Present	Haddon Planning Ins Corp	Owner	N	Cherry Hill, NJ, United States
05/2020 - 10/2024	American Portfolios Financial Services	Financial Advisor	Y	Holbrook, NY, United States
03/2003 - 04/2020	BCG SECURITIES, INC.	Financial Advisor	Y	Cherry Hill, NJ, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Registered Rep and Investment Advisor Rep at APA Advisors
2. Owner of the Haddon Planning Ins Corp: Less then \$20,000 per year in Health Ins commissions
3. Registered Owner of PSS Benefits
4. President of Rowing Alumni Association non-profit for High School Kids: less then 15 hours per year of work
5. Vice President of Fairmount Boathouse; non-profit boathouse; start 1/15/2023 0% ownership; 0% compensation; 10 hours per month
6. Vice President of Quaker City Rowing Foundation; non-profit fundraising for Rowing; start 1/15/2023 0% ownership; 0% compensation; 2 hours per month

7. QUAKER CITY ROWING

POSITION: Vice President NATURE: non - profit fundraising for Rowing INVESTMENT RELATED: No NUMBER OF HOURS: 2
SECURITIES TRADING HOURS: 0 START DATE: 01/15/2023
ADDRESS: 2 Boathouse Row, Philadelphia PA 19130, United States
DESCRIPTION: Raise money

8. ROWING ALUMNI ASSOCIATION

POSITION: President NATURE: Non Profit INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING
HOURS: 0 START DATE: 01/02/2015
ADDRESS: 309 gypsy ln, wynnewood PA 19096, United States
DESCRIPTION: raise money

9. FAIRMOUNT ROWING ASSOCIATION

POSITION: President NATURE: non profit INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING
HOURS: 1 START DATE: 01/01/2025
ADDRESS: 2 Boathouse Row, Philadelphia PA 19130, United States
DESCRIPTION: run the boathouse

10. HADDON PLANNING CORP

POSITION: Owner NATURE: Corporation INVESTMENT RELATED: Yes NUMBER OF HOURS: 40 SECURITIES TRADING
HOURS: 40 START DATE: 01/02/2012
ADDRESS: One Bala Plaza, Bala Cynwyd PA 19004, United States
DESCRIPTION: The sale of Fixed Health Ins products

11. ARGAT FINANCIAL GROUP LTD

POSITION: CEO / President NATURE: Corporation INVESTMENT RELATED: Yes NUMBER OF HOURS: 15 SECURITIES
TRADING HOURS: 15 START DATE: 01/02/2010
ADDRESS: One Bala Plaza, 231 St. Asaphs Rs. Ste 429, Bala Cynwyd PA 19004, United States
DESCRIPTION: Handel Payroll and all business expenses and operations



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	Not Provided CASE 24903
Charge Date:	03/11/1993
Charge Details:	1) AUTO THEFT 2) DRIVING WHILE INTOXICATED
Felony?	Yes
Current Status:	Final
Status Date:	04/27/1993
Disposition Details:	AUTO THEFT CHARGE DROPPED; = MISDEMEANOR: CONVICTED UPON A PLEA OF GUILTY FOR OPERATING A MOTOR VEHICLE WHILE INTOXICATED. - FINE \$500.00 PAID - SUSPENSION OF DRIVING RIGHTS IN THE STATE OF NEW YORK FOR ONE YEAR.
Broker Statement	I RECEIVED PERMISSION TO USE A FRIENDS CAR TO DRIVE HOME FROM A LOCAL BAR. WHEN I WENT TO GET INTO THE CAR I GOT INTO THE WRONG ONE. I WAS DRIVING HOME AND WAS PULLED OVER AND ARRESTED FOR DRINKING AND DRIVING. THE CHARGES OF AUTO THEFT WERE DROPPED BECAUSE THE CAR I WAS IN WAS THE SAME MAKE, MODEL AND COLOR OF MY FRIENDS AND THE KEYS WERE IN IT. THE EXPLANATION OF WHY THIS I THIS INFORMATION WAS NOT DISCLOSED ON THE ORIGINAL U-4 APPLICATION WAS SIMPLY BECAUSE IT WAS OVERLOOKED. I DID DISCLOSE THE INFORMATION TO MY DIS. MANAGER AND TO ALL SERIES LICENSE AGENCY I TESTED FOR.



End of Report

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