



## IAPD Report

# JUAN CARLOS BENEDETI

CRD# 2520794

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### JUAN CARLOS BENEDETI (CRD# 2520794)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/08/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	AUSDAL FINANCIAL PARTNERS, INC.	CRD# 7995	11/29/2024
<b>IA</b>	AUSDAL FINANCIAL PARTNERS, INC.	CRD# 7995	12/01/2024

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	OSAIC ADVISORY SERVICES, LLC	171070	DAVIE, FL	10/14/2024 - 12/06/2024
<b>B</b>	OSAIC WEALTH, INC.	23131	DAVIE, FL	10/11/2024 - 12/06/2024
<b>IA</b>	OSAIC WEALTH, INC.	23131	DAVIE, FL	10/11/2024 - 10/11/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **8** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **AUSDAL FINANCIAL PARTNERS, INC.**

Main Address: 5187 UTICA RIDGE RD  
DAVENPORT, IA 52807

Firm ID#: 7995

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	11/29/2024
<b>B</b>	FINRA	Invest. Co and Variable Contracts	Approved	11/29/2024
<b>IA</b>	Arizona	Investment Adviser Representative	Approved	12/12/2024
<b>IA</b>	Colorado	Investment Adviser Representative	Approved	12/02/2024
<b>B</b>	Florida	Agent	Approved	11/29/2024
<b>IA</b>	Florida	Investment Adviser Representative	Approved	12/02/2024
<b>IA</b>	Illinois	Investment Adviser Representative	Approved	01/10/2025
<b>IA</b>	New Mexico	Investment Adviser Representative	Approved	12/03/2024
<b>B</b>	North Carolina	Agent	Approved	12/11/2024
<b>B</b>	South Carolina	Agent	Approved	11/29/2024
<b>IA</b>	Texas	Investment Adviser Representative	Restricted Approval	12/01/2024

### Branch Office Locations

**AUSDAL FINANCIAL PARTNERS, INC.**  
DADE CITY, FL



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
------	----------	------

No information reported.

#### General Industry/Product Exams

Exam	Category	Date
------	----------	------

Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	02/10/2003
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/05/1994

#### State Securities Law Exams

Exam	Category	Date
------	----------	------

Uniform Investment Adviser Law Examination (S65)	Series 65	07/13/2007
Uniform Securities Agent State Law Examination (S63)	Series 63	07/09/2001

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



### Registration & Employment History

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/14/2024 - 12/06/2024	OSAIC ADVISORY SERVICES, LLC	CRD# 171070	DAVIE, FL
B	10/11/2024 - 12/06/2024	OSAIC WEALTH, INC.	CRD# 23131	DAVIE, FL
IA	10/11/2024 - 10/11/2024	OSAIC WEALTH, INC.	CRD# 23131	DAVIE, FL
IA	08/02/2007 - 10/11/2024	AMERICAN PORTFOLIOS ADVISORS, INC	CRD# 112697	HOLBROOK, NY
B	03/14/2007 - 10/11/2024	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	CRD# 18487	DAVIE, FL
B	06/30/2003 - 03/15/2007	QUESTAR CAPITAL CORPORATION	CRD# 43100	PLANTATION, FL
B	08/22/2002 - 06/23/2003	JEFFERSON PILOT SECURITIES CORPORATION	CRD# 3870	FORT WAYNE, IN
B	03/02/1998 - 08/20/2002	QUESTAR CAPITAL CORPORATION	CRD# 43100	MINNEAPOLIS, MN
B	08/08/1994 - 03/03/1998	PFS INVESTMENTS INC.	CRD# 10111	DULUTH, GA

#### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2024 - Present	AUSDAL FINANCIAL PARTNERS, INC	REGISTERED REPRESENTATIVE	Y	DAVENPORT, IA, United States
10/2024 - 11/2024	OSAIC ADVISORY SERVICES	INVESTMENT ADVISOR	Y	ATLANTA, GA, United States
10/2024 - 11/2024	OSAIC WEALTH, INC.	Registered Represenatative	Y	SCOTTSDALE, AZ, United States
03/2007 - 10/2024	AMERICAN PORTFOLIOS	REGISTERED REP	Y	DAVIE, FL, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1.) ALL BENEFITS GROUP INC.; INVESTMENT RELATED; DADE CITY, FL; PRESIDENT/AGENT; ACTIVITY BEGAN IN 1998; I DEVOTE APPX 5 HOURS PER MONTH ALL DURING TRADING HOURS TO THIS ACTIVITY; DBA FOR OUTSIDE INSURANCE SALES.

2.) PASSPORT WEALTH MANAGEMENT; INVESTMENT RELATED; DADE CITY, FL; DBA FOR INSURANCE & SECURITIES; ACTIVITY BEGAN 2/2016. I DEVOTE APPX 120 HOURS PER MONTH TO THIS ACTIVITY ALL DURING TRADING HOURS; WORK WITH CLIENTS ON INVESTMENTS.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Regulator
<b>Regulatory Action Initiated By:</b>	Florida Office of Financial Regulation
<b>Sanction(s) Sought:</b>	Cease and Desist Civil and Administrative Penalty(ies)/Fine(s)
<b>Date Initiated:</b>	03/21/2019
<b>Docket/Case Number:</b>	94144-SR
<b>URL for Regulatory Action:</b>	
<b>Employing firm when activity occurred which led to the regulatory action:</b>	American Portfolios Advisors, Inc.
<b>Product Type:</b>	No Product
<b>Allegations:</b>	Conducted investment advisory business from offices within this state without the benefit of lawful registration.
<b>Current Status:</b>	Final
<b>Resolution:</b>	Order
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	No
<b>Resolution Date:</b>	03/21/2019



**Sanctions Ordered:** Cease and Desist  
Civil and Administrative Penalty(ies)/Fine(s)

**Monetary Sanction 1 of 1**

**Monetary Related Sanction:** Civil and Administrative Penalty(ies)/Fine(s)

**Total Amount:** \$5,000.00

**Portion Levied against individual:** \$5,000.00

**Payment Plan:**

**Is Payment Plan Current:**

**Date Paid by individual:** 03/21/2019

**Was any portion of penalty waived?** No

**Amount Waived:**

**Regulator Statement** On 3/21/2019, the Office of Financial Regulation entered a Final Order adopting the Stipulation and Consent Agreement in the matter of Juan Carlos Benedeti. Mr. Benedeti accepts consents, without admitting or denying the findings, and solely for the purpose of this proceeding, prior to a hearing and without an adjudication of any issue of law or fact, to the findings of the Office. The Office found that Juan Carlos Benedeti engaged in investment advisory business from offices within this state without the benefit of lawful registration in the state of Florida pursuant to section 517.12(4), F. S. Mr. Benedeti agreed to cease and desist from all present and future violations of Chapter 517, F. S. and the administrative rules thereunder; and to pay an administrative fine in the amount of \$5,000. The Office agreed to approve Mr. Benedeti's application as an associated person (RA) with American Portfolios Advisors, Inc. effective 3/21/2019.

---

**Reporting Source:** Individual

**Regulatory Action Initiated By:** Florida Office of Financial Regulation

**Sanction(s) Sought:** Cease and Desist  
Civil and Administrative Penalty(ies)/Fine(s)

**Date Initiated:** 03/21/2019

**Docket/Case Number:** 94144-SR

**Employing firm when activity occurred which led to the regulatory action:** American Portfolios Advisors, Inc.

**Product Type:** No Product

**Allegations:** Conducted investment advisory business within this state without the benefit of lawful registration.

**Current Status:** Final

**Resolution:** Order



<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	No
<b>Resolution Date:</b>	03/21/2019
<b>Sanctions Ordered:</b>	Cease and Desist Civil and Administrative Penalty(ies)/Fine(s)
<b>Monetary Sanction 1 of 1</b>	
<b>Monetary Related Sanction:</b>	Civil and Administrative Penalty(ies)/Fine(s)
<b>Total Amount:</b>	\$5,000.00
<b>Portion Levied against individual:</b>	\$5,000.00
<b>Payment Plan:</b>	
<b>Is Payment Plan Current:</b>	
<b>Date Paid by individual:</b>	03/21/2019
<b>Was any portion of penalty waived?</b>	No
<b>Amount Waived:</b>	
<b>Broker Statement</b>	American Portfolios Advisors, the company for which Mr Benedeti works for, mistakenly did not renew his Florida registration due to an administrative error. Mr Benedeti was not aware of the mistake so he continued to do advisory business in Florida. When the error was discovered by American Portfolios, it was immediately reported to Florida and corrected as soon as possible.



## Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	AMERICAN PORTFOLIOS FINANCIAL SERVICES INC.
<b>Allegations:</b>	ALLEGED SUITABILITY ISSUES WITH SHALE ROYALTIES #17
<b>Product Type:</b>	Other: LIMITED PARTNERSHIP - PPM
<b>Alleged Damages:</b>	\$25,000.00
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

### Customer Complaint Information

<b>Date Complaint Received:</b>	05/20/2010
<b>Complaint Pending?</b>	No
<b>Status:</b>	Denied
<b>Status Date:</b>	06/01/2010
<b>Settlement Amount:</b>	
<b>Individual Contribution Amount:</b>	



## End of Report

This page is intentionally left blank.