IAPD Report

GREGORY WILLIAM RICKER

CRD# 2545035

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

GREGORY WILLIAM RICKER (CRD# 2545035)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **09/18/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	VANDERBILT SECURITIES, LLC	CRD# 5953	09/10/2025
IA	VANDERBILT ADVISORY SERVICES	CRD# 116537	09/11/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	EQUITABLE ADVISORS, LLC	6627	BALA CYNWYD, PA	12/12/2024 - 09/18/2025
B	EQUITABLE ADVISORS, LLC	6627	BALA CYNWYD, PA	06/27/2023 - 09/18/2025
B	INDEPENDENT FINANCIAL GROUP, LLC	7717	Malvern, PA	12/12/2014 - 06/27/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **3** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **VANDERBILT SECURITIES, LLC**
Main Address: 125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797
Firm ID#: 5953

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	09/10/2025
B	New Jersey	Agent	Approved	09/11/2025
B	Pennsylvania	Agent	Approved	09/11/2025
B	Texas	Agent	Approved	09/11/2025

Branch Office Locations

Malvern, PA

Employment 2 of 2

Firm Name: **VANDERBILT ADVISORY SERVICES**
Main Address: 125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797
Firm ID#: 116537

	Regulator	Registration	Status	Date
IA	Pennsylvania	Investment Adviser Representative	Approved	09/11/2025
IA	Texas	Investment Adviser Representative	Restricted Approval	09/11/2025

Branch Office Locations

Qualifications

VANDERBILT ADVISORY SERVICES
Malvern, PA

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	04/22/1995

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	12/10/2024
B	Uniform Securities Agent State Law Examination (S63)	Series 63	09/01/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/12/2024 - 09/18/2025	EQUITABLE ADVISORS, LLC	CRD# 6627	BALA CYNWYD, PA
B	06/27/2023 - 09/18/2025	EQUITABLE ADVISORS, LLC	CRD# 6627	BALA CYNWYD, PA
B	12/12/2014 - 06/27/2023	INDEPENDENT FINANCIAL GROUP, LLC	CRD# 7717	Malvern, PA
B	03/30/2010 - 12/17/2014	AEGIS CAPITAL CORP.	CRD# 15007	NEW YORK, NY
B	08/14/2009 - 03/31/2010	GUNNALLEN FINANCIAL, INC	CRD# 17609	WEST CHESTER, PA
B	11/14/2008 - 08/17/2009	OPPENHEIMER & CO. INC.	CRD# 249	JENKINTOWN, PA
B	05/31/2007 - 11/20/2008	RBC CAPITAL MARKETS CORPORATION	CRD# 31194	CONSHOHOCKEN, PA
B	10/08/2004 - 06/05/2007	WACHOVIA SECURITIES, LLC	CRD# 19616	CONSHOHOCKEN, PA
B	05/28/2002 - 11/04/2004	JANNEY MONTGOMERY SCOTT LLC	CRD# 463	PHILADELPHIA, PA
B	10/09/1998 - 06/12/2002	RAYMOND JAMES & ASSOCIATES, INC.	CRD# 705	ST. PETERSBURG, FL
IA	10/09/1988 - 06/12/2002	RAYMOND JAMES & ASSOCIATES, INC.	CRD# 705	PHILADELPHIA, PA
B	02/03/1996 - 10/12/1998	A. G. EDWARDS & SONS, INC.	CRD# 4	ST. LOUIS, MO
B	04/24/1995 - 05/23/1995	OPPENHEIMER & CO., INC.	CRD# 630	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	Vanderbilt Advisory Services	Investment Advisor Representative	Y	Woodbury, NY, United States
09/2025 - Present	Vanderbilt Securities, LLC	Registered Representative	Y	Woodbury, NY, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2023 - 09/2025	Equitable Advisors, LLC	Registered Representative	Y	New York, NY, United States
11/2014 - 06/2023	INDEPENDENT FINANCIAL GROUP	FINANCIAL ADVISOR	Y	MALVERN, PA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) Executor of Estate for deceased Aunt. 401K Rollover & Individual Brokerage account ROLE: closing the account to distribute funds to the beneficiaries. Start Date: 09.01.2022.

(2) Administrator or executor of an estate or trustee of a trust for a non-family or family member, Agent of record: I am the executor of the Estate for my Aunt Sylvia Ramsey. It is not at trust just a standard will, Power of Attorney to affect trades: Yes I have full control over all the assets to buy and sell and execute the wishes of the will to it's heirs. The account will eventually closed and monies disperse all funds to heirs, Compensated for time and fees for being an executor;

(3) Executor as well as Durable Power of Attorney for Cousin, Hallie De Lessin. AKA Nicholas Warren. Power of Attorney to affect trades, Compensation: Only through the Estate for Executor fee. Effective: 3/2024

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 4

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	OPPENHEIMER & CO. INC.
Allegations:	CHURNING. ACCOUNTS WERE OPEN DURING THE PERIOD 12/2008 - 7/2009
Product Type:	Equity-OTC Equity Listed (Common & Preferred Stock) Mutual Fund
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	ALLEGED DAMAGES UNSPECIFIED BUT BELIEVED TO BE OVER $5000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	04/02/2013
Complaint Pending?	No
Status:	Denied
Status Date:	04/16/2013
Settlement Amount:	

Settlement Amount:

Individual Contribution Amount:

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	OPPENHEIMER & CO. INC.
Allegations:	CHURNING. ACCOUNTS WERE OPEN DURING THE PERIOD 12/2008 - 07/2009
Product Type:	Equity-OTC Equity Listed (Common & Preferred Stock) Mutual Fund
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	ALLEGED DAMAGES UNSPECIFIED BUT BELIEVED TO BE OVER $5000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	04/02/2013
Complaint Pending?	No
Status:	Denied
Status Date:	04/16/2013
Settlement Amount:	
Individual Contribution Amount:	

Disclosure 2 of 4

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	RBC CAPITAL MARKETS, LLC.
Allegations:	FORMER CUSTOMERS ARE CONCERNED THEIR ACCOUNT MAY HAVE BEEN EXCESSIVELY TRADED. ACCOOUNT REVIEW REQUESTED. DAMAGES UNSPECIFIED BUT BELIEVED TO EXCEED $5,000.
Product Type:	Equity Listed (Common & Preferred Stock)
Alleged Damages:	$0.00
Is this an oral complaint?	No
Is this a written complaint?	Yes

Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	05/11/2012
Complaint Pending?	No
Status:	Settled
Status Date:	12/04/2012
Settlement Amount:	$20,000.00
Individual Contribution Amount:	$0.00

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	RBC CAPITAL MARKETS, LLC.
Allegations:	FORMER CUSTOMERS ARE CONCERNED THEIR ACCOUNT MAY HAVE BEEN EXCESSIVELY TRADED. ACCOOUNT REVIEW REQUESTED. DAMAGES UNSPECIFIED.
Product Type:	Equity Listed (Common & Preferred Stock)
Alleged Damages:	$0.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	06/09/2012
Complaint Pending?	No
Status:	Settled
Status Date:	12/04/2012
Settlement Amount:	$20,000.00
Individual Contribution Amount:	$0.00
Broker Statement	THE CLAIM OF "CHURNING" DOES NOT REFLECT OR EXEMPLIFY MY ROLE AS THEIR FINANCIAL ADVISOR. AS NOTED PREVIOUSLY, I WAS IN CONSTANT COMMUNICATION WITH THE [CUSTOMERS] REGARDING THEIR INDIVIDUAL INVESTMENT ACCOUNTS, INVESTMENT OBJECTIVES AND THEIR SATISFACTION FOR SAME. THE [CUSTOMERS] NEVER EXPRESSED ANY CONCERNS OR DISSATISFACTION WITH ME AS THEIR FINANCIAL ADVISOR FOR THE LAST 6 SIX YEARS. THE [CUSTOMER'S] HAVE ALWAYS HAD COMPLETE ACCESS AND CONTROL OF THEIR ACCOUNTS VIA ONLINE ACCESS, MONTHLY/ANNUAL STATEMENTS AND CONFIRMATIONS OF EVERY TRANSACTION THAT OCCURRED IN THEIR ACCOUNTS. PLEASE NOTE THAT IN ADDITION TO THE ABOVE, FOR THE LAST 6 YEARS THEIR INVESTMENT OBJECTIVE HAS REMAINED AS

"TRADING AND SPECULATION" AND HAVE SUBMITTED MULTIPLE LETTERS CONFIRMING THEIR UNDERSTANDING AND STATUS OF ALL TRANSACTIONS IN THEIR ACCOUNTS.

Disclosure 3 of 4

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	RBC CAPITAL MARKETS CORPORATION
Allegations:	CUSTOMERS CLAIM THEIR FORMER BROKER EXECUTED A NUMBER OF TRANSACTIONS IN THEIR ACCOUNT WITHOUT THEIR KNOWLEDGE. SPECIFIC DAMAGES UNSPECIFIED
Product Type:	Equity Listed (Common & Preferred Stock)
Alleged Damages:	$0.00

Customer Complaint Information

Date Complaint Received:	12/01/2008
Complaint Pending?	No
Status:	Settled
Status Date:	04/28/2009
Settlement Amount:	$4,663.55
Individual Contribution Amount:	$0.00

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	RBC CAPITAL MARKETS CORPORATION
Allegations:	CUSTOMERS CLAIM THEIR FORMER BROKER EXECUTED A NUMBER OF TRANSACTIONS IN THEIR ACCOUNT WITHOUT THEIR KNOWLEDGE. SPECIFIC DAMAGES UNSPECIFIED.
Product Type:	Equity Listed (Common & Preferred Stock)
Alleged Damages:	$0.00

Customer Complaint Information

Date Complaint Received:	12/01/2008
Complaint Pending?	No
Status:	Settled
Status Date:	04/28/2009
Settlement Amount:	$4,663.55
Individual Contribution Amount:	$0.00

Disclosure 4 of 4

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: WACHOVIA SECURITIES, LLC

Allegations: PENNSYLVANIA CLIENT CLAIMED THAT HER ACCOUNTS WERE TRADED EXCESSIVELY FOR THE PURPOSE OF MAKING COMMISSIONS; FURTHER THAT MANY STOCKS WERE BOUGHT AND SOLD WITHIN DAYS AND WEEKS. THE CLIENT CLAIMED THAT A FORENSIC ACCOUNTING INDICATED COMMISSIONS TAKEN VARIED FROM 9.3% TO 28% OF THE TOTAL VALUE OF THE ACCOUNT OVER A 1 TO 1.5 YEAR PERIOD. THE CLIENT CLAIMED THAT THERE WAS TRADING IN SPECULATIVE STOCKS IN HER RETIREMENT ACCOUNTS INCLUDING FOREIGN STOCKS AND STOCKS WITH VALUE FLUCTUATIONS THAT WERE INAPPROPRIATE FOR RETIREMENT ACCOUNTS. THE CLIENT CLAIMED THAT ONE OF HER RETIREMENT ACCOUNTS LOST 28 % IN VALUE IN 2006 OF WHICH 1/3 WAS COMMISSIONS. THE CLIENT ALSO CLAIMED THAT HER ACCOUNT INVESTMENT OBJECTIVES WERE ALTERED OR FABRICATED. THE TIME PERIOD IN QUESTION IS OCTOBER 2004 THROUGH APPROXIMATELY MAY 2007. NO DAMAGES WERE SPECIFIED, BUT DAMAGES/LOSSES ARE ESTIMATED TO BE IN EXCESS OF $5,000.

Product Type: Equity - OTC

Alleged Damages: $5,001.00

Customer Complaint Information

Date Complaint Received: 10/16/2007

Complaint Pending? No

Status: Denied

Status Date: 11/27/2007

Settlement Amount:

Individual Contribution Amount:

Firm Statement THE FIRM DENIED THE COMPLAINT ON NOVEMBER 27, 2007. BASED UPON A REVIEW OF THE CLIENT'S ACCOUNTS, THE FIRM COULD NOT CONCLUDE THAT EXCESSIVE TRADING OCCURRED IN THE ACCOUNTS; NOR COULD THE FIRM CONCLUDE THAT THE INVESTMENTS THAT WERE PURCHASED AND SOLD FOR THE ACCOUNTS WERE INCONSISTENT WITH THE CLIENT'S TRADING AND SPECULATION INVESTMENT OBJECTIVES/RISK TOLERANCE. OVERALL DURING THE RELEVANT TIME PERIOD IT APPEARED THAT THE CLIENT'S ACCOUNTS ACHIEVED A POSITIVE PERFORMANCE.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: WACHOVIA SECURITIES, LLC

Allegations: PENNSYLVANIA CLIENT CLAIMED THAT HER ACCOUNTS WERE TRADED EXCESSIVELY FOR THE PURPOSE OF MAKING COMMISSIONS; FURTHER THAT MANY STOCKS WERE BOUGHT AND SOLD WITHIN DAYS AND WEEKS. THE CLIENT CLAIMED THAT A FORENSIC ACCOUNTING INDICATED COMMISSIONS TAKEN VARIED FROM 9.3% TO 28% OF THE TOTAL VALUE OF THE ACCOUNT OVER A 1 TO 1.5 YEAR PERIOD. THE CLIENT CLAIMED

THAT THERE WAS TRADING IN SPECULATIVE STOCKS IN HER RETIREMENT ACCOUNTS INCLUDING FOREIGN STOCKS AND STOCKS WITH VALUE FLUCTUATIONS THAT WERE INAPPROPRIATE FOR RETIREMENT ACCOUNTS. THE CLIENT CLAIMED THAT ONE OF HER RETIREMENT ACCOUNTS LOST 28 % IN VALUE IN 2006 OF WHICH 1/3 WAS COMMISSIONS. THE CLIENT ALSO CLAIMED THAT HER ACCOUNT INVESTMENT OBJECTIVES WERE ALTERED OR FABRICATED. THE TIME PERIOD IN QUESTION IS OCTOBER 2004 THROUGH APPROXIMATELY MAY 2007. NO DAMAGES WERE SPECIFIED, BUT DAMAGES/LOSSES ARE ESTIMATED TO BE IN EXCESS OF $5,000.

Product Type: Equity - OTC

Alleged Damages: $5,001.00

Customer Complaint Information

Date Complaint Received: 10/16/2007

Complaint Pending? No

Status: Denied

Status Date: 11/27/2007

Settlement Amount:

Individual Contribution Amount:

Broker Statement THE FIRM DENIED THE COMPLAINT ON NOVEMBER 27, 2007. BASED UPON A REVIEW OF THE CLIENT'S ACCOUNTS, THE FIRM COULD NOT CONCLUDE THAT EXCESSIVE TRADING OCCURRED IN THE ACCOUNTS; NOR COULD THE FIRM CONCLUDE THAT THE INVESTMENTS THAT WERE PURCHASED AND SOLD FOR THE ACCOUNTS WERE INCONSISTENT WITH THE CLIENT'S TRADING AND SPECULATION INVESTMENT OBJECTIVES/RISK TOLERANCE. OVERALL DURING THE RELEVANT TIME PERIOD IT APPEARED THAT THE CLIENT'S ACCOUNTS ACHIEVED A POSITIVE PERFORMANCE.

End of Report

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