



IAPD Report

JEFFREY CARL DOLLARHIDE

CRD# 2547923

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JEFFREY CARL DOLLARHIDE (CRD# 2547923)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/25/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	MML INVESTORS SERVICES, LLC	CRD# 10409	03/01/1996
IA	MML INVESTORS SERVICES, LLC	CRD# 10409	05/28/2009

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **53** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	G. R. PHELPS & CO., INC.	173	LOCATION	11/04/1994 - 03/01/1996

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **53** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **MML INVESTORS SERVICES, LLC**
Main Address: 1295 STATE STREET
SPRINGFIELD, MA 01111-0001
Firm ID#: 10409

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	03/01/1996
B	FINRA	General Securities Representative	Approved	10/17/1996
B	FINRA	General Securities Principal	Approved	08/25/1999
B	FINRA	Municipal Securities Principal	Approved	07/16/2013
B	FINRA	Municipal Securities Representative	Approved	07/16/2013
B	Alabama	Agent	Approved	07/06/2020
B	Alaska	Agent	Approved	03/08/2018
B	Arizona	Agent	Approved	03/01/1996
IA	Arizona	Investment Adviser Representative	Approved	05/28/2009
B	Arkansas	Agent	Approved	03/08/2018
B	California	Agent	Approved	11/16/1998
IA	California	Investment Adviser Representative	Approved	07/12/2024
B	Colorado	Agent	Approved	03/01/1996



Qualifications

Regulator	Registration	Status	Date
B Connecticut	Agent	Approved	03/09/2018
B Delaware	Agent	Approved	02/03/2022
B District of Columbia	Agent	Approved	04/30/2020
B Florida	Agent	Approved	08/09/2010
B Georgia	Agent	Approved	09/26/2016
B Hawaii	Agent	Approved	06/12/2020
B Idaho	Agent	Approved	05/21/2002
B Illinois	Agent	Approved	10/24/1997
B Indiana	Agent	Approved	07/18/2019
B Iowa	Agent	Approved	09/20/2004
B Kansas	Agent	Approved	05/04/2020
B Kentucky	Agent	Approved	05/08/2020
B Louisiana	Agent	Approved	04/30/2020
B Maine	Agent	Approved	05/22/2020
B Maryland	Agent	Approved	06/09/2020
B Massachusetts	Agent	Approved	11/10/2014
B Michigan	Agent	Approved	08/23/2010
B Minnesota	Agent	Approved	04/30/2020
B Mississippi	Agent	Approved	02/01/2022



Qualifications

Regulator	Registration	Status	Date
B Missouri	Agent	Approved	03/17/2022
B Montana	Agent	Approved	05/11/2020
B Nebraska	Agent	Approved	08/21/2000
B Nevada	Agent	Approved	08/15/2000
B New Hampshire	Agent	Approved	06/01/2020
B New Jersey	Agent	Approved	06/03/2020
B New Mexico	Agent	Approved	02/13/1998
B New York	Agent	Approved	03/08/2018
B North Carolina	Agent	Approved	04/17/2019
B North Dakota	Agent	Approved	10/11/2021
B Ohio	Agent	Approved	05/24/2013
B Oklahoma	Agent	Approved	04/27/2020
B Oregon	Agent	Approved	05/21/2002
B Pennsylvania	Agent	Approved	11/04/2013
B Puerto Rico	Agent	Approved	02/09/2022
B Rhode Island	Agent	Approved	10/18/2021
B South Carolina	Agent	Approved	05/07/2020
B South Dakota	Agent	Approved	09/20/2004



Qualifications

Regulator	Registration	Status	Date
B Tennessee	Agent	Approved	04/28/2020
B Texas	Agent	Approved	04/29/2019
IA Texas	Investment Adviser Representative	Restricted Approval	04/30/2019
B Utah	Agent	Approved	05/24/2002
B Vermont	Agent	Approved	04/30/2020
B Virgin Islands	Agent	Approved	02/02/2022
B Virginia	Agent	Approved	08/07/2019
B Washington	Agent	Approved	05/21/2002
B West Virginia	Agent	Approved	09/20/2004
B Wisconsin	Agent	Approved	06/03/2005
B Wyoming	Agent	Approved	05/24/2002

Branch Office Locations

MML INVESTORS SERVICES, LLC
 6263 N Scottsdale Rd
 Suite 140
 Scottsdale, AZ 85250

MML INVESTORS SERVICES, LLC
 San Diego, CA

MML INVESTORS SERVICES, LLC
 6700 E. Speedway Blvd
 STE 106
 Tucson, AZ 85710

MML INVESTORS SERVICES, LLC
 Scottsdale, AZ



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
Municipal Securities Principal Examination (S53)	Series 53	07/15/2013
General Securities Principal Examination (S24)	Series 24	08/24/1999

General Industry/Product Exams

Exam	Category	Date
Municipal Securities Representative Examination (S52TO)	Series 52TO	09/25/2025
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	10/16/1996
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	11/03/1994

State Securities Law Exams

Exam	Category	Date
Uniform Investment Adviser Law Examination (S65)	Series 65	01/20/1999
Uniform Securities Agent State Law Examination (S63)	Series 63	11/03/1994

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor



representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	11/04/1994 - 03/01/1996	G. R. PHELPS & CO., INC.	CRD# 173	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/1996 - Present	MML INVESTORS SERVICES	OTHER - REPRESENTATIVE	Y	SCOTTSDALE, AZ, United States
03/1996 - Present	MassMutual Life Insurance Company	General Agent	Y	Scottsdale, AZ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) Name: Dfg Brokerage Llc Inv Rel: Y Add: 6263 North Scottsdale Road, Suite#140, Scottsdale, Arizona 85250 Nature: Ind Life Position: 100% Owner Of Dfg Brokerage Llc Start Date:6/2006 No. Hr/Mo:1 No. Hr/Mo During Sec Trading: 1 (2) Name: Jeff Dollarhide Inv Rel: Y Add: 6263 North Scottsdale Road, Suite#140, Scottsdale, Arizona 85250 Nature: Life Settlements/Viaticals Position: Ownership Start Date: 1997 No. Hr/Mo: 1 No. Hr/Mo During Sec Trading: 1 Describe Duties: Assist Customers With Sales Of Whole Life And/Or Universal Life Policies To A Life Settlement Company (3) Name: The Dollarhide Financial Group, Llc Inv Rel: Y Add: 6263 North Scottsdale Road, Suite#140, Scottsdale, Arizona 85250 Nature: DbA for The Agency Position: Owner Start Date: 1997 No. Hr/Mo: 80 No. Hr/Mo During Sec Trading: 40 (4) Name: Dfg Casualty and Benefits, Llc Inv Rel: Y Add: 919 N 1st Street Phoenix Az 85004 Nature: P&C Insurance Position: Sales/Owner/ Partner In The Firm Start Date: 7/1/2017 No Hrs/Mo:2 No Hrs/Mo Dur Trading: 2 (5) Name: 401k Advisors of America Inv Rel: Y Add: 4350 E Camelback Road Suite B280 Phoenix Az 85018 Nature: 401k Company Position: Owner Start Date: 7/1/2017 No Hrs/Mo: 5 No Hrs/Mo Dur Trading: 5 (6) Grp Securities, Llc (Registered Broker Dealer): 4340 Redwood Highway, Suite B60, San Rafael, Ca 94903. Indirect Owner Through Dfg Retirement Services, Llc As A Non-Voting Class C Member. Investment Related: Yes. Time Spent On This Activity: 0 (7) Name: 725 Kennebeck, Llc Inv Rel: Y Add: Residential Nature: Investing In Start Up Technology Companies Position: Ceo/Owner Start Date: 2/15/2022 No Hrs/Mo: 4 No Hrs/Mo Dur Trading: 0 Duties: Talking To Start Up Companies, Getting Referred To Start-Ups On Interest In Investing In Company. Due Diligence, Research To Determine If Its A Fit (8) Name: Tw Companies Llc Inv Rel: Y Add: 6263 N Scottsdale Rd Suite 140 Scottsdale Az 85250 Nature social media And Lead Generation Position: Managing Member Start Date: 3/18/2024 No Hrs/Mo: 1 No Hrs/Mo Dur Trading: 0 Duties: Non-Participatory Owner



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MML INVESTORS SERVICES, LLC

Allegations: THE COMPLAINANTS ALLEGE THAT IN 2011, THE REPRESENTATIVE SUPERVISED ANOTHER REPRESENTATIVE THAT PURCHASED SECURITIES IN THEIR ACCOUNT WITHOUT THEIR AUTHORIZATION. THEY FURTHER ALLEGE THEIR ACCOUNT LATER WENT UNMANAGED.

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages: \$8,017.05

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 08/06/2012

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA



Docket/Case #: 12-02400
Date Notice/Process Served: 08/06/2012
Arbitration Pending? No
Disposition: Settled
Disposition Date: 07/23/2013
Monetary Compensation Amount: \$5,000.00
Individual Contribution Amount: \$0.00
Broker Statement INTERNAL CASE #201217253.

Disclosure 2 of 2

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: MML INVESTOR SERVICES, INC.

Allegations: CLIENT HAS ALLEGED THAT A DELAY IN RESPONDING ADVICE AND PROCESSING PAPERWORK ON TWO ACCOUNTS ASSOCIATED WITH A DECEDENT CAUSED THE BENEFICIARY AND JOINT OWNER OF THE ACCOUNTS TO LOSE MONEY

Product Type: Other
Other Product Type(s): MULTIPLE PRODUCTS HOUSED IN BROKERAGE ACCOUNTS
Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 10/13/2008
Complaint Pending? No
Status: Settled
Status Date: 03/23/2009
Settlement Amount: \$45,000.00
Individual Contribution Amount: \$5,000.00



End of Report

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