



IAPD Report

ZAIM [NO MIDDLE NAME] HAJDARI

CRD# 2559697

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ZAIM [NO MIDDLE NAME] HAJDARI (CRD# 2559697)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/20/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	THE HAJDARI GROUP, LLC	CRD# 289331	03/24/2026

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	THE HAJDARI GROUP, LLC	289331	STATEN ISLAND, NY	08/24/2017 - 12/31/2025
B	NI ADVISORS	134502	Staten Island, NY	07/18/2019 - 08/31/2023
IA	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	149018	NEW YORK, NY	11/09/2010 - 07/17/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Termination	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **THE HAJDARI GROUP, LLC**
Main Address: 1491 RICHMOND ROAD
STATEN ISLAND, NY 10304
Firm ID#: 289331

	Regulator	Registration	Status	Date
IA	New York	Investment Adviser Representative	Approved	03/24/2026
IA	Texas	Investment Adviser Representative	Restricted Approval	03/25/2026

Branch Office Locations

THE HAJDARI GROUP, LLC
1491 RICHMOND ROAD
STATEN ISLAND, NY 10304



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	01/02/2023

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	07/17/2017
B	General Securities Representative Examination (S7)	Series 7	11/28/1994

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	10/14/1996
B	Uniform Securities Agent State Law Examination (S63)	Series 63	12/09/1994



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/24/2017 - 12/31/2025	THE HAJDARI GROUP, LLC	CRD# 289331	STATEN ISLAND, NY
B	07/18/2019 - 08/31/2023	NI ADVISORS	CRD# 134502	Staten Island, NY
IA	11/09/2010 - 07/17/2017	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	NEW YORK, NY
B	06/15/2009 - 07/17/2017	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	NEW YORK, NY
IA	04/06/2009 - 06/19/2009	JANNEY MONTGOMERY SCOTT LLC	CRD# 463	NEW YORK, NY
B	04/03/2009 - 06/19/2009	JANNEY MONTGOMERY SCOTT LLC	CRD# 463	NEW YORK, NY
IA	04/26/2005 - 04/06/2009	CHASE INVESTMENT SERVICES CORP.	CRD# 25574	BROOKLYN, NY
B	11/12/2002 - 04/06/2009	CHASE INVESTMENT SERVICES CORP.	CRD# 25574	BROOKLYN, NY
B	12/06/1999 - 12/03/2002	KIRLIN SECURITIES INC.	CRD# 21210	SYOSSET, NY
B	02/05/1999 - 11/19/1999	DRAKE & COMPANY, INC.	CRD# 16227	NEW YORK, NY
B	12/13/1996 - 02/05/1999	PARAGON CAPITAL MARKETS	CRD# 18555	EAST HANOVER, NJ
B	07/19/1995 - 12/23/1996	GRUNTAL & CO. INCORPORATED	CRD# 372	NEW YORK, NY
B	01/01/1995 - 07/20/1995	M.S. FARRELL & COMPANY, INC.	CRD# 24232	SYOSSET, NY
B	11/29/1994 - 01/11/1995	JOSEPHTHAL LYON & ROSS INCORPORATED	CRD# 3227	NEW YORK, NY



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2018 - Present	HAJDARI FAMILY FOUNDATION	DIRECTOR	N	STATEN ISLAND, NY, United States
01/2018 - Present	15 UPTON STREET, LLC.	PROPERTY MANAGER	N	STATEN ISLAND, NY, United States
11/2015 - Present	AF Brokerage, Inc.	Independent Insurance Agent	Y	West Jefferson, NC, United States
06/2015 - Present	OWR Opinion Agency	Independent Insurance Agent	Y	Mahwah, NJ, United States
06/2009 - Present	THE HAJDARI GROUP, LLC	Principal / Investment Advisor Representative	Y	STATEN ISLAND, NY, United States
07/2019 - 08/2023	Ni Advisors Inc	Registered Representative	Y	Milpitas, CA, United States
01/2009 - 06/2018	GREEN EARTH MAINTENANCE SERVICES	PARTNER	N	STATEN ISLAND, NY, United States
06/2009 - 07/2017	RAYMOND JAMES FINANCIAL SERVICES ADVISORS INC.	INVESTMENT ADVISER REPRESENTATIVE	Y	NEW YORK, NY, United States
06/2009 - 07/2017	RAYMOND JAMES FINANCIAL SERVICES, INC.	FINANCIAL ADVISOR	Y	NEW YORK, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. THE HAJDARI GROUP, LLC. INVESTMENT RELATED. STATEN ISLAND, NY. FINANCIAL PLANNING & INVESTMENT MANAGEMENT SINCE JUNE 2009. OWNER & FINANCIAL ADVISOR. 160 HOURS/MONTH 8 HOURS/MONTH DURING SECURITIES TRADING HOURS. MANAGING THE FIRM & CLIENT RELATIONSHIP AND PORTFOLIO.
2. AF Brokerage, Inc. (West Jefferson, NC) and OWR Opinion Agency (Mahwah, NJ), since November 2015 and June 2015, respectively. Investment Related. INDEPENDENT INSURANCE AGENT. 4 HOURS/MONTH 4 HOURS/MONTH DURING NON BUSINESS HOURS. INSURANCE SALES.
3. HAJDARI FAMILY FOUNDATION. NON-INVESTMENT RELATED. 171 COVENTRY ROAD, STATEN ISLAND, NY 10304. CHARITABLE-PRIVATE FAMILY FOUNDATION SINCE JUNE 2018. MANAGING DIRECTOR. 1/2 HOURS/MONTH 0 HOURS/MONTH DURING NON BUSINESS HOURS. MANAGING CHARITABLE DONATIONS.
4. 15 UPTON STREET, LLC. NON-INVESTMENT RELATED. 171 COVENTRY ROAD, STATEN ISLAND, NY 10304. FAMILY UNIT RENTAL APARTMENT PROPERTY SINCE JANUARY 2018. RENTAL PROPERTY OWNER. 1 HOUR/MONTH 0 HOURS/MONTH DURING NON BUSINESS HOURS. PROPERTY MANAGEMENT.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Termination	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	RAYMOND JAMES FINANCIAL SERVICES, INC.
Allegations:	Complainant alleged that FA made misrepresentations to client in order to misappropriate client's funds for personal use. 05/16/2017 - 06/19/2017.
Product Type:	No Product
Alleged Damages:	\$169,600.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	06/19/2017
Complaint Pending?	No
Status:	Denied
Status Date:	07/20/2017
Settlement Amount:	
Individual Contribution Amount:	



Firm Statement After review, all allegations were denied as they pertain to the involvement of Raymond James Financial Services, Inc.

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Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: RAYMOND JAMES FINANCIAL SERVICES, INC.

Allegations: Complainant alleged that FA made misrepresentations to client in order to misappropriate client's funds for personal use. 05/16/2017 - 06/19/2017

Product Type: No Product

Alleged Damages: \$169,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 06/19/2017

Complaint Pending? No

Status: Denied

Status Date: 07/20/2017

Settlement Amount:

Individual Contribution Amount:

Firm Statement After review, all allegations were denied as they pertain to the involvement of Raymond James Financial Services, Inc.

Disclosure 2 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: CHASE INVESTMENT SERVICES CORP.

Allegations: CLIENT ALLEGES AN UNSUITABLE RECOMMENDATION RELATING TO A MUTUAL FUND INVESTMENT.

Product Type: Mutual Fund(s)

Alleged Damages: \$32,190.00

Customer Complaint Information

Date Complaint Received: 11/21/2008

Complaint Pending? No

Status: Denied

Status Date: 01/02/2009

Settlement Amount: \$0.00



Individual Contribution Amount: \$0.00

Disclosure 3 of 3

Reporting Source: Regulator

Employing firm when activities occurred which led to the complaint: KIRLIN SECURITIES INC.

Allegations: UNSUITABILITY, NEGLIGENCE, BREACH OF CONTRACT, BREACH OF FIDUCIARY DUTY

Product Type: Other

Other Product Type(s): TECHNOLOGY STOCKS

Alleged Damages: \$42,075.89

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD - CASE #03-06307

Date Notice/Process Served: 09/02/2003

Arbitration Pending? No

Disposition: Dismissed

Disposition Date: 04/06/2005

Disposition Detail: THE STATEMENT OF CLAIM AND ALL CLAIMS ASSERTED THEREIN ARE DISMISSED WITH PREJUDICE.

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Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: KIRLIN SECURITIES, INC.

Allegations: CUSTOMER ALLEGES UNSUITABILITY, NEGLIGENCE, BREACH OF CONTRACT, BREACH OF FIDUCIARY DUTY AND OMISSIONS/MISREPRESENTATION.

Product Type: Equity - OTC

Alleged Damages: \$42,076.00

Customer Complaint Information

Date Complaint Received: 09/11/2003

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 09/11/2003

Settlement Amount:

Individual Contribution Amount:

Arbitration Information



Arbitration/Reparation Claim filed with and Docket/Case No.: NASDR CASE NUMBER 03-06307

Date Notice/Process Served: 09/11/2003

Arbitration Pending? No

Disposition: Settled

Disposition Date: 01/04/2005

Monetary Compensation Amount: \$6,000.00

Individual Contribution Amount: \$5,000.00

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: KIRLIN SECURITIES, INC.

Allegations: CUSTOMER ALLEGES UNSUITABILITY, NEGLIGENCE, BREACH OF CONTRACT, BREACH OF FIDUCIARY DUTY AND OMISSIONS/MISREPRESENTATION.

Product Type: Equity - OTC

Alleged Damages: \$42,076.00

Customer Complaint Information

Date Complaint Received: 09/11/2003

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 09/11/2003

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD CASE NUMBER 03-06307

Date Notice/Process Served: 09/11/2003

Arbitration Pending? No

Disposition: Settled

Disposition Date: 01/04/2005

Monetary Compensation Amount: \$6,000.00

Individual Contribution Amount: \$5,000.00



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 2

Reporting Source: Firm

Firm Name: RAYMOND JAMES FINANCIAL SERVICES, INC.

Termination Type: Discharged

Termination Date: 07/11/2017

Allegations: FA failed to disclose the existence of a loan between the FA's wife and her brother who was a customer; FA benefited from a separate but related customer loan without obtaining approval from the Firm.

Product Type: No Product

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Reporting Source: Individual

Firm Name: Raymond James Financial Services Advisors, Inc.

Termination Type: Discharged

Termination Date: 07/11/2017

Allegations: FA failed to disclose the existence of a loan between the FA's wife and her brother who was a customer; FA benefited from a separate but related customer loan without obtaining approval from the Firm.

Product Type: No Product

Broker Statement In 2014 my wife and brother-in-law agreed to a construction loan. The loan was fully paid back in 2017. My brother-in-law also happened to be a client of mine. FINRA investigated the matter and found no issues and closed the matter.

Disclosure 2 of 2

Reporting Source: Firm

Firm Name: RAYMOND JAMES FINANCIAL SERVICES, INC.

Termination Type: Discharged

Termination Date: 07/11/2017

Allegations: FA failed to disclose the existence of a loan between the FA's wife and her brother who was a customer; FA benefited from a separate but related customer loan without obtaining approval from the Firm.

Product Type: No Product

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Reporting Source: Individual

Firm Name: Raymond James Financial Services, Inc.

Termination Type: Discharged



Termination Date:	07/11/2017
Allegations:	FA failed to disclose the existence of a loan between the FA's wife and her brother who was a customer; FA benefited from a separate but related customer loan without obtaining approval from the Firm.
Product Type:	No Product
Broker Statement	In 2014 my wife and brother-in-law agreed to a construction loan. The loan was fully paid back in 2017. My brother-in- law also happened to be a client of mine. FINRA investigated the matter and found no issues and closed the matter.



End of Report

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