



IAPD Report

Jeffrey Martin Deuel

CRD# 2579968

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Jeffrey Martin Deuel (CRD# 2579968)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/26/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	SUPREME ALLIANCE LLC	CRD# 45348	05/14/2019
IA	SUPREME ALLIANCE LLC	CRD# 45348	05/14/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	INFINITY FINANCIAL SERVICES	144302	OAKLAND, CA	11/11/2016 - 04/09/2019
IA	INFINITY FINANCIAL SERVICES	144302	OAKLAND, CA	11/11/2016 - 04/09/2019
IA	SCF INVESTMENT ADVISORS, INC.	123608	Alamo, CA	12/09/2015 - 11/07/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Judgment/Lien	25



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **SUPREME ALLIANCE LLC**
Main Address: CHARLOTTE, NC
Firm ID#: 45348

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	05/14/2019
B	FINRA	General Securities Representative	Approved	05/14/2019
B	California	Agent	Approved	05/14/2019
IA	California	Investment Adviser Representative	Approved	05/14/2019

Branch Office Locations

SUPREME ALLIANCE LLC
Alamo, CA



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	08/15/2005

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	03/07/1995

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	04/25/1995
B	Uniform Securities Agent State Law Examination (S63)	Series 63	03/24/1995



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	11/11/2016 - 04/09/2019	INFINITY FINANCIAL SERVICES	CRD# 144302	OAKLAND, CA
IA	11/11/2016 - 04/09/2019	INFINITY FINANCIAL SERVICES	CRD# 144302	OAKLAND, CA
IA	12/09/2015 - 11/07/2016	SCF INVESTMENT ADVISORS, INC.	CRD# 123608	Alamo, CA
B	12/09/2015 - 11/07/2016	SCF SECURITIES, INC.	CRD# 47275	ALAMO, CA
B	05/20/2005 - 12/02/2015	LPL FINANCIAL LLC	CRD# 6413	ALAMO, CA
IA	05/20/2005 - 12/02/2015	LPL FINANCIAL LLC	CRD# 6413	ALAMO, CA
IA	06/20/1997 - 05/24/2005	MERRILL LYNCH PIERCE FENNER & SMITH INC.	CRD# 7691	OAKLAND, CA
B	03/08/1995 - 05/24/2005	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2019 - Present	Supreme Alliance LLC	Registered Rep / Investment Advisor Rep	Y	West Palm Beach, FL, United States
11/2016 - 04/2019	INFINITY FINANCIAL SERVICES	FINANCIAL ADVISOR	Y	OAKLAND, CA, United States
12/2015 - 11/2016	SCF INVESTMENT ADVISORS, INC.	INVESTMENT ADVISOR REP	Y	FRESNO, CA, United States
12/2015 - 11/2016	SCF SECURITIES, INC.	REGISTERED REP	Y	FRESNO, CA, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. CRIMSON BEAR ROASTING - COFFEE ROASTING RETAIL/WHOLESALE - ROASTING GREEN COFFEE FOR SALE;
2. West Marine-Sales-4/15/24-Ft Lauderdale, FL-60hrs/mo-marine supplies-sales-salary
3. The Deuel Group - Self (DBA) - 03/01/2005 - Alamo, California - 160hrs/mo - 160hrs/mo during Business Hrs - Yes Business Related - Financial Services - Financial Services - Commission



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Judgment/Lien	25

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: FINRA

Sanction(s) Sought:

Date Initiated: 03/23/2018

Docket/Case Number: [2015048088001](#)

Employing firm when activity occurred which led to the regulatory action: LPL Financial LLC, SCF Securities, Inc., and Infinity Financial Services

Product Type: No Product

Allegations: Without admitting or denying the findings, Deuel consented to the sanctions and to the entry of findings that he failed to timely disclose four federal tax liens, four state tax liens and a civil judgment collectively totaling in excess of \$1 million to three member firms over a five year period.

Current Status: Final

Resolution: Acceptance, Waiver & Consent(AWC)

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 03/23/2018



Sanctions Ordered:

Civil and Administrative Penalty(ies)/Fine(s)
Suspension

If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?

No

(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?

(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or



(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

Sanction 1 of 1

Sanction Type: Suspension
Capacities Affected: All Capacities
Duration: Five months
Start Date: 05/07/2018
End Date: 10/06/2018

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)
Total Amount: \$5,000.00
Portion Levied against individual: \$5,000.00
Payment Plan:
Is Payment Plan Current:
Date Paid by individual: 06/05/2019
Was any portion of penalty waived? No

Amount Waived:

Regulator Statement Fines paid in full on June 5, 2019.

.....

Reporting Source: Individual
Regulatory Action Initiated By: FINRA
Sanction(s) Sought: Suspension
Date Initiated: 03/23/2018
Docket/Case Number: [2015048088001](#)



Employing firm when activity occurred which led to the regulatory action:	LPL FINANCIAL LLC, SCF SECURITIES, INFINITY FINANCIAL SERVICES
Product Type:	No Product
Allegations:	WITHOUT ADMITTING OR DENYING THE FINDINGS, DEUEL CONSENTED TO THE SANCTIONS AND TO ENTRY OF FINDINGS THAT HE FAILED TO TIMELY DISCLOSE FOUR FEDERAL TAX LIENS, FOUR STATE TAX LIENS AND A CIVIL JUDGEMENT COLLECTIVELY TOTALING IN EXCESS OF \$1 MILLION TO THREE MEMBER FIRMS OVER A FIVE YEAR PERIOD.
Current Status:	Final
Resolution:	Acceptance, Waiver & Consent(AWC)
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	03/23/2018
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s) Suspension
Sanction 1 of 1	
Sanction Type:	Suspension
Capacities Affected:	ALL CAPACITIES
Duration:	5 MONTHS
Start Date:	05/07/2018
End Date:	10/06/2018
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	\$5,000.00
Portion Levied against individual:	\$5,000.00
Payment Plan:	
Is Payment Plan Current:	
Date Paid by individual:	
Was any portion of penalty waived?	No
Amount Waived:	



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 25

Reporting Source:	Individual
Judgment/Lien Holder:	Jeffrey Deuel
Judgment/Lien Amount:	\$4,558.70
Judgment/Lien Type:	Tax
Date Filed with Court:	11/03/2025
Date Individual Learned:	12/08/2025
Type of Court:	Easy Bay Municipal Utility District
Name of Court:	Easy Bay Municipal Utility District
Location of Court:	Contra Costa, CA
Docket/Case #:	2025-0124063
Judgment/Lien Outstanding?	Yes

Disclosure 2 of 25

Reporting Source:	Individual
Judgment/Lien Holder:	Jeffrey Deuel
Judgment/Lien Amount:	\$108.12
Judgment/Lien Type:	Tax
Date Filed with Court:	10/29/2025
Date Individual Learned:	11/25/2025
Type of Court:	County of Contra Costa, CA
Name of Court:	County of Contra Costa, CA
Location of Court:	Contra Costa, CA
Docket/Case #:	2025-0120000
Judgment/Lien Outstanding?	Yes

Disclosure 3 of 25

Reporting Source:	Individual
Judgment/Lien Holder:	IRS
Judgment/Lien Amount:	\$119.38
Judgment/Lien Type:	Tax
Date Filed with Court:	10/24/2024
Date Individual Learned:	10/24/2024
Type of Court:	Contra Costa County Court
Name of Court:	Contra Costa County Court



Location of Court: Contra Costa, CA

Docket/Case #: 2024-0113165

Judgment/Lien Outstanding? Yes

Disclosure 4 of 25

Reporting Source: Individual

Judgment/Lien Holder: IRS

Judgment/Lien Amount: \$14,253.94

Judgment/Lien Type: Tax

Date Filed with Court: 08/22/1994

Date Individual Learned: 09/16/2024

Type of Court: Contra Costa County Court

Name of Court: Contra Costa County Court

Location of Court: Contra Costa, CA

Docket/Case #: 2024-0082014

Judgment/Lien Outstanding? Yes

Broker Statement This is due to the IRS requiring I re-file my 2020 returns. I requested documentation from them that arrived after their deadline and they put on the lien. I anticipate this one being resolved by year-end.

Disclosure 5 of 25

Reporting Source: Individual

Judgment/Lien Holder: IRS

Judgment/Lien Amount: \$475,799.05

Judgment/Lien Type: Tax

Date Filed with Court: 08/22/1994

Date Individual Learned: 09/16/2024

Type of Court: Contra Costa County Court

Name of Court: Contra Costa County Court

Location of Court: Contra Costa, CA

Docket/Case #: 2024-0082014

Judgment/Lien Outstanding? Yes

Broker Statement This is a restatement of a prior lien.

Disclosure 6 of 25

Reporting Source: Individual

Judgment/Lien Holder: IRS

Judgment/Lien Amount: \$117,497.01

Judgment/Lien Type: Tax



Date Filed with Court: 08/22/1994
Date Individual Learned: 09/16/2024
Type of Court: Contra Costa County Court
Name of Court: Contra Costa County Court
Location of Court: Contra Costa, CA
Docket/Case #: 2024-0082015
Judgment/Lien Outstanding? Yes
Broker Statement This is a restatement of a prior lien.

Disclosure 7 of 25

Reporting Source: Individual
Judgment/Lien Holder: California Franchise Tax Board
Judgment/Lien Amount: \$40,549.70
Judgment/Lien Type: Tax
Date Filed with Court: 02/15/2018
Date Individual Learned: 10/31/2018
Type of Court: Santa Cruz County Court
Name of Court: Santa Cruz County Court
Location of Court: Santa Cruz, CA (Santa Cruz County)
Docket/Case #: 20180004715
Judgment/Lien Outstanding? Yes
Broker Statement I learned about this on / about October 31, 2018. My Broker Dealer (Infinity Financial) informed Me about it !! I confirmed with them in January 2019 that the lien was legitimate. This was supposed to have been filed (DRP) on my U4 by my Broker Dealer (Infinity Financial) at that time.

Disclosure 8 of 25

Reporting Source: Individual
Judgment/Lien Holder: Internal Revenue Service
Judgment/Lien Amount: \$18,541.95
Judgment/Lien Type: Tax
Date Filed with Court: 01/24/2017
Date Individual Learned: 09/24/2017
Type of Court: Marin County Court
Name of Court: Marin County Court
Location of Court: Marin CA (Marin County)
Docket/Case #: 20170003263
Judgment/Lien Outstanding? Yes

**Broker Statement**

I disclosed this to my former Broker Dealer on 09/24/2017.
I also sent FINRA Disclosure Review copies of everything, in August 2019 to prove / backup my claim.

Disclosure 9 of 25

Reporting Source: Individual
Judgment/Lien Holder: California Franchise Tax Board
Judgment/Lien Amount: \$33,577.69
Judgment/Lien Type: Tax
Date Filed with Court: 02/28/2018
Date Individual Learned: 03/23/2018
Type of Court: Contra Costa County Court
Name of Court: Contra Costa County Court
Location of Court: Martinez CA (Contra Costa County)
Docket/Case #: 02779500
Judgment/Lien Outstanding? Yes

Broker Statement

I disclosed this to my previous Broker Dealer (Infinity Financial) on March 23, 2018. And I sent a copy of everything on August 25, 2019 to prove that I disclosed this to my Broker Dealer.

Disclosure 10 of 25

Reporting Source: Individual
Judgment/Lien Holder: State of California Franchise Tax Board
Judgment/Lien Amount: \$33,260.74
Judgment/Lien Type: Tax
Date Filed with Court: 05/09/2017
Date Individual Learned: 05/16/2017
Type of Court: County
Name of Court: Contra Costa Co Recorder Office
Location of Court: Martinez, CA
Docket/Case #: 2017-0081562-00
Judgment/Lien Outstanding? Yes

Disclosure 11 of 25

Reporting Source: Individual
Judgment/Lien Holder: Internal Revenue Service
Judgment/Lien Amount: \$57,268.53
Judgment/Lien Type: Tax
Date Filed with Court: 12/13/2016



Date Individual Learned: 04/01/2017
Type of Court: County
Name of Court: Contra Costa Co Recorder Office
Location of Court: Martinez, CA
Docket/Case #: 2016-0277338-00
Judgment/Lien Outstanding? Yes

Disclosure 12 of 25

Reporting Source: Individual
Judgment/Lien Holder: County of Contra Costa Tax Collector
Judgment/Lien Amount: \$383.58
Judgment/Lien Type: Tax
Date Filed with Court: 10/26/2016
Date Individual Learned: 04/01/2017
Type of Court: County
Name of Court: Contra Costa Co Recorder Office
Location of Court: Martinez, CA
Docket/Case #: 2016-0228322-00
Judgment/Lien Outstanding? Yes

Disclosure 13 of 25

Reporting Source: Individual
Judgment/Lien Holder: County of Contra Costa Tax Collector
Judgment/Lien Amount: \$387.08
Judgment/Lien Type: Tax
Date Filed with Court: 10/21/2015
Date Individual Learned: 04/01/2017
Type of Court: County
Name of Court: Contra Costa Co Recorder Office
Location of Court: Martinez, CA
Docket/Case #: 2015-0220531-00
Judgment/Lien Outstanding? Yes

Disclosure 14 of 25

Reporting Source: Individual
Judgment/Lien Holder: State of California Franchise Tax Board
Judgment/Lien Amount: \$22,491.21



Judgment/Lien Type: Tax
Date Filed with Court: 04/13/2015
Date Individual Learned: 06/01/2015
Type of Court: County
Name of Court: State of California Franchise Tax Board
Location of Court: Martinez, CA
Docket/Case #: 2015-0073441-00
Judgment/Lien Outstanding? Yes

Disclosure 15 of 25

Reporting Source: Individual
Judgment/Lien Holder: State of California Franchise Tax Board
Judgment/Lien Amount: \$380.44
Judgment/Lien Type: Tax
Date Filed with Court: 11/12/2014
Date Individual Learned: 05/09/2017
Type of Court: County
Name of Court: Contra Costa Co Recorder Office
Location of Court: Martinez, CA
Docket/Case #: 2014-0198782-00
Judgment/Lien Outstanding? Yes
Resolution: Released

Disclosure 16 of 25

Reporting Source: Individual
Judgment/Lien Holder: County of Contra Costa Tax Collector
Judgment/Lien Amount: \$127.87
Judgment/Lien Type: Tax
Date Filed with Court: 10/24/2012
Date Individual Learned: 06/01/2015
Type of Court: County
Name of Court: Contra Costa Co Recorder Office
Location of Court: Martinez, CA
Docket/Case #: 2012-0265448-00
Judgment/Lien Outstanding? Yes

Disclosure 17 of 25



Reporting Source: Individual
Judgment/Lien Holder: IRS
Judgment/Lien Amount: \$571,123.94
Judgment/Lien Type: Tax
Date Filed with Court: 07/25/2016
Date Individual Learned: 09/19/2016
Type of Court: Federal Court
Name of Court: CONTRA COSTA COUNTY RECORDERS OFFICE
Location of Court: MARTINEZ, CA
Docket/Case #: 2016-0146862-00
Judgment/Lien Outstanding? Yes
Broker Statement MULTIPLE TAX YEARS REFILED. LIEN WILL BE REDUCED PENDING PAYMENT WORKOUT WITH IRS.

Disclosure 18 of 25

Reporting Source: Individual
Judgment/Lien Holder: IRS
Judgment/Lien Amount: \$228,925.71
Judgment/Lien Type: Tax
Date Filed with Court: 07/25/2016
Date Individual Learned: 09/19/2016
Type of Court: Federal Court
Name of Court: CONTRA COSTA COUNTY RECORDERS OFFICE
Location of Court: MARTINEZ, CA
Docket/Case #: 2016-0146863-00
Judgment/Lien Outstanding? Yes
Broker Statement MULTIPLE TAX YEARS REFILED. LIEN AMOUNT WILL BE REDUCED PENDING PAYMENT WORKOUT WITH IRS.

Disclosure 19 of 25

Reporting Source: Individual
Judgment/Lien Holder: THOMPSON MAHAN & ASSOC
Judgment/Lien Amount: \$454.00
Judgment/Lien Type: Civil
Date Filed with Court: 05/07/2012
Date Individual Learned: 12/01/2015
Type of Court: COUNTY COURT
Name of Court: CONTRA COSTA COUNTY



Location of Court: CONTRA COSTA, CA
Docket/Case #: 3016001
Judgment/Lien Outstanding? Yes
Broker Statement DISPUTED MEDICAL BILL FOR ANESTHESIOLOGIST NOT APPROVED BY INSURANCE BROUGHT IN WITHOUT MY CONSENT

Disclosure 20 of 25

Reporting Source: Individual
Judgment/Lien Holder: COUNTY TAX LIEN
Judgment/Lien Amount: \$394.00
Judgment/Lien Type: Tax
Date Filed with Court: 10/23/2013
Date Individual Learned: 10/22/2014
Type of Court: COUNTY
Name of Court: CONTRA COSTA COUNTY COURT
Location of Court: CONTRA COSTA, CA
Docket/Case #: 2013025378900
Judgment/Lien Outstanding? Yes

Disclosure 21 of 25

Reporting Source: Individual
Judgment/Lien Holder: COUNTY TAX LIEN
Judgment/Lien Amount: \$374.00
Judgment/Lien Type: Tax
Date Filed with Court: 10/24/2012
Date Individual Learned: 10/22/2014
Type of Court: COUNTY
Name of Court: CONTRA COSTA COUNTY COURT
Location of Court: CONTRA COSTA, CA
Docket/Case #: 2012026548800
Judgment/Lien Outstanding? Yes

Disclosure 22 of 25

Reporting Source: Individual
Judgment/Lien Holder: COUNTY TAX LIEN
Judgment/Lien Amount: \$232.00
Judgment/Lien Type: Tax
Date Filed with Court: 10/22/2012



Date Individual Learned: 10/22/2014

Type of Court: COUNTY

Name of Court: CONTRA COSTA COUNTY COURT

Location of Court: CONTRA COSTA, CA

Docket/Case #: 201226776000

Judgment/Lien Outstanding? Yes

Disclosure 23 of 25

Reporting Source: Individual

Judgment/Lien Holder: COUNTY TAX LIEN

Judgment/Lien Amount: \$318.00

Judgment/Lien Type: Tax

Date Filed with Court: 10/20/2011

Date Individual Learned: 10/20/2011

Type of Court: COUNTY

Name of Court: CONTRA COSTA COUNTY COURT

Location of Court: CONTRA COSTA, CA

Docket/Case #: 2011022388400

Judgment/Lien Outstanding? Yes

Disclosure 24 of 25

Reporting Source: Individual

Judgment/Lien Holder: COUNTY TAX LIEN

Judgment/Lien Amount: \$332.00

Judgment/Lien Type: Tax

Date Filed with Court: 10/19/2005

Date Individual Learned: 05/08/2007

Type of Court: COUNTY

Name of Court: CONTRA COSTA COUNTY COURT

Location of Court: CONTRA COSTA, CA

Docket/Case #: 20070135996

Judgment/Lien Outstanding? Yes

Status Date: 05/08/2007

Broker Statement Still Outstanding. Not yet resolved.

Disclosure 25 of 25

Reporting Source: Individual

Judgment/Lien Holder: CALIFORNIA STATE FRANCHISE TAX BOARD



Judgment/Lien Amount:	\$6,810.00
Judgment/Lien Type:	Tax
Date Filed with Court:	06/02/2011
Date Individual Learned:	12/01/2015
Type of Court:	CA FRANCHISE TAX BOARD
Name of Court:	CONTRA COSTA COUNTY RECORDER OFFICE
Location of Court:	CONTRA COSTA COUNTY, CA
Docket/Case #:	2011-0119304-00
Judgment/Lien Outstanding?	Yes
Resolution:	Satisfied
Broker Statement	Still outstanding. Has not yet been resolved.



End of Report

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