



IAPD Report

ROBERT BIXBY GREEN JR.

CRD# 2582158

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ROBERT BIXBY GREEN JR. (CRD# 2582158)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/02/2025**.

CURRENT EMPLOYERS

Firm	CRD#	Registered Since
INDEPENDENT ADVISOR ALLIANCE, LLC	CRD# 168267	06/07/2025

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
ADVISOR RESOURCE COUNCIL	164109	Incline Villiage, NV	04/24/2023 - 05/30/2025
COJO BAY ADVISORS, LLC	133029	Incline Village, NV	02/27/2015 - 01/04/2024
MORRISON & FRAZIER, LLC	118438	LAFAYETTE, CA	04/11/2002 - 07/30/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **3** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **INDEPENDENT ADVISOR ALLIANCE, LLC**
Main Address: 11215 N. COMMUNITY HOUSE RD.
STE. 775
CHARLOTTE, NC 28277
Firm ID#: 168267

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	06/24/2025
IA Nevada	Investment Adviser Representative	Approved	07/01/2025
IA Texas	Investment Adviser Representative	Restricted Approval	06/07/2025

Branch Office Locations

INDEPENDENT ADVISOR ALLIANCE, LLC
930 Tahoe Blvd.
Suite 802-164
Incline Village, NV 89451



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 1 general industry/product exam, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/30/1995
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State Securities Law Exams

Exam	Category	Date
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B Uniform Securities Agent State Law Examination (S63)	Series 63	05/10/1999
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported 1 professional designation(s).

Chartered Financial Analyst

This representative holds or did hold 1 professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/24/2023 - 05/30/2025	ADVISOR RESOURCE COUNCIL	CRD# 164109	Incline Villiage, NV
IA	02/27/2015 - 01/04/2024	COJO BAY ADVISORS, LLC	CRD# 133029	Incline Village, NV
IA	04/11/2002 - 07/30/2004	MORRISON & FRAZIER, LLC	CRD# 118438	LAFAYETTE, CA
B	08/27/1998 - 05/03/2000	FIRST FUND DISTRIBUTORS, INC.	CRD# 27038	PHOENIX, AZ
B	03/21/1995 - 09/05/1996	FRANKLIN/TEMPLETON DISTRIBUTORS, INC.	CRD# 332	SAN MATEO, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2025 - Present	Independent Advisor Alliance	IAR	Y	Charlotte, NC, United States
01/2019 - Present	Bixby Benefit Auctioneers	Sole Proprietor	N	Incline Village, NV, United States
03/2023 - 06/2025	Advisor Resource Council LLC	Investment Advisor Representative	Y	Dallas, TX, United States
11/2015 - 06/2025	Cojo Bay Advisors, LLC	Managing Member	Y	Incline Village, NV, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 06/01/2018 - Name: Bixby Benefit Auctioneers, Investment Related: No, Address: Same as listed in Item 1 of this form, Nature of Business: Charity Auctioneers, Position: Owner, Organizer, Auctioneer, and Consultant, Number of hours: Approximately 2 hours per month, on average. 0 securities trading hours, Sole Proprietor of charitable auction business.
- 06/01/2020 - Highlander Pride - 774 Mays Blvd. Suite 10-672, Incline Village, NV 89451. - 501c3 Org. - Non profit that raises funds for high school sports, band, and cheer. President and fundraising chair. 10 hours/month
- 08/01/2018 - Fallen Waterman's Mandate - 930 Tahoe Blvd., Suite 802-164, Incline Village, NV 89451. 501c3 Org. Non-profit



Registration & Employment History



OTHER BUSINESS ACTIVITIES

that raises funds to finance educational opportunities for children of parents lost in ocean accidents. Treasurer - 5 hours/month

4. Name: Echelon Materials, LLC, Investment Related: No, Address: 652 Glenbrook Road, Suite 6-202, Stamford CT 06906, Nature of Business: Non Investment Related, Position: Board Member, Start Date: 10/01/2015 - Number of hours: Approximately 20 hours per month, on average. 5 securities trading hours, Board member, Investor

5. Name: Njord Capital Irrevocable Trust, Investment Related: Yes, Address: 930 Tahoe Blvd, Suite 802-164, Nature of Business: Investment Trust, Position: Board Trustee, Number of hours: Approximately 5 hours per month, on average. 0 securities trading hours, Trustee of an irrevocable trust which invests in stocks, bonds, private equity and real estate

6. Name: Laurence and Alexi Pelosi Trust, Investment Related: No, Address: 930 Tahoe Blvd, Suite 802-164, Incline Village NV 89451, Nature of Business: Trust, Position: Trustee, Start Date: 3/1/2022, Number of hours: Approximately 10 hours per month, on average. 0 securities trading, hours, Trustee for Multiple trusts. Review and approve transactions

7. Cojo Bay Advisors, Investment Related - DBA name for RIA business - 930 Tahoe, Blvd, Suite 802-164; Incline Village, NV 89451 - 130 hours/month during trading hours - started 06/02/2025



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	State of Nevada Securities Division
Sanction(s) Sought:	Cease and Desist Civil and Administrative Penalty(ies)/Fine(s)
Date Initiated:	03/13/2020
Docket/Case Number:	CIC19-082
Employing firm when activity occurred which led to the regulatory action:	Cojo Bay Advisors, LLC
Product Type:	Other: Investment Advice
Allegations:	Failure to keep required books and records - Applicant failed to keep or provide signed copies of client contracts and Investment Policy Statements.
Current Status:	Final
Resolution:	Administrative Consent Order
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	04/21/2020
Sanctions Ordered:	Cease and Desist Civil and Administrative Penalty(ies)/Fine(s)



Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$2,500.00

Portion Levied against individual: \$0.00

Payment Plan: N/A

Is Payment Plan Current:

Date Paid by individual: 04/20/2020

Was any portion of penalty waived? No

Amount Waived:

Broker Statement Applicant was ordered to maintain and provide the Securities Commission with copies of the signed copies of the missing client contracts and Investment Policy Statements. Applicant provided such.



End of Report

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