



IAPD Report

MARK WESLEY CHAMBERS

CRD# 2584363

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MARK WESLEY CHAMBERS (CRD# 2584363)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/18/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	THRASHER & CHAMBERS, INC.	CRD# 3586	11/13/2000
IA	THRASHER & CHAMBERS WEALTH MANAGEMENT, LLC	CRD# 293532	05/17/2018

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **5** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	NEW YORK, NY	02/08/1996 - 09/02/1999
B	DEAN WITTER REYNOLDS INC.	7556	PURCHASE, NY	02/23/1995 - 01/18/1996

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 5 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **THRASHER & CHAMBERS WEALTH MANAGEMENT, LLC**
Main Address: 1110 SE 28TH STREET
SUITE 10
BENTONVILLE, AR 72712
Firm ID#: 293532

Regulator	Registration	Status	Date
IA Arkansas	Investment Adviser Representative	Approved	05/17/2018
IA Missouri	Investment Adviser Representative	Approved	05/10/2024
IA Texas	Investment Adviser Representative	Restricted Approval	04/21/2025

Branch Office Locations

THRASHER & CHAMBERS WEALTH MANAGEMENT, LLC
1110 SE 28TH STREET
SUITE 10
BENTONVILLE, AR 72712

Employment 2 of 2

Firm Name: **THRASHER & CHAMBERS, INC.**
Main Address: 1110 SE 28TH STREET SUITE 10
BENTONVILLE, AR 72712-6706
Firm ID#: 3586

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	11/13/2000
B FINRA	General Securities Principal	Approved	03/22/2011
B FINRA	Operations Professional	Approved	12/05/2011



Qualifications

Regulator	Registration	Status	Date
B Arkansas	Agent	Approved	11/13/2000
B Louisiana	Agent	Approved	05/04/2011
B Missouri	Agent	Approved	10/16/2014
B Oklahoma	Agent	Approved	12/04/2017
B Texas	Agent	Approved	05/19/2011

Branch Office Locations

THRASHER & CHAMBERS, INC
1110 SE 28TH STREET SUITE 10
BENTONVILLE, AR 72712-6706

THRASHER & CHAMBERS, INC
1110 SE 28th STREET SUITE 10
BENTONVILLE, AR 72712




Qualifications

PASSED INDUSTRY EXAMS





This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	03/21/2011

General Industry/Product Exams

	Exam	Category	Date
	Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	Futures Managed Funds Examination (S31)	Series 31	04/28/1995
	General Securities Representative Examination (S7)	Series 7	02/22/1995

State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	02/27/2024
	Uniform Securities Agent State Law Examination (S63)	Series 63	02/28/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	02/08/1996 - 09/02/1999	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY
B	02/23/1995 - 01/18/1996	DEAN WITTER REYNOLDS INC.	CRD# 7556	PURCHASE, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2017 - Present	Thrasher & Chambers Wealth Mgmt, LLC	INVESTMENT ADVISOR, CCO, CEO, PRESIDENT	Y	Bentonville, AR, United States
07/1999 - Present	THRASHER & CHAMBERS, INC	REGISTERED REPRESENTATIVE	Y	BENTONVILLE, AR, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Also registered as a principal with Thrasher & Chambers, Inc. A Broker Dealer business at 1110 SE 28th Street, Suite 10 Bentonville, AR 72712. President and CEO. Affiliate of Thrasher & Chambers, Inc. Since 1999. 80 hours per month. 4 hours per trading day. I run the daily operations of Thrasher & Chambers, Inc. This includes being a registered representative to clients.

Mark is licensed to offer insurance products to clients through Thrasher & Chambers, Inc.

Mercy Health Planned Giving Committee. The Business is not investment related. 2710 S. Rife Medical Lane Rogers, AR. 72758 Mercy operates several local hospitals in NW Arkansas. The Planned Giving Committee helps to do charitable planning for the hospitals and raise money through local business fund raising. I'm a board member with the Planned Giving Committee. February of 2019. 1-2 hours per month. 1-2 hours per month during trading hours. Help plan and present on behalf of the hospital and Mercy Health Foundation.

Tigerdogs Development LLC. The business is not investment related.

Address: 3071 Rom Orchard RD. Fayetteville, AR. 72703

We own an office building through the LLC. I have a %50 interest in the LLC., which owns the building that houses our Thrasher & Chambers, Inc. business office. I am Member of the LLC.

Will spend 1-2 hours monthly. None during trading hours. I will help manage the building inside the LLC.

Tigerdogs Restorations LLC. DBA Complete Roofing and Restoration Solutions of NWA

Address: 4012 North Old Wire Road Fayetteville, AR. 72704

This is a Construction company that I own 25% of, with my current business partner that I own Tigerdogs Development with Brad Johnson.

I only spend 1 hour each quarterly going over the books and meeting with the other two LLC members. I have no dealings in the



Registration & Employment History



OTHER BUSINESS ACTIVITIES

day-to-day operations of this business. I am solely an investor in the business.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
Allegations:	CLAIMANTS ASSERT THAT THEY WERE PLACED IN INAPPROPRIATE INVESTMENTS AND SHOULD NOT HAVE USED MARGIN.
Product Type:	Equity - OTC
Other Product Type(s):	EQUITIES-LISTED
Alleged Damages:	\$500,000.00

Customer Complaint Information

Date Complaint Received:	04/30/2001
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	04/30/2001

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	[CUSTOMERS] V. MERRILL LYNCH, ET AL., MARK CHAMBERS AND [THIRD PARTY], NASD ARB. NO. 01-01532
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Date Notice/Process Served: 04/30/2001

Arbitration Pending? No

Disposition: Settled

Disposition Date: 03/26/2002

Monetary Compensation Amount: \$15,000.00

Individual Contribution Amount: \$0.00

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH

Allegations: CLAIMANTS ASSERT THAT THEY WERE PLACED IN INAPPROPRIATE INVESTMENTS AND SHOULD NOT HAVE USED MARGIN. CLAIMANTS HAVE SIGNED A SETTLEMENT RELEASING MARK CHAMBERS FROM ANY LIABILITY MARK HAS BEEN RELEASED FROM THIS COMPLAINT.

Product Type: Equity-OTC
Equity Listed (Common & Preferred Stock)

Alleged Damages: \$500,000.00

Is this an oral complaint?

Is this a written complaint?

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: NASD ARB. NO. 01-01532

Docket/Case #: NASD ARB. NO. 01-01532

Filing date of arbitration/CFTC reparation or civil litigation: 04/30/2001

Customer Complaint Information

Date Complaint Received: 04/30/2001

Complaint Pending? No

Status: Settled

Status Date: 07/01/2003

Settlement Amount: \$15,000.00

Individual Contribution Amount: \$0.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): NASD ARB. NO. 01-01532

Docket/Case #: NASD ARB. NO. 01-01532



Date Notice/Process Served:	04/30/2001
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	03/26/2002
Monetary Compensation Amount:	\$15,000.00
Individual Contribution Amount:	\$0.00



End of Report

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