



IAPD Report

DARREN ROBERT MUELLER

CRD# 2592111

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

DARREN ROBERT MUELLER (CRD# 2592111)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/04/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	GLOBAL VIEW CAPITAL MANAGEMENT LLC	CRD# 158292	01/02/2014
B	MCDERMOTT INVESTMENT SERVICES, LLC	CRD# 154926	05/16/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	PURSHE KAPLAN STERLING INVESTMENTS	35747	Brookfield, WI	01/02/2014 - 05/14/2025
B	PURSHE KAPLAN STERLING INVESTMENTS	35747	ALBANY, NY	12/23/2013 - 12/31/2013
IA	THE STRATEGIC FINANCIAL ALLIANCE	126514	MEQUON, WI	11/05/2010 - 12/31/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 2 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **MCDERMOTT INVESTMENT SERVICES, LLC**
Main Address: 900 BROAD AVE SOUTH
SUITE 2C
NAPLES, FL 34102
Firm ID#: 154926

	Regulator	Registration	Status	Date
	FINRA	Invest. Co and Variable Contracts	Approved	05/16/2025
	FINRA	Investment Co./Variable Contracts Prin	Approved	05/16/2025
	Minnesota	Agent	Approved	05/16/2025
	Wisconsin	Agent	Approved	05/16/2025

Branch Office Locations

MILWAUKEE, WI

Employment 2 of 2

Firm Name: **GLOBAL VIEW CAPITAL MANAGEMENT LLC**
Main Address: N14 W23833 STONE RIDGE DR - SUITE 350
WAUKESHA, WI 53188
Firm ID#: 158292

	Regulator	Registration	Status	Date
	Wisconsin	Investment Adviser Representative	Approved	01/02/2014

Branch Office Locations

GLOBAL VIEW CAPITAL MANAGEMENT LLC
13500 W. CAPITOL DRIVE
SUITE 102



Qualifications

BROOKFIELD, WI 53005



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	11/08/2006

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/05/1995

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	11/01/2006
B Uniform Securities Agent State Law Examination (S63)	Series 63	03/23/1995



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/02/2014 - 05/14/2025	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Brookfield, WI
B	12/23/2013 - 12/31/2013	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	ALBANY, NY
IA	11/05/2010 - 12/31/2013	THE STRATEGIC FINANCIAL ALLIANCE	CRD# 126514	MEQUON, WI
B	11/05/2010 - 12/31/2013	THE STRATEGIC FINANCIAL ALLIANCE, INC.	CRD# 126514	MEQUON, WI
IA	01/04/2007 - 11/03/2010	INVESTMENT ADVISORS INTERNATIONAL, INC.	CRD# 139233	MEQUON, WI
B	04/12/2002 - 11/03/2010	WORLD GROUP SECURITIES, INC.	CRD# 114473	MEQUON, WI
B	05/08/1995 - 04/12/2002	WMA SECURITIES, INC.	CRD# 32625	DULUTH, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2025 - Present	McDermott Investment Services, LLC	Registered Representative	Y	Bethlehem, PA, United States
01/2014 - Present	GLOBAL VIEW CAPITAL MANAGEMENT, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	WAUKESHA, WI, United States
11/2010 - Present	GLOBAL VIEW CAPITAL INSURANCE SERVICES, LLC	INSURANCE AGENT	Y	WAUKESHA, WI, United States
01/2014 - 05/2025	PURSHE KAPLAN STERLING INVESTMENTS	REGISTERED REPRESENTATIVE	Y	ALBANY, NY, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1)GLOBAL VIEW CAPITAL MANAGEMENT, LLC / YES-INVESTMENT RELATED / 13500 W. CAPITOL DRIVE, SUITE 102, BROOKFIELD, WI 53005 / INVESTMENT ADVISER REPRESENTATIVE / STARTED: 01-01-2014 / APPROX 120 OF HOURS PER MONTH / APPROX 100-120 HOURS PER MONTH DURING SECURITIES TRADING HOURS / MARKETING, EDUCATION AND SALES OF ADVISORY PRODUCTS/SERVICES, DEVELOP CLIENT RELATIONSHIPS WITH 3RD PARTY MANAGEMENT FIRMS AND MAINTAIN THOSE RELATIONSHIPS THOUGH SERVICING A CLIENT BASE AND IN ADDITION, ALSO PERFORMS SUPERVISOR AND ADMINISTRATIVE DUTIES AS ASSIGNED AND DELEGATED BY THE GVCM CHIEF COMPLIANCE OFFICER.

2) GLOBAL VIEW CAPITAL INSURANCE SERVICES, LLC / NOT INVESTMENT RELATED / HEADQUARTERED AT: N14W23833 STONE RIDGE DRIVE, SUITE 350, WAUKESHA, WI 53188 AND WORKING FROM: 13500 W. CAPITOL DRIVE, SUITE 102, ROKFIELD, WI 53005 / NATURE OF THE BUSINESS: INSURANCE AGENCY / POSITION: INSURANCE AGENT / START DATE: NOVEMBER 2010 / APPROX # OF HOURS PER MONTH: 20/ APPROX # OF HOURS PER MONTH DURING SECURITIES TRADING HOURS: 15/ DUTIES: SELL LIFE, LONG-TERM CARE, DISABILITY INSURANCE AND FIXED ANNUITIES.

3) Global View Capital Advisors, LLC-Investment related-N14W23833 Stone Ridge Drive, Suite 300, Waukesha, WI 53188-Marketing Firm for Advisory & Insurance Services-Independent Contractor-5/17/2013-140 hrs/mth-120 during trading hrs-Marketing, education sales of GVCM Advisory Services and GVCI Insurance Services.

4) Rental Property; Not Investment Related; 513009 Shifflet Road, Lot 84, Spring Green WI 53588; Rental Property/Landlord; 2/28/2022; 1 hr/mo; 1 hr during trading; I will be renting out a new investment property.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	WMA SECURITIES, INC.
Allegations:	CLIENT ALLEGES THAT THE VARIABLE UNIVERSAL LIFE INSURANCE POLICY PURCHASED IN JUNE 1997 WAS NOT EXPLAINED PROPERLY. CLIENT IS REQUESTING A REFUND OF PREMIUMS PAID.
Product Type:	Insurance
Alleged Damages:	\$11,411.95
Customer Complaint Information	
Date Complaint Received:	03/24/2003
Complaint Pending?	No
Status:	Denied
Status Date:	04/03/2003
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	PRODUCT PROVIDER DETERMINED THAT A REFUND IS NOT WARRANTED AND THAT THE CLIENT HAD THE INFORMATION WITH WHICH TO UNDERSTAND THAT HE HAD PURCHASED LIFE INSURANCE AND NOT A SAVINGS PLAN. COMPLAINT DENIED.



End of Report

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