



IAPD Report

Mark Skirbe

CRD# 2595173

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Mark Skirbe (CRD# 2595173)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/05/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	J.P. MORGAN SECURITIES LLC	CRD# 79	09/14/2023
IA	J.P. MORGAN SECURITIES LLC	CRD# 79	09/15/2023

QUALIFICATIONS

This representative is currently registered in **26** SRO(s) and **53** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CHARLES SCHWAB & CO., INC.	5393	Paramus, NJ	03/14/2022 - 06/26/2023
B	CHARLES SCHWAB & CO., INC.	5393	Paramus, NJ	01/25/2022 - 06/26/2023
IA	TD AMERITRADE INVESTMENT MANAGEMENT, LLC	111514	Paramus, NJ	12/15/2008 - 06/26/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **53** jurisdiction(s) and 26 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **J.P. MORGAN SECURITIES LLC**

Main Address: 383 MADISON AVE
NEW YORK, NY 10179

Firm ID#: 79

	Regulator	Registration	Status	Date
B	BOX Exchange LLC	General Securities Principal	Approved	09/14/2023
B	BOX Exchange LLC	General Securities Representative	Approved	09/14/2023
B	BOX Exchange LLC	General Securities Sales Supervisor	Approved	09/14/2023
B	Cboe BYX Exchange, Inc.	General Securities Principal	Approved	09/14/2023
B	Cboe BYX Exchange, Inc.	General Securities Representative	Approved	09/14/2023
B	Cboe BZX Exchange, Inc.	General Securities Principal	Approved	09/14/2023
B	Cboe BZX Exchange, Inc.	General Securities Representative	Approved	09/14/2023
B	Cboe C2 Exchange, Inc.	General Securities Principal	Approved	09/14/2023
B	Cboe C2 Exchange, Inc.	General Securities Representative	Approved	09/14/2023
B	Cboe C2 Exchange, Inc.	General Securities Sales Supervisor	Approved	09/14/2023
B	Cboe EDGA Exchange, Inc.	General Securities Principal	Approved	09/14/2023
B	Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	09/14/2023
B	Cboe EDGA Exchange, Inc.	General Securities Sales Supervisor	Approved	09/14/2023



Qualifications

Regulator	Registration	Status	Date
B Cboe EDGX Exchange, Inc.	General Securities Principal	Approved	09/14/2023
B Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	09/14/2023
B Cboe EDGX Exchange, Inc.	General Securities Sales Supervisor	Approved	09/14/2023
B Cboe Exchange, Inc.	General Securities Principal	Approved	09/14/2023
B Cboe Exchange, Inc.	General Securities Representative	Approved	09/14/2023
B Cboe Exchange, Inc.	General Securities Sales Supervisor	Approved	09/14/2023
B FINRA	General Securities Principal	Approved	09/14/2023
B FINRA	General Securities Representative	Approved	09/14/2023
B FINRA	General Securities Sales Supervisor	Approved	09/14/2023
B FINRA	Municipal Fund	Approved	09/14/2023
B Investors' Exchange LLC	General Securities Principal	Approved	09/14/2023
B Investors' Exchange LLC	General Securities Representative	Approved	09/14/2023
B Long-Term Stock Exchange, Inc.	General Securities Principal	Approved	09/14/2023
B Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	09/14/2023
B MEMX LLC	General Securities Principal	Approved	09/14/2023
B MEMX LLC	General Securities Representative	Approved	09/14/2023
B MEMX LLC	General Securities Sales Supervisor	Approved	09/14/2023
B MIAX Emerald, LLC	General Securities Principal	Approved	09/14/2023



Qualifications

Regulator	Registration	Status	Date
B MIAX Emerald, LLC	General Securities Representative	Approved	09/14/2023
B MIAX Emerald, LLC	General Securities Sales Supervisor	Approved	09/14/2023
B MIAX PEARL, LLC	General Securities Principal	Approved	09/14/2023
B MIAX PEARL, LLC	General Securities Representative	Approved	09/14/2023
B MIAX PEARL, LLC	General Securities Sales Supervisor	Approved	09/14/2023
B MIAX Sapphire	General Securities Principal	Approved	09/23/2024
B MIAX Sapphire	General Securities Representative	Approved	09/23/2024
B MIAX Sapphire	General Securities Sales Supervisor	Approved	09/23/2024
B Miami International Securities Exchange, LLC	General Securities Principal	Approved	09/14/2023
B Miami International Securities Exchange, LLC	General Securities Representative	Approved	09/14/2023
B Miami International Securities Exchange, LLC	General Securities Sales Supervisor	Approved	09/14/2023
B NYSE American LLC	General Securities Principal	Approved	09/14/2023
B NYSE American LLC	General Securities Representative	Approved	09/14/2023
B NYSE American LLC	General Securities Sales Supervisor	Approved	09/14/2023
B NYSE Arca, Inc.	General Securities Principal	Approved	09/14/2023
B NYSE Arca, Inc.	General Securities Representative	Approved	09/14/2023
B NYSE Arca, Inc.	General Securities Sales Supervisor	Approved	09/14/2023
B NYSE National, Inc.	General Securities Principal	Approved	09/14/2023



Qualifications

Regulator	Registration	Status	Date
B NYSE National, Inc.	General Securities Representative	Approved	09/14/2023
B NYSE National, Inc.	General Securities Sales Supervisor	Approved	09/14/2023
B NYSE Texas, Inc.	General Securities Principal	Approved	09/14/2023
B NYSE Texas, Inc.	General Securities Representative	Approved	09/14/2023
B NYSE Texas, Inc.	General Securities Sales Supervisor	Approved	09/14/2023
B Nasdaq BX, Inc.	General Securities Principal	Approved	09/14/2023
B Nasdaq BX, Inc.	General Securities Representative	Approved	09/14/2023
B Nasdaq BX, Inc.	General Securities Sales Supervisor	Approved	09/14/2023
B Nasdaq GEMX, LLC	General Securities Principal	Approved	09/14/2023
B Nasdaq GEMX, LLC	General Securities Representative	Approved	09/14/2023
B Nasdaq GEMX, LLC	General Securities Sales Supervisor	Approved	09/14/2023
B Nasdaq ISE, LLC	General Securities Principal	Approved	09/14/2023
B Nasdaq ISE, LLC	General Securities Representative	Approved	09/14/2023
B Nasdaq ISE, LLC	General Securities Sales Supervisor	Approved	09/14/2023
B Nasdaq MRX, LLC	General Securities Principal	Approved	09/14/2023
B Nasdaq MRX, LLC	General Securities Representative	Approved	09/14/2023
B Nasdaq MRX, LLC	General Securities Sales Supervisor	Approved	09/14/2023
B Nasdaq PHLX LLC	General Securities Principal	Approved	09/14/2023
B Nasdaq PHLX LLC	General Securities Representative	Approved	09/14/2023



Qualifications

Regulator	Registration	Status	Date
B Nasdaq PHLX LLC	General Securities Sales Supervisor	Approved	09/14/2023
B Nasdaq Stock Market	General Securities Principal	Approved	09/14/2023
B Nasdaq Stock Market	General Securities Representative	Approved	09/14/2023
B Nasdaq Stock Market	General Securities Sales Supervisor	Approved	09/14/2023
B New York Stock Exchange	General Securities Principal	Approved	09/14/2023
B New York Stock Exchange	General Securities Representative	Approved	09/14/2023
B New York Stock Exchange	General Securities Sales Supervisor	Approved	09/14/2023
B Alabama	Agent	Approved	09/14/2023
B Alaska	Agent	Approved	09/14/2023
B Arizona	Agent	Approved	09/14/2023
B Arkansas	Agent	Approved	09/14/2023
B California	Agent	Approved	09/14/2023
B Colorado	Agent	Approved	09/14/2023
B Connecticut	Agent	Approved	09/14/2023
B Delaware	Agent	Approved	09/14/2023
B District of Columbia	Agent	Approved	09/14/2023
B Florida	Agent	Approved	09/14/2023
B Georgia	Agent	Approved	09/14/2023
B Hawaii	Agent	Approved	09/14/2023



Qualifications

	Regulator	Registration	Status	Date
B	Idaho	Agent	Approved	09/14/2023
B	Illinois	Agent	Approved	09/14/2023
B	Indiana	Agent	Approved	09/14/2023
B	Iowa	Agent	Approved	09/14/2023
B	Kansas	Agent	Approved	09/14/2023
B	Kentucky	Agent	Approved	09/14/2023
B	Louisiana	Agent	Approved	09/14/2023
B	Maine	Agent	Approved	09/14/2023
B	Maryland	Agent	Approved	09/14/2023
B	Massachusetts	Agent	Approved	09/14/2023
B	Michigan	Agent	Approved	09/14/2023
B	Minnesota	Agent	Approved	09/14/2023
B	Mississippi	Agent	Approved	09/14/2023
B	Missouri	Agent	Approved	09/14/2023
B	Montana	Agent	Approved	09/14/2023
B	Nebraska	Agent	Approved	09/14/2023
B	Nevada	Agent	Approved	09/14/2023
B	New Hampshire	Agent	Approved	09/14/2023
B	New Jersey	Agent	Approved	09/14/2023



Qualifications

Regulator	Registration	Status	Date
IA New Jersey	Investment Adviser Representative	Approved	09/15/2023
B New Mexico	Agent	Approved	09/14/2023
B New York	Agent	Approved	09/14/2023
B North Carolina	Agent	Approved	09/14/2023
B North Dakota	Agent	Approved	09/14/2023
B Ohio	Agent	Approved	09/15/2023
B Oklahoma	Agent	Approved	09/14/2023
B Oregon	Agent	Approved	09/14/2023
B Pennsylvania	Agent	Approved	09/14/2023
B Puerto Rico	Agent	Approved	09/14/2023
B Rhode Island	Agent	Approved	09/14/2023
B South Carolina	Agent	Approved	09/14/2023
B South Dakota	Agent	Approved	09/14/2023
B Tennessee	Agent	Approved	09/14/2023
B Texas	Agent	Approved	09/14/2023
IA Texas	Investment Adviser Representative	Restricted Approval	09/15/2023
B Utah	Agent	Approved	09/14/2023
B Vermont	Agent	Approved	09/14/2023



Qualifications

Regulator	Registration	Status	Date
B Virgin Islands	Agent	Approved	09/14/2023
B Virginia	Agent	Approved	09/14/2023
B Washington	Agent	Approved	09/14/2023
B West Virginia	Agent	Approved	09/14/2023
B Wisconsin	Agent	Approved	09/14/2023
B Wyoming	Agent	Approved	09/14/2023

Branch Office Locations

J.P. MORGAN SECURITIES LLC
36 E Midland Ave
Paramus, NJ 07652

J.P. MORGAN SECURITIES LLC
600 Newark Pompton Tpke
Pompton Plains, NJ 07444

J.P. MORGAN SECURITIES LLC
417 KINDERKAMACK RD.
ORADELL, NJ 07649

J.P. MORGAN SECURITIES LLC
221 N Washington Ave
Bergenfield, NJ 07621

J.P. MORGAN SECURITIES LLC
555 N FRANKLIN TPKE
RAMSEY, NJ 07446

J.P. MORGAN SECURITIES LLC
686 KINDERKAMACK RD.
RIVER EDGE, NJ 07661

J.P. MORGAN SECURITIES LLC
271A LIVINGSTON ST.
NORTHVALE, NJ 07647

J.P. MORGAN SECURITIES LLC
169 KINDERKAMACK RD
PARK RIDGE, NJ 07656

J.P. MORGAN SECURITIES LLC
22A CHESTNUT RIDGE RD.
MONTVALE, NJ 07645

J.P. MORGAN SECURITIES LLC
1401 VALLEY ROAD
WAYNE, NJ 07470

J.P. MORGAN SECURITIES LLC
331 LAFAYETTE AVE
HAWTHORNE, NJ 07506

J.P. MORGAN SECURITIES LLC
345 RAMAPO VALLEY ROAD
OAKLAND, NJ 07436

J.P. MORGAN SECURITIES LLC
36 E. MIDLAND AVE.
PARAMUS, NJ 07652

J.P. MORGAN SECURITIES LLC
58 Farm View
MONTVALE, NJ 07645

J.P. MORGAN SECURITIES LLC
525 CEDAR HILL AVE.
WYCKOFF, NJ 07481

J.P. MORGAN SECURITIES LLC
14-25 Plaza Rd Ste 1
FAIRLAWN, NJ 07410

J.P. MORGAN SECURITIES LLC
845 FRANKLIN LAKES RD
FRANKLIN LAKES, NJ 07417

J.P. MORGAN SECURITIES LLC
499 BROADWAY
WESTWOOD, NJ 07675



Qualifications

J.P. MORGAN SECURITIES LLC
20 HAMBURG TNPK
RIVERDALE, NJ 07457

J.P. MORGAN SECURITIES LLC
115 COUNTY RD
TENAFLY, NJ 07670

J.P. MORGAN SECURITIES LLC
84 EAST RIDGEWOOD AVE.
RIDGEWOOD, NJ 07450

J.P. MORGAN SECURITIES LLC
17 Vervalen St
Closter, NJ 07624

J.P. MORGAN SECURITIES LLC
36 E Midland Avenue
Paramus , NJ 07652







Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

	Exam	Category	Date
	Municipal Fund Securities Principal Examination (S51)	Series 51	11/15/2005
	General Securities Principal Examination (S24)	Series 24	03/15/2004
	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	10/13/2000
	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	10/29/1999

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	03/22/1995

State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	10/05/2004
	 Uniform Securities Agent State Law Examination (S63)	Series 63	04/24/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.





Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/14/2022 - 06/26/2023	CHARLES SCHWAB & CO., INC.	CRD# 5393	Paramus, NJ
B	01/25/2022 - 06/26/2023	CHARLES SCHWAB & CO., INC.	CRD# 5393	Paramus, NJ
IA	12/15/2008 - 06/26/2023	TD AMERITRADE INVESTMENT MANAGEMENT, LLC	CRD# 111514	Paramus, NJ
B	12/15/2008 - 06/26/2023	TD AMERITRADE, INC.	CRD# 7870	Paramus, NJ
IA	12/15/2008 - 10/14/2022	TD AMERITRADE, INC.	CRD# 7870	Paramus, NJ
IA	10/07/2004 - 07/25/2007	T. ROWE PRICE ADVISORY SERVICES, INC.	CRD# 108958	SHORT HILLS, NJ
B	01/27/2004 - 07/25/2007	T. ROWE PRICE INVESTMENT SERVICES, INC.	CRD# 8348	SHORT HILLS, NJ
B	07/25/1996 - 06/03/2002	TD WATERHOUSE INVESTOR SERVICES, INC.	CRD# 7870	OMAHA, NE
B	03/23/1995 - 07/19/1996	D. H. BLAIR & CO., INC.	CRD# 6833	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	J.P. Morgan Securities LLC	EXECDIR Market Director Wealth Mgmt	Y	Paramus, NJ, United States
09/2023 - Present	JPMorgan Chase Bank, N.A.	EXECDIR Market Director Wealth Mgmt	Y	Paramus, NJ, United States
07/2023 - 08/2023	Unemployed	Unemployed	N	Hackettstown, NJ, United States
07/2022 - 06/2023	CHARLES SCHWAB BANK, SSB	Investment Adviser Representative	Y	Paramus, NJ, United States
01/2022 - 06/2023	Charles Schwab & Co., Inc.	Registered Rep	Y	Paramus, NJ, United States
12/2008 - 06/2023	TD AMERITRADE INVESTMENT MANAGEMENT, LLC	Registered Rep	Y	SHORT HILLS, NJ, United States
12/2008 - 06/2023	TD AMERITRADE, INC.	Registered Rep	Y	SHORT HILLS, NJ, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
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OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



End of Report

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