



IAPD Report

Jackson Robert Paulishak

CRD# 2597335

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Jackson Robert Paulishak (CRD# 2597335)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/22/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	EMPIRIKAL PARTNERS LLC	CRD# 282691	03/04/2024
B	INNOVATION PARTNERS LLC	CRD# 146344	02/25/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	PURSHE KAPLAN STERLING INVESTMENTS	35747	Frederick, MD	05/14/2021 - 02/05/2025
IA	SAPPHIRE BLUE INVESTMENT PARTNERS	291609	Frederick, MD	02/04/2022 - 04/02/2024
IA	SAPPHIRE BLUE INVESTMENT PARTNERS	291609	Frederick, MD	06/09/2021 - 12/31/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	1
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **8** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **INNOVATION PARTNERS LLC**
Main Address: 5950 FAIRVIEW ROAD
SUITE 140
CHARLOTTE, NC 28210
Firm ID#: 146344

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	02/25/2025
B	FINRA	General Securities Representative	Approved	02/25/2025
B	Florida	Agent	Approved	06/10/2025
B	Kansas	Agent	Approved	06/09/2025
B	Maryland	Agent	Approved	05/29/2025
B	North Carolina	Agent	Approved	06/02/2025
B	Pennsylvania	Agent	Approved	06/09/2025
B	Virginia	Agent	Approved	04/23/2025
B	West Virginia	Agent	Approved	06/02/2025

Branch Office Locations

INNOVATION PARTNERS LLC
Frederick, MD

Employment 2 of 2

Firm Name: **EMPIRIKAL PARTNERS LLC**
Main Address: PHOENIX, AZ



Qualifications

Firm ID#: 282691

	Regulator	Registration	Status	Date
IA	Arizona	Investment Adviser Representative	Approved	03/18/2024
IA	Maryland	Investment Adviser Representative	Approved	03/04/2024

Branch Office Locations

EMPIRIKAL PARTNERS LLC

10 N. Jefferson St.
Suite 406
Frederick, MD 21701



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	06/19/2007

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	04/13/1995

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	04/25/1995
B	Uniform Securities Agent State Law Examination (S63)	Series 63	04/20/1995



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/14/2021 - 02/05/2025	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Frederick, MD
IA	02/04/2022 - 04/02/2024	SAPPHIRE BLUE INVESTMENT PARTNERS	CRD# 291609	Frederick, MD
IA	06/09/2021 - 12/31/2021	SAPPHIRE BLUE INVESTMENT PARTNERS	CRD# 291609	Frederick, MD
B	09/20/2019 - 05/14/2021	CETERA ADVISOR NETWORKS LLC	CRD# 13572	FREDERICK, MD
IA	02/23/2006 - 05/14/2021	SUMMIT FINANCIAL GROUP INC	CRD# 109485	FREDERICK, MD
B	02/23/2006 - 09/20/2019	SUMMIT BROKERAGE SERVICES, INC.	CRD# 34643	FREDERICK, MD
IA	08/15/2001 - 02/23/2006	MERRILL LYNCH PIERCE FENNER & SMITH INC.	CRD# 7691	FREDERICK, MD
B	06/29/2001 - 02/23/2006	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	FREDERICK, MD
B	04/18/1995 - 07/10/2001	LEGG MASON WOOD WALKER, INCORPORATED	CRD# 6555	BALTIMORE, MD

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2024 - Present	empiriKal partners llc	Investment Advisor Representative	Y	Phoenix, AZ, United States
05/2021 - Present	Purshe Kaplan Sterling Investments	Registered Representative	Y	Albany, NY, United States
02/2006 - Present	Jackson Paulishak, sole proprietor dba Liberator Wealth Mgmt	President/ Insurance Agent	Y	Frederick, MD, United States
02/2022 - 04/2024	Sapphire Blue Investment Partners	Investment Advisor Representative	Y	Frederick, MD, United States
06/2021 - 12/2021	Sapphire Blue Investment Partners	Investment Advisor Representative	Y	Frederick, MD, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2019 - 05/2021	Cetera Advisor Networks LLC	Registered Representative	Y	Frederick, MD, United States
02/2006 - 05/2021	Summit Financial Group Inc	Investment Advisor Representative	Y	Frederick, MD, United States
02/2006 - 09/2019	Summit Brokerage Services, Inc.	Registered Representative	Y	Frederick, MD, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) Jackson Paulishak, Sole Proprietor dba Liberator Wealth Management, LLC; Yes; Frederick, MD; Advisory business; President/ Insurance Agent; 02/2006; 80/MO; 40/MO

(2) Purshe Kaplan Sterling Investments; Yes; Frederick, MD; Broker-dealer; Registered Representative; 05/2021; 40/MO; 30/MO

(3) Outside RIA Firm. 03/2024. Empirikal Investment Partners, 1612 West Molly Ln. Phoenix, AZ 85085. This is the outside RIA firm that I run my advisory through. empirikalpartnersliberatorwealth.com. Liberator Wealth is my DBA name, my responsibilities are investment advisors services. Hours per week expected to devote to this activity: 40 hrs. during normal business hours.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	1
Judgment/Lien	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	LEGG MASON WOOD WALKER, INC.
Allegations:	CLIENT ALLEGES MISREPRESENTATION IN CONNECTION WITH THE PURCHASE OF CDS.
Product Type:	CD
Alleged Damages:	\$37,350.70
Is this an oral complaint?	Yes
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/04/2000
Complaint Pending?	No
Status:	Settled
Status Date:	12/14/2000
Settlement Amount:	\$46,048.66
Individual Contribution	\$30,000.00



Amount:

Arbitration Information

Disposition: Settled

Disposition Date: 12/14/2020

Civil Litigation Information

Disposition: Settled

Disposition Date: 12/14/2020



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	MERRILL LYNCH,PIERCE,FENNER & SMITH INC,
Termination Type:	Discharged
Termination Date:	02/10/2006
Allegations:	MR. PAULISHAK'S EMPLOYMENT WAS TERMINATED ON FEBRUARY 10,2006,AFTER THE FIRM CONCLUDED THAT HE HAD VIOLATED FIRM POLICY BY EXERCISING DISCRETION IN CLIENTS' ACCOUNTS.
Product Type:	Other
Other Product Types:	
.....	
Reporting Source:	Individual
Firm Name:	MERRILL LYNCH
Termination Type:	Discharged
Termination Date:	02/10/2006
Allegations:	UNAUTHORIZED TRADING
Product Type:	Mutual Fund(s)
Other Product Types:	CLOSED END AND OPEN END
Broker Statement	CLIENT DID NOT REMEMBER AUTHORIZING TRADES WHEN SHE GOT HER 1099 AND CALLED MANAGEMENT.



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source: Individual
Judgment/Lien Holder: IRS
Judgment/Lien Amount: \$69,378.74
Judgment/Lien Type: Tax
Date Filed with Court: 01/30/2025
Date Individual Learned: 01/30/2025
Type of Court: IRS
Name of Court: IRS Office
Location of Court: Frederick MD
Judgment/Lien Outstanding? Yes

Broker Statement

During my divorce, money was tight, I was distracted by family issues and I lacked adequate accounting help. Actively correcting this now, filing all back returns with my tax professional, and then will engage in an aggressive payment plan to correct this.



End of Report

This page is intentionally left blank.