



## IAPD Report

# MARK ROBERT GREMLER

CRD# 2597452

<b><u>Section Title</u></b>	<b><u>Page(s)</u></b>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### MARK ROBERT GREMLER (CRD# 2597452)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/24/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>IA</b>	GREMLER FINANCIAL CORP	CRD# 116220	03/21/2006
<b>B</b>	INTEGRITY ALLIANCE, LLC.	CRD# 139627	11/02/2020
<b>IA</b>	INTEGRITY ALLIANCE, LLC	CRD# 139627	11/02/2020

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>B</b>	VOYA FINANCIAL ADVISORS, INC.	2882	DES MOINES, IA	01/01/2004 - 12/31/2018
<b>IA</b>	VOYA FINANCIAL ADVISORS, INC.	2882	DES MOINES, IA	01/01/2004 - 12/31/2018
<b>IA</b>	GREMLER FINANCIAL GROUP	116220	DES MOINES, IA	07/27/1999 - 12/31/2005

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 4 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **INTEGRITY ALLIANCE, LLC**  
Main Address: 4135 NW URBANDALE DR  
URBANDALE, IA 50322  
Firm ID#: 139627

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	11/02/2020
<b>B</b>	FINRA	Invest. Co and Variable Contracts	Approved	11/02/2020
<b>B</b>	California	Agent	Approved	05/21/2025
<b>B</b>	Colorado	Agent	Approved	02/28/2024
<b>B</b>	Iowa	Agent	Approved	11/02/2020
<b>IA</b>	Iowa	Investment Adviser Representative	Approved	11/02/2020
<b>B</b>	Wisconsin	Agent	Approved	01/03/2024

#### Branch Office Locations

**INTEGRITY ALLIANCE, LLC**  
West Des Moines, IA

#### Employment 2 of 2

Firm Name: **GREMLER FINANCIAL CORP**  
Main Address: CUMMING, IA  
Firm ID#: 116220

	Regulator	Registration	Status	Date
<b>IA</b>	Iowa	Investment Adviser Representative	Approved	03/21/2006



## Qualifications

Regulator	Registration	Status	Date
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### Branch Office Locations

**GREMLER FINANCIAL CORP**  
2425 Cumming Avenue  
Cumming, IA 50061



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	11/11/1999
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/17/1995

#### State Securities Law Exams

Exam	Category	Date
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Uniform Securities Agent State Law Examination (S63)	Series 63	05/03/1995
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/01/2004 - 12/31/2018	VOYA FINANCIAL ADVISORS, INC.	CRD# 2882	DES MOINES, IA
IA	01/01/2004 - 12/31/2018	VOYA FINANCIAL ADVISORS, INC.	CRD# 2882	DES MOINES, IA
IA	07/27/1999 - 12/31/2005	GREMLER FINANCIAL GROUP	CRD# 116220	DES MOINES, IA
IA	02/26/2002 - 01/01/2004	LOCUST STREET SECURITIES, INC.	CRD# 1703	DES MOINES, IA
B	04/18/1995 - 01/01/2004	LOCUST STREET SECURITIES, INC.	CRD# 1703	DES MOINES, IA
IA	01/01/1999 - 09/06/2002	VESTAX SECURITIES CORPORATION	CRD# 10332	WEST DES MOINES, IA

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2020 - Present	Integrity Alliance, LLC	RR & IAR	Y	West Des Moines, IA, United States
02/2004 - Present	GREMLER FINANCIAL GROUP	OWNER/Investment Advisor Rep	Y	West Des Moines, IA, United States
09/2014 - 12/2018	VOYA FINANCIAL ADVISORS	REG REP	Y	DES MOINES, IA, United States
04/2015 - 04/2017	BTL NETWORK	PARTNER	Y	DES MOINES, IA, United States
05/2013 - 04/2017	TECHNICAL ADVISORY GROUP (TAG)	MENTOR	Y	DES MOINES, IA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) Fixed Insurance; Not investment related; 405 Railroad Place, West Des Moines, IA, 50265; Fixed insurance sales; Agent; Started 1/31/1994; 10 hours per month, 0 during trading; Sales of Fixed insurance.

2) DSM Real Estate LLC; Not investment related; 2425 Cumming Avenue, Cumming, IA 50061; Rental property management; Owner; Started 9/26/2017; 20 hours per month, 0 during trading; Oversee the operation of the company.



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

3) Gremler Financial Corp; Not investment related; 405 Railroad Place, West Des Moines, IA, 50265; DBA; Owner; Started 2/1/2004; 0 hours per month, 0 during trading; Financial Advisor

4) Gremler Farms; Not investment related; 2425 Cumming Ave, Cumming, IA 50061; Farming, produce sales, barn rental for events; Owner; started 1/1/2010; 5 hours per month, 0 during trading; Maintain building and crops.

5) Gremler Financial group; Investment related; 405 Railroad Place, West Des Moines, IA, 50265; Registered Investment Adviser; Owner; started 7/1/1999; 5 hours per month, 0 during trading; Administration of a dormant RIA - Maintained for purposes of business continuity planning

#### 6) BLACK SILO COFFEE ROASTING CO.

POSITION: Investor NATURE: This is a coffee roasting company. At this time, we sell wholesale only--to coffee shops, mail order customers, and grocery stores. INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 0 START DATE: 12/23/2025

ADDRESS: 2425 Cumming Ave, Cumming IA 50061, United States

DESCRIPTION: My role is advisory and supportive--helping my daughter with marketing strategy, sales ideas, and online presence, and assisting with funding and equipment decisions as the business grows.

#### 7) ROOSTERS COFFEE

POSITION: Owner NATURE: This business is a coffee house that sells coffee plus breakfast and lunch items from a retail storefront. It is planned to eventually include a speakeasy concept as part of the venue. INVESTMENT RELATED: No NUMBER OF HOURS: 40 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2025

ADDRESS: 4301 Cumming Ave, Cumming IA 50061, United States

DESCRIPTION: My duties will include providing financial support as needed, helping with early-morning prep and the rush period before 8:00 a.m. to get retail orders out, and assisting my daughter with understanding her books and records and tax-related items as the owner-operator.

#### 8) FIN WORLD

POSITION: Owner NATURE: Finworld is a private computer designed to help secure your financial information by operating on a closed network (an intranet, not the public internet). It also lets you link out to your existing financial relationships--such as banks and brokerage firms--without Finworld having access to your usernames, passwords, or account details. Finworld cannot see your information; it simply provides a secure way to connect to those outside institutions. INVESTMENT RELATED: Yes NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 5 START DATE: 04/15/2024

ADDRESS: 405 Railroad Pl, West Des Moines IA 50061, United States

DESCRIPTION: I'm testing the software as part of ongoing development. We've been in the development stage for the past few years, and it is not client-facing, not currently being sold, and has not been put into operation commercially--other than steps taken for trademark purposes and trademark applications. We are now nearing a public launch, and this needs to be disclosed.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 3

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	ING FINANCIAL PARTNERS
<b>Allegations:</b>	CLIENT ALLEGES THE INFORMATION PROVIDED TO HIM WAS INACCURATE REGARDING HIS VARIABLE ANNUITY, IN THAT IT WAS GUARANTEED FOR LIFE AND WAS A TYPE OF FIXED ANNUITY.
<b>Product Type:</b>	Annuity-Variable
<b>Alleged Damages:</b>	\$0.00
<b>Alleged Damages Amount Explanation (if amount not exact):</b>	THE CLIENT DOES NOT ALLEGE AN EXACT DOLLAR AMOUNT, AND THE FIRM CANNOT MAKE A GOOD FAITH DETERMINATION THAT THE DAMAGES FROM THE ALLEGED CONDUCT WOULD BE LESS THAN \$5000.
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

### Customer Complaint Information

<b>Date Complaint Received:</b>	12/12/2011
<b>Complaint Pending?</b>	No
<b>Status:</b>	Denied
<b>Status Date:</b>	03/07/2012

**Settlement Amount:****Individual Contribution Amount:****Broker Statement**

THE FIRM INVESTIGATED THE CLIENT'S CONCERNS AND FOUND THEM TO BE WITHOUT MERIT.

**Disclosure 2 of 3****Reporting Source:**

Individual

**Employing firm when activities occurred which led to the complaint:**

LOCUST STREET SECURITIES, INC.

**Allegations:**

CLIENT ALLEGES THE INFORMATION PROVIDED TO HIM WAS INACCURATE REGARDING HIS VARIABLE LIFE INSURANCE POLICY, IN THAT IT WOULD PROVIDE A GUARANTEED DEATH BENEFIT UNTIL AGE 100. THE CLIENT REQUESTS A GUARANTEED \$120,000 DEATH BENEFIT TO AGE 100 WITH THE CURRENT CASH VALUE.

**Product Type:**

Insurance

**Alleged Damages:**

\$0.00

**Alleged Damages Amount Explanation (if amount not exact):**

THE CLIENT DOES NOT ALLEGE AN EXACT DOLLAR AMOUNT, AND THE FIRM CANNOT MAKE A GOOD FAITH DETERMINATION THAT THE DAMAGES FROM THE ALLEGED CONDUCT WOULD BE LESS THAN \$5000.

**Is this an oral complaint?**

No

**Is this a written complaint?**

Yes

**Is this an arbitration/CFTC reparation or civil litigation?**

No

**Customer Complaint Information**

**Date Complaint Received:** 01/25/2010

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 03/18/2010

**Settlement Amount:** \$26,128.82

**Individual Contribution Amount:** \$500.00

**Broker Statement**

WITHOUT ADMITTING ANY WRONGDOING OR LIABILITY, THE FIRM AND THE REP ENTERED INTO A SETTLEMENT AGREEMENT WITH THE CLIENT FOR \$26128.82 IN EXCHANGE FOR A RELEASE OF ALL CLAIMS. THIS WAS DONE SOLELY FOR BUSINESS PURPOSES TO AVOID A COSTLY AND LENGTHY LEGAL PROCEEDING.

**Disclosure 3 of 3****Reporting Source:**

Individual

**Employing firm when activities occurred which led to the complaint:**

LOCUST STREET SECURITIES, INC.



**Allegations:** CLIENT ALLEGES THAT 5% ANNUITIZATION WAS MISREPRESENTED TO HIM AT TIME OF SALE. SALE TOOK PLACE ON 6/4/01. NO SPECIFIC DAMAGE AMOUNT ALLEGED. CLIENT REQUESTED RETURN OF MONEY INVESTED.

**Product Type:** Annuity(ies) - Variable

**Alleged Damages:** \$0.00

**Customer Complaint Information**

**Date Complaint Received:** 08/23/2002

**Complaint Pending?** No

**Status:** Denied

**Status Date:** 09/17/2002

**Settlement Amount:**

**Individual Contribution Amount:**

**Broker Statement** NATIONWIDE & LOCUST STREET SECURITIES, INC. INVESTIGATED THE COMPLAINT AND COMPLAINT WAS DENIED.



## End of Report

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