



IAPD Report

TAYSHA COLVIN PATTERSON

CRD# 2598079

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

TAYSHA COLVIN PATTERSON (CRD# 2598079)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/02/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	10/11/2016
IA	CORNERSTONE WEALTH MANAGEMENT, LLC	CRD# 164666	10/12/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **10** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	EDWARD JONES	250	WAYNESBORO, VA	01/25/2008 - 10/12/2016
B	EDWARD JONES	250	WAYNESBORO, VA	07/25/2003 - 10/12/2016
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	ST. PETERSBURG, FL	11/06/2002 - 07/15/2003

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **10** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	10/11/2016
B Alabama	Agent	Approved	01/21/2017
B Florida	Agent	Approved	08/03/2021
B Maryland	Agent	Approved	01/16/2018
B Missouri	Agent	Approved	01/14/2020
B North Carolina	Agent	Approved	01/16/2018
B South Carolina	Agent	Approved	10/03/2017
B Tennessee	Agent	Approved	03/12/2019
B Utah	Agent	Approved	05/01/2023
B Virginia	Agent	Approved	10/11/2016
B West Virginia	Agent	Approved	01/04/2024

Branch Office Locations


LPL FINANCIAL LLC
16 GOSNELL CROSSING STE 103
STAUNTON, VA 24401



Qualifications

Employment 2 of 2

Firm Name: **CORNERSTONE WEALTH MANAGEMENT, LLC**
Main Address: 7417 MEXICO ROAD
SUITE 104
ST. PETERS, MO 63376
Firm ID#: 164666

Regulator	Registration	Status	Date
 Virginia	Investment Adviser Representative	Approved	10/12/2016

Branch Office Locations

CORNERSTONE WEALTH MANAGEMENT, LLC
16 Gosnell Crossing
Suite 103
Staunton, VA 24401



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	11/05/2002
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State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	01/21/2008
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Uniform Securities Agent State Law Examination (S63)	Series 63	11/26/2002
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/25/2008 - 10/12/2016	EDWARD JONES	CRD# 250	WAYNESBORO, VA
B	07/25/2003 - 10/12/2016	EDWARD JONES	CRD# 250	WAYNESBORO, VA
B	11/06/2002 - 07/15/2003	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	ST. PETERSBURG, FL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2016 - Present	Cornerstone Wealth Management, LLC	Investment Adviser Representative	Y	Fishersville, VA, United States
10/2016 - Present	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	FISHERSVILLE, VA, United States
07/2003 - 10/2016	EDWARD JONES	INVESTMENT REP	Y	ST LOUIS, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) 10/11/2016: Millstone Farms - Other - Not Investment Related - 238 Spottswood Rd, Greenville, VA 24440 - Start 08/19/1995 - 25 Hr/Mo; 3 Hour(s) During Securities Trading; 5% Time Spent

(2) 10/11/2016: Milestone Investment Counsel - DBA for LPL Business (entity for LPL business) - Investment Related - 6305 Lee Jackson Hwy Raphine, VA - Start 08/12/2016 - 200 Hr/Mo; 32 Hour(s) During Securities Trading; 100% Time Spent

(3) 10/26/2016: Cornerstone Wealth Management LLC - Registered Investment Advisor Hybrid - DBA: (Hybrid) Cornerstone Wealth Management - Investment Related - 6305 Lee Jackson Hwy, Raphine, VA 24472 - Start 08/12/2016 - 200 Hr/Mo; 32 Hour(s) During Securities Trading; 100% Time Spent - I provide investment advisory services through Cornerstone Wealth Management LLC, an independent investment advisor firm. I started this business activity in October 2016. I expect to spend approximately 200 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its services at <http://www.adviserinfo.sec.gov/IAPD>. The firm is separate from and independent of LPL Financial.

(4) 06/13/2019 - Milestone House, LLC - Investment related - 30 Ladd Road, Fishersville, VA 22939 - Real Estate Rental - start date:12/31/2018 - 5 hrs/mo - 0 hrs during trading - Renting office space.

(5) 2/14/2023 - Cornerstone Wealth Portfolios - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Start Date - 2/1/2023 - 200 Hours Per Month/32 Hours During Securities Trading - Time Spent 100%



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EDWARD JONES

Allegations: 1/23/06-4/1/11; THE CLIENT ALLEGED THAT HE WAS TO BE THE BENEFICIARY OF HIS WIFE'S INSURANCE POLICY BY OUR FINANCIAL ADVISOR IN 2006. AS THE RESULT OF THIS DISCLOSURE BY THE FA, THE CLIENT DROPPED ANOTHER \$15,000.00 INSURANCE POLICY THAT THE CLIENT'S WIFE HAD THROUGH WORK LISTING THE CLIENT AS BENEFICIARY. THE CLIENT'S WIFE DIED IN MARCH OF 2011 AND THE CLIENT WAS ADVISED THAT HE WAS ONLY THE BENEFICIARY ON TWO OF THE FOUR POLICIES. THE CLIENT WOULD LIKE TO BE COMPENSATED FOR THE \$15,000.00 INSURANCE CONTRACT THAT HE DROPPED IN 2006 AS A RESULT OF THE INFORMATION PROVIDED BY OUR FA.

Product Type: Insurance

Alleged Damages: \$15,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC repair or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/01/2011

Complaint Pending? No



Status: Denied

Status Date: 08/25/2011

Settlement Amount:

Individual Contribution Amount:

Broker Statement THE CLIENT'S WIFE OPENED A SELF DIRECTED IRA WITH OUR FIRM IN DECEMBER OF 2006 AND THE ASSETS WERE RECEIVED INTO THE ACCOUNT IN JANUARY OF 2007. THESE ASSETS WERE USED TO PURCHASE A BALANCED PORTFOLIO OF MUTUAL FUNDS. THE HUSBAND WAS LISTED AS THE 100% BENEFICIARY ON THIS SELF DIRECTED IRA. THE ANNUITY IN QUESTION WAS HELD THROUGH CANADA LIFE AND WAS NOT PURCHASED THROUGH OUR FIRM. OUR FINANCIAL ADVISOR WAS NEVER LISTED AS THE AGENT OF RECORD ON THIS CONTRACT. IF THE BENEFICIARY ON THE CANADA LIFE WERE EVER CHANGED , OUR FA AND OUR FIRM WOULD NOT BE AWARE OF THE CHANGES. TH BENEFICIARY ON THIS ANNUITY SHOULD HAVE BEEN DISCUSSED DIRECTLY WITH THE CLIENT'S WIFE, BEFORE HE MADE ANY CHANGES TO HIS INSURANCE COVERAGE AT WORK. WE DON'T FEEL THAT EDWARD JONES OR OUR FA IS RESPONSIBLE FOR THE CLIENT MAKING THESE CHANGES TO HIS INSURANCE. THE CLAIM FOR REIMBURSEMENT WAS DENIED.

Disclosure 2 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EDWARD JONES

Allegations: 9/18/06-7/00/10; THE CLIENT STATES SHE INVESTED IN THE HARTFORD ANNUITY IN 2006. THE CLIENT STATES SHE WAS INFORMED SHE COULD NOT LOSE PRINCIPAL BECAUSE IT WAS INSURED. SHE STATES SHE WAS ENCOURAGED TO PURCHASE THE LIFETIME INCOME BENEFIT RIDER II TO GUARANTEE HER INCOME FOR LIFE. SHE STATES THAT ACCORDING TO THE RIDER, SHE HAS BEEN EXCEEDING THE ALLOWABLE AMOUNT SHE COULD WITHDRAW ON THE ANNUITY FROM THE BEGINNING. THE CLIENT STATES HARTFORD INFORMED THE FIRM OF THIS BUT RECEIVED NO RESPONSE. THE CLIENT WOULD LIKE TO HAVE THE MATTER RESOLVED.

Product Type: Annuity-Variable

Alleged Damages: \$5,000.00

Alleged Damages Amount Explanation (if amount not exact): ALLEGATIONS CLAIM DAMAGES THAT APPEAR TO BE IN EXCESS OF \$5,000

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 07/19/2010

Complaint Pending? No



Status: Denied

Status Date: 09/28/2010

Settlement Amount:

Individual Contribution Amount:

Broker Statement

THE CLIENT SIGNED THE NECESSARY PAPERWORK AT THE TIME THE ANNUITY WAS PURCHASED; THE PAPERWORK INCLUDED DOCUMENTATION INDICATING SHE WAS PURCHASING A VARIABLE ACCOUNT, THE TYPE OF RIDER, THE AMOUNT SHE COULD WITHDRAW ANNUALLY AND THE RISKS INVOLVED. ADDITIONALLY, SHE RECEIVED QUARTERLY STATEMENTS AND ANNUAL RIDER STATEMENTS REFLECTING THE PAYMENT BASE AND HOW MUCH SHE COULD WITHDRAW ANNUALLY. THE CLIENT COULD WITHDRAW 5% OF THE PAYMENT BASE ANNUALLY; THE PAPERWORK WARNED IF MORE WAS WITHDRAWN, THE PAYMENT BASE COULD DECREASE. WHILE WE BELIEVE SHE RECEIVED NOTIFICATION THROUGH THE STATEMENTS FROM HARTFORD AND EDWARD JONES, WE ARE WILLING TO REIMBURSE HER THE RIDER CHARGES FOR 2007, 2008, 2009 AND UNTIL THE CONTRACT WAS TRANSFERRED ON JULY 23, 2010. OUR RECORDS INDICATE THIS AMOUNT IS \$1,775.61. WE ARE OFFERING \$2,200. THE CLIENT HAS 30 DAYS TO ACCEPT THIS OFFER. AT THE TIME OF PURCHASE, THE BONDS THE CLIENT PURCHASED WERE INVESTMENT GRADE; THE RATINGS WERE INCLUDED ON THE MONTHLY STATEMENTS. THE CLIENT WAS SENT A PROSPECTUS AT THE TIME OF PURCHASE. THE FA EXPLAINED THE RISKS INVOLVED WITH THE BONDS. THE CLIENT'S REQUEST FOR REIMBURSEMENT ON THE BOND LOSSES OR ANY ADDITIONAL AMOUNT ON THE ANNUITY IS DENIED. OFFER WAS WITHDRAWN ON 9/28/10; CLIENT DID NOT RESPOND TO OFFER.



End of Report

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