



IAPD Report

KILE EUGENE LEWIS

CRD# 2606798

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

KILE EUGENE LEWIS (CRD# 2606798)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/17/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	KESTRA INVESTMENT SERVICES, LLC	CRD# 42046	09/11/2015
IA	KESTRA ADVISORY SERVICES, LLC	CRD# 283330	04/27/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **38** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	NFP ADVISOR SERVICES, LLC	42046	Atlanta, GA	10/20/2015 - 09/22/2016
IA	INVESTACORP ADVISORY SERVICES INC	109011	ALPHARETTA, GA	09/04/2008 - 09/08/2015
B	INVESTACORP, INC.	7684	ALPHARETTA, GA	08/28/2008 - 09/08/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **38** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**
Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735
Firm ID#: 42046

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	09/11/2015
B FINRA	General Securities Representative	Approved	09/11/2015
B FINRA	General Securities Sales Supervisor	Approved	09/11/2015
B FINRA	Municipal Fund	Approved	09/11/2015
B Alabama	Agent	Approved	09/11/2015
B Alaska	Agent	Approved	06/15/2023
B Arizona	Agent	Approved	07/12/2023
B Arkansas	Agent	Approved	07/13/2023
B California	Agent	Approved	04/30/2021
B Colorado	Agent	Approved	01/22/2021
B Connecticut	Agent	Approved	06/12/2023
B District of Columbia	Agent	Approved	06/13/2023
B Florida	Agent	Approved	11/18/2015



Qualifications

Regulator	Registration	Status	Date
B Georgia	Agent	Approved	10/20/2015
B Illinois	Agent	Approved	08/11/2016
B Indiana	Agent	Approved	09/11/2015
B Kentucky	Agent	Approved	06/13/2023
B Louisiana	Agent	Approved	09/11/2015
B Maryland	Agent	Approved	10/04/2021
B Michigan	Agent	Approved	06/13/2023
B Minnesota	Agent	Approved	11/19/2021
B Mississippi	Agent	Approved	06/14/2023
B Missouri	Agent	Approved	07/13/2023
B Nevada	Agent	Approved	06/15/2023
B New Jersey	Agent	Approved	06/09/2023
B New Mexico	Agent	Approved	06/12/2023
B New York	Agent	Approved	06/09/2023
B North Carolina	Agent	Approved	01/29/2016
B North Dakota	Agent	Approved	06/12/2023
B Ohio	Agent	Approved	10/06/2015
B Pennsylvania	Agent	Approved	09/11/2015
B Puerto Rico	Agent	Approved	06/21/2023



Qualifications

Regulator	Registration	Status	Date
B South Carolina	Agent	Approved	09/11/2015
B South Dakota	Agent	Approved	01/21/2020
B Tennessee	Agent	Approved	01/20/2026
B Texas	Agent	Approved	10/19/2015
B Vermont	Agent	Approved	06/12/2023
B Virginia	Agent	Approved	01/14/2019
B Washington	Agent	Approved	09/11/2015
B West Virginia	Agent	Approved	06/12/2023
B Wisconsin	Agent	Approved	06/27/2023
B Wyoming	Agent	Approved	07/17/2023

Branch Office Locations

NFP ADVISOR SERVICES, LLC

1100 Powers Place
ALPHARETTA, GA 30009

Employment 2 of 2

Firm Name: **KESTRA ADVISORY SERVICES, LLC**

Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735

Firm ID#: 283330

Regulator	Registration	Status	Date
IA Georgia	Investment Adviser Representative	Approved	04/27/2016
IA Texas	Investment Adviser Representative	Restricted Approval	07/27/2023



Qualifications

Branch Office Locations

KESTRA ADVISORY SERVICES, LLC
1100 Powers Place
ALPHARETTA, GA 30009



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Sales Supervisor - General Module Examination (S10)	Series 10	10/10/2007
General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	10/05/2007
Municipal Fund Securities Principal Examination (S51)	Series 51	02/01/2006
General Securities Principal Examination (S24)	Series 24	05/23/2000

General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	05/15/1995

State Securities Law Exams

Exam	Category	Date
Uniform Securities Agent State Law Examination (S63)	Series 63	05/19/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/20/2015 - 09/22/2016	NFP ADVISOR SERVICES, LLC	CRD# 42046	Atlanta, GA
IA	09/04/2008 - 09/08/2015	INVESTACORP ADVISORY SERVICES INC	CRD# 109011	ALPHARETTA, GA
B	08/28/2008 - 09/08/2015	INVESTACORP, INC.	CRD# 7684	ALPHARETTA, GA
IA	08/21/2002 - 09/03/2008	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	ALPHARETTA, GA
B	05/16/1995 - 09/03/2008	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	ALPHARETTA, GA
B	05/16/1995 - 07/03/2006	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2016 - Present	KESTRA ADVISORY SERVICES, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	ALPHARETTA, GA, United States
09/2015 - Present	KESTRA INVESTMENT SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	ALPHARETTA, GA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Name: KMKSB, LLC Investment Related: No Address: 11680 Great Oaks Way Suite 175 Alpharetta GA 30022 NOB: Registered Rep Activities through Kestra Investment Services, LLC using a DBA name Position, Title or Relationship: owner and operator. Start Date: 2/16/2016 Hours per month: 71% - 80% (113 - 128 hours) Hours per month during trading hours: 71% - 80% (99 - 112 hours) Duties: my personal production generated acting in the role as a financial advisor.

Name: Kestra Advisory Services, LLC Investment Related: Yes Address: 1250 Capital of Texas Highway, Building 2 Suite 125 Austin TX 78746 NOB: Investment Advisory services through Kestra Advisory Services, LLC Position, Title or Relationship: Investment Advisor Representative Start Date: 4/4/2016 Hours per month: Up to 100% (0 to 160 hours) Hours per month during trading hours: Up to 100% (0 to 160 hours) Duties: Investment advisory services

Name: oXYGen Financial Tax and Business Services Investment Related: No Address: 11680 Great Oaks Way Suite 175



Registration & Employment History



OTHER BUSINESS ACTIVITIES

Alpharetta GA 30022 NOB: Other Other/None of the Above we offer an account aggregation and vault storage service through this entity. Previously we prepared individual and business tax returns. We are no longer in the tax preparation business. Position, Title or Relationship: Co-CEO and Founder Start Date: 1/1/2009 Hours per month: 11% - 20% (17 - 32 hours) Hours per month during trading hours: 11% - 20% (15 - 28 hours) Duties: vault services provided by the emoney software.

Name: oXYGen Financial Insurance, Inc Investment Related: Yes Address: 11680 Great Oaks Way Suite 175 Alpharetta GA 30022 NOB: Insurance Position, Title or Relationship: Co-CEO and Founder Start Date: 3/30/2009 Hours per month: 11% - 20% (17 - 32 hours) Hours per month during trading hours: 11% - 20% (15 - 28 hours) Duties: fixed insurance business.

Name: ALPHARETTA ROTARY POSITION: Community Service Director NOB: Charitable INVESTMENT RELATED: No # OF HOURS: 25 SECURITIES TRADING HOURS: 3 START DATE: 12/01/2023 ADDRESS: 180 Academy Street, Alpharetta GA 30009 DESCRIPTION: We participate in activities and organizations

Name: ALPHARETTA ROTARY FOUNDATION, INC POSITION: I will be serving as the Boards Secretary. This is a completely a volunteer activity. NATURE: Board position INVESTMENT RELATED: No # OF HOURS: 8 SECURITIES TRADING HOURS: 7 START DATE: 08/16/2024 ADDRESS: 202 Tribble Gap Rd, Suite 200, Cumming GA 30040 DESCRIPTION: developing the Mission, Vision, Values

Name: BLANK SLATE FINANCIAL POSITION: Owner NATURE: Registered rep activities through Kestra Investment Services INVESTMENT RELATED: Yes # OF HOURS: 160 SECURITIES TRADING HOURS: 130 START DATE: 07/31/2025 ADDRESS: 35 Old Canton St, Alpharetta GA 30009, United States DESCRIPTION: DBA will replace oXYGen Financial.

Name: SWEET GUM CABINET COMPANY POSITION: co-owner NATURE: this a cabinet company INVESTMENT RELATED: No # OF HOURS: 40 SECURITIES TRADING HOURS: 20 START DATE: 02/02/2026 ADDRESS: 745 Atlanta Rd, Cumming GA 30040, United States DESCRIPTION: primary role will be marketing and sales to commercial builders

Name: BLANK SLATE BUSINESS SERVICES POSITION: Owner NATURE: this is replacing the current OBA - oXYGen Financial Tax and Business Services INVESTMENT RELATED: No # OF HOURS: 6 SECURITIES TRADING HOURS: 4 START DATE: 03/01/2026 ADDRESS: 35 Old Canton St, Alpharetta GA 30009-3602, United States DESCRIPTION: account aggregation and e-vault services for clients.

name: ELEVEN HUNDRED POWERS PLACE LLC POSITION: owner NATURE: Real Estate INVESTMENT RELATED: No # OF HOURS: 5 SECURITIES TRADING HOURS: 5 START DATE: 03/12/2026 ADDRESS: 1100 Powers Place, Alpharetta GA 30009, United States DESCRIPTION: maintain the offices.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	INVESTACORP, INC.
Allegations:	FAILURE TO FOLLOW INSTRUCTIONS PERTAINING TO THE PURCHASE OF CONSERVATION EASEMENT, SERVICE ISSUES, UNSUITABLE TRANSACTIONS.
Product Type:	Annuity-Variable
Alleged Damages:	\$110,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	03/18/2015
Complaint Pending?	No
Status:	Denied
Status Date:	08/31/2015
Settlement Amount:	



Individual Contribution

Amount:

Civil Litigation Information

Type of Court:	State Court
Name of Court:	State Court of Fulton County, Georgia
Location of Court:	Fulton County, Georgia
Docket/Case #:	15-EV-002395
Date Notice/Process Served:	08/20/2015
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	12/28/2015
Monetary Compensation Amount:	\$35,000.00
Individual Contribution Amount:	\$0.00
Broker Statement	Litigation was filed while representative was with prior broker dealer.



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Firm
Firm Name: INVESTACORP, INC.
Termination Type: Discharged
Termination Date: 09/08/2015
Allegations: FAILURE TO FOLLOW RULES AND FIRM POLICY REGARDING APPROVED INVESTMENTS.
Product Type: Other: CONSERVATION EASEMENT

Reporting Source: Individual
Firm Name: INVESTACORP, INC.
Termination Type: Discharged
Termination Date: 09/08/2015
Allegations: FAILURE TO FOLLOW RULES AND FIRM POLICY REGARDING APPROVED INVESTMENTS
Product Type: Other: CONSERVATION EASEMENT



End of Report

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