User Guidance

www.adviserinfo.sec.gov

IAPD Report

JUNE YUEJIAO WANG CHIN

CRD# 2609596

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JUNE YUEJIAO WANG CHIN (CRD# 2609596)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/05/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	SOUTHLAND EQUITY PARTNERS, LLC.	CRD# 154481	05/08/2019
B	OSAIC WEALTH, INC.	CRD# 23131	11/03/2023
IA	OSAIC WEALTH, INC.	CRD# 23131	11/03/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FSC SECURITIES CORPORATION	7461	NEW YORK, NY	10/28/2010 - 11/03/2023
B	FSC SECURITIES CORPORATION	7461	NEW YORK, NY	07/06/2009 - 11/03/2023
IA	SOUTHLAND EQUITY PARTNERS, LLC.	154481	NEW YORK, NY	10/01/2014 - 12/31/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **OSAIC WEALTH, INC.**

Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255

Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	11/03/2023
B	FINRA	Invest. Co and Variable Contracts	Approved	11/03/2023
B	California	Agent	Approved	11/03/2023
IA	California	Investment Adviser Representative	Approved	11/03/2023
B	Florida	Agent	Approved	11/03/2023
IA	Florida	Investment Adviser Representative	Approved	11/03/2023
B	Georgia	Agent	Approved	11/03/2023
IA	Georgia	Investment Adviser Representative	Approved	11/03/2023
B	Massachusetts	Agent	Approved	11/03/2023
IA	Massachusetts	Investment Adviser Representative	Approved	11/03/2023
B	Nevada	Agent	Approved	11/03/2023
B	New Hampshire	Agent	Approved	03/10/2025
B	New Jersey	Agent	Approved	11/03/2023

Qualifications

	Regulator	Registration	Status	Date
IA	New Jersey	Investment Adviser Representative	Approved	11/03/2023
B	New York	Agent	Approved	11/03/2023
IA	New York	Investment Adviser Representative	Approved	11/03/2023
B	North Carolina	Agent	Approved	11/03/2023
B	Pennsylvania	Agent	Approved	11/03/2023
IA	Pennsylvania	Investment Adviser Representative	Approved	11/03/2023
B	South Carolina	Agent	Approved	11/03/2023
B	Texas	Agent	Approved	11/03/2023
IA	Texas	Investment Adviser Representative	Restricted Approval	11/03/2023

Branch Office Locations

OSAIC WEALTH, INC.
139 CENTRE STREET
SUITE 806A
NEW YORK, NY 10013

Employment 2 of 2

Firm Name: **SOUTHLAND EQUITY PARTNERS, LLC.**
Main Address: WILDWOOD, MO
Firm ID#: 154481

	Regulator	Registration	Status	Date
IA	California	Investment Adviser Representative	Approved	05/08/2019
IA	New York	Investment Adviser Representative	Approved	07/09/2024

Branch Office Locations

SOUTHLAND EQUITY PARTNERS, LLC.

Qualifications

139 Centre Street
Suite 806A
New York, NY 10013

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	11/23/1998
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/06/1995

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	09/27/2010
B	Uniform Securities Agent State Law Examination (S63)	Series 63	07/08/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/28/2010 - 11/03/2023	FSC SECURITIES CORPORATION	CRD# 7461	NEW YORK, NY
B	07/06/2009 - 11/03/2023	FSC SECURITIES CORPORATION	CRD# 7461	NEW YORK, NY
IA	10/01/2014 - 12/31/2016	SOUTHLAND EQUITY PARTNERS, LLC.	CRD# 154481	NEW YORK, NY
B	05/10/1995 - 07/07/2009	METLIFE SECURITIES INC.	CRD# 14251	HAUPPAUGE, NY
B	05/10/1995 - 07/09/2007	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	HAUPPAUGE, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2023 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	NEW YORK, NY, United States
02/2018 - Present	SOUTHLAND EQUITY PARTNERS	INVESTMENT ADVISOR REPRESENTATIVE	Y	NEW YORK, NY, United States
07/2009 - 11/2023	FSC SECURITIES CORPORATION	FINANCIAL ADVISOR	Y	NEW YORK, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) MORTGAGE BROKERAGE, PORTICO MORTGAGE; NOT INVESTMENT RELATED; 139 CENTRE STREET SUITE 806, NEW YORK, NY 10013; PROVIDE LOANS WITH FUNDS PROVIDED BY THIRD PARTY LENDERS; ASSISTANT MANAGER; START DATE: 2005; 4 HOURS PER MONTH; 0 HOURS PER MONTH DURING SECURITY TRADING HRS; VERIFY THE EXPENSE PAYMENT ON A MONTHLY BASIS.

2) SOUTHLAND EQUITY PARTNERS;
POST: ADVISOR; NATURE: LLC; INVESTMENT RELATED: YES; # OF HRS: 40; SEC TRD HOURS: 40; START DATE: 3/30/15;
ADD: 139 CENTRE STREET SUITE 806A, NEW YORK NY 10013
DESCR: ADVISORY SERVICES TO THE CLIENTS.

3) CORCORAN GROUP

Registration & Employment History

OTHER BUSINESS ACTIVITIES

POST: Other/independent agent NATURE: Other/Independent associated real estate broker INVESTMENT RELATED: Yes # OF HRS: 8 SEC TRD HRS:0 START DATE:4/12/11
ADD:30 Irving place 5th FL new York, NY 10003
DESCR: show Apts. On Sunday.

4) TRUSTEE
POST: Trustee NATURE: Grantor Trust INV RELATED: Yes # OF HOURS: 0 SEC TRD HRS: 0 START DATE: 4/11/15
ADD: 376 Broadway, Ste 20E, new York NY 10013
DESCR: husband's trust: Open bank and securities accounts & pay life insurance premium.

5) PORTICO CAPITAL HOLDINGS, L.P.
POST: owner NATURE: Holding of investment INV RELATED: No # OF HRS: 0 SEC TRD HRS: 0 START DATE: 12/21/16
ADD:139 Centre St, 808, New York NY 10013
DESCR: open accounts for investment

6) LAW OFFICES OF CHRIS CHIN & ASSOCIATES
POSITION: coordinator NATURE: law firm INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 04/19/2019
ADDRESS: 139 Centre street, 808, New York NY 10013, United States
DESCRIPTION: review the questionnaire of the clients information

7) PINNACLE CENTRIA REALTY LLC
POST: Owner NATURE: Real estate holding INV RELATED: No # OF HRS: 0 SEC TRD HRS: 0 START DATE: 8/16/19
ADD: 139 Centre St, STE 808, New York NY 10013
DESCR: owner of the property

8) PORTICO MANAGEMENT INC.
POST: Pres NATURE: S Corp INV RELATED: Yes # OF HRS: 0 SEC TRD HRS: 0 START DATE: 9/23/16
ADD: 139 Centre St, STE 808, New York NY 10013,
DESCR: holding company/no duty/just ownership.

9) TWO SPRING INVESTORS LLC
POST: manager NATURE: LLC INV RELATED: Yes # OF HOURS: 0 SEC. TRD HOURS: 0 START DATE:10/12/23
ADD: 139 Centre St, Ste 806, New York NY 10013
DESCR.: decision maker of invest. strictly for purposes of managing decisions for the benefit of the family, without power to distribute to myself.

10) CORCORAN
POST: independent agent NATURE: independent associated real estate broker INVESTMENT RELATED: Yes #OF HOURS: 8 SEC. TRD HOURS: 0 START DATE: 01/05/2024
ADD: 590 Madison Avenue, NEW YORK NY 10022, United States
DESCR: show the Apt on Saturday or Sunday

11) TRUSTEE
POSITION: Trustee NATURE: Grantor Trust INVESTMENT RELATED: Yes NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 04/11/2015
ADDRESS: 139 Centre street, suite 806, new York NY 10013, United States
DESCRIPTION: My husband's trust: Open bank and securities accounts and pay life insurance premium.

12) PORTICO BROADWAY REALTY LLC

Registration & Employment History

OTHER BUSINESS ACTIVITIES

POSITION: owner NATURE: limited liability company INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 05/19/2014
ADDRESS: 139 Centre street, suite 808, new York NY 10013, United States
DESCRIPTION: The property was sold on 11/20/2025. The company will be closed.

13) PINNACLE 139 FINANCIAL GROUP INC. A/K/A PINNACLE FINANCIAL
POSITION: Owner - NATURE: Corporation - INVESTMENT RELATED: Yes NUMBER OF HOURS: 40 SECURITIES TRADING HOURS: 40 START DATE: 01/30/2010
ADDRESS: 139 Centre street, suite 806, new York NY 10013, United States
DESCRIPTION: Sell fixed life insurance

14) PORTICO MORTGAGE INC
POSITION: Other - President Assistant NATURE: Mortgage Brokerage - INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2005
ADDRESS: 139 Centre street, suite 806, new York, NY; HQ office located at, New York NY 10013, United States
DESCRIPTION: verify the expense payment month basis. This is my husband's business. I perform administrate task.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Termination	1

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	METLIFE SECURITIES
Termination Type:	Voluntary Resignation
Termination Date:	07/01/2009
Allegations:	AFTER AN INTERNAL REVIEW, THE FIRM DETERMINED THAT THE REGISTERED REPRESENTATIVE VIOLATED COMPANY POLICY WITH RESPECT TO UNDISCLOSED OUTSIDE BUSINESS ACTIVITIES, COMMUNICATIONS WITH CLIENTS, AND USE OF AN UNAPPROVED OFFICE LOCATION.
Product Type:	No Product

Reporting Source:	Individual
Firm Name:	METLIFE SECURITIES
Termination Type:	Voluntary Resignation
Termination Date:	07/01/2009
Allegations:	AFTER AN INTERNAL REVIEW, THE FIRM DETERMINED THAT THE REGISTERRED REPRESENTATIVE VIOLATED COMPANY POLICY WITH RESPECT TO UNDISCLOSED OUTSIDE BUSINESS ACTIVITIES, COMMUNCIATIONS WITH CLIENTS, AND USE OF AN UNAPPROVED OFFICE LOCATION.
Product Type:	No Product

End of Report

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