



## IAPD Report

# PAUL GREGORY PAKSTIS

CRD# 2621923

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### PAUL GREGORY PAKSTIS (CRD# 2621923)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/23/2024**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	FORTUNE FINANCIAL SERVICES, INC.	CRD# 42150	01/19/2021
IA	BELPOINTE ASSET MANAGEMENT LLC	CRD# 143440	01/22/2021

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	PRUDENTIAL FINANCIAL PLANNING SERVICES	5685	Cherry Hill, NJ	09/10/2018 - 01/25/2021
B	PRUCO SECURITIES, LLC.	5685	Cherry Hill, NJ	08/10/2018 - 01/25/2021
IA	THRIVENT INVESTMENT MANAGEMENT INC.	18387	Cherry Hill, NJ	10/24/2017 - 07/20/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **4** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **FORTUNE FINANCIAL SERVICES, INC.**  
Main Address: 3582 BROADHEAD ROAD  
SUITE 202  
MONACA, PA 15061  
Firm ID#: 42150

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	01/19/2021
<b>B</b>	Florida	Agent	Approved	01/23/2024
<b>B</b>	Idaho	Agent	Approved	08/07/2023
<b>B</b>	New Jersey	Agent	Approved	01/19/2021
<b>B</b>	Pennsylvania	Agent	Approved	01/19/2021

#### Branch Office Locations

515 Grove Street  
Suite 3C  
Haddon Heights, NJ 08035

#### Employment 2 of 2

Firm Name: **BELPOINTE ASSET MANAGEMENT LLC**  
Main Address: 500 DAMONTE RANCH PARKWAY  
BUILDING 700, UNIT 700  
RENO, NV 89521  
Firm ID#: 143440

	Regulator	Registration	Status	Date
<b>IA</b>	New Jersey	Investment Adviser Representative	Approved	01/22/2021



## Qualifications

### Branch Office Locations

#### **BELPOINTE ASSET MANAGEMENT LLC**

515 Grove Street  
Suite 3A  
Haddon Heights, NJ 08035



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
<b>B</b> General Securities Representative Examination (S7)	Series 7	12/12/1995

#### State Securities Law Exams

Exam	Category	Date
<b>IA</b> Uniform Investment Adviser Law Examination (S65)	Series 65	10/23/2017
<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	02/14/2011



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/10/2018 - 01/25/2021	PRUDENTIAL FINANCIAL PLANNING SERVICES	CRD# 5685	Cherry Hill, NJ
B	08/10/2018 - 01/25/2021	PRUCO SECURITIES, LLC.	CRD# 5685	Cherry Hill, NJ
IA	10/24/2017 - 07/20/2018	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	Cherry Hill, NJ
B	02/02/2017 - 07/20/2018	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	Cherry Hill, NJ
B	03/06/2013 - 02/01/2017	BCG SECURITIES, INC.	CRD# 70	Cherry Hill, NJ
B	12/13/1995 - 01/16/2013	LINCOLN FINANCIAL SECURITIES CORPORATION	CRD# 3870	HADDONFIELD, NJ

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2021 - Present	Belpointe Asset Management	Investment Advisor Representative	Y	Reno, NV, United States
01/2021 - Present	Chaslyn Financial Group, Inc.	Investment advice and support	Y	Haddon Heights, NJ, United States
01/2021 - Present	Fortune Financial Services	Registered Representative	Y	Monaca, PA, United States
08/2018 - 01/2021	Pruco Securities, LLC	Registered Representative	Y	MARLTON, NJ, United States
06/2017 - 07/2018	Thrivent Financial	Financial Associate	Y	Appleton, WI, United States
02/2017 - 07/2018	Thrivent Investment Management Inc	Registered Representative	Y	Cherry Hill, NJ, United States
02/2017 - 06/2017	Thrivent Financial	Associate Representative	Y	Appleton, WI, United States
01/2013 - 02/2017	BCG SECURITIES	ADMINISTRATIVE	Y	DELRAN, NJ, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Sales of fixed insurance products, investment-related, same address as branch, Sales, 01/2021 start, 10 hours/month during trading hours, Insurance sales. 2. Chaslyn Financial Group, Inc., investment-related, Haddon Heights, NJ, 01/2021 - DBA name for investment advisory, securities, and insurance sales. 3. Fortune Financial Services, investment related, Monaca, PA, 10 hours/month, 01/2021, registered representative sales for BD 4. RIA: BELPOINTEASSET MANAGEMENT - 500 Damonte Ranch Parkway Bldg 700 Unit 700, Reno Nevada, 89509 - Investment Advisor Representative



## End of Report

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