



IAPD Report

Gordon Sylvester

CRD# 2638444

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Gordon Sylvester (CRD# 2638444)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/19/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	LATITUDE INVESTMENT GROUP	CRD# 333819	02/11/2025
B	THE LEADERS GROUP, INC.	CRD# 37157	04/20/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **6** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	FMG DISTRIBUTORS, INC.	29243	NEW CANAAN, CT	10/24/2024 - 02/24/2026
IA	DIVERGENT CAPITAL ASSET MANAGEMENT LLC	324549	WOODSTOCK, GA	04/25/2023 - 03/05/2024
IA	TLG ADVISORS, INC.	111052	Woodstock, GA	10/15/2019 - 11/16/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 6 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **THE LEADERS GROUP, INC.**
Main Address: 475 SPRINGFIELD AVE
SUMMIT, NJ 07901
Firm ID#: 37157

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	04/20/2026
B	FINRA	General Securities Representative	Approved	04/20/2026
B	FINRA	General Securities Sales Supervisor	Approved	04/20/2026
B	FINRA	Registered Options Principal	Approved	04/20/2026
B	Georgia	Agent	Approved	04/22/2026

Branch Office Locations

1125 Sanctuary Pkwy, Suite 120
Alpharetta, GA 30009

Employment 2 of 2

Firm Name: **LATITUDE INVESTMENT GROUP**
Main Address: 1125 SANCTUARY PARKWAY
SUITE 120
ALPHARETTA, GA 30009
Firm ID#: 333819

	Regulator	Registration	Status	Date
IA	Georgia	Investment Adviser Representative	Approved	02/11/2025
IA	Iowa	Investment Adviser Representative	Approved	12/08/2025



Qualifications

Regulator	Registration	Status	Date
IA Kentucky	Investment Adviser Representative	Approved	10/29/2025
IA Nevada	Investment Adviser Representative	Approved	01/28/2026
IA North Carolina	Investment Adviser Representative	Approved	07/16/2025
IA Texas	Investment Adviser Representative	Approved	06/13/2025

Branch Office Locations

LATITUDE INVESTMENT GROUP
1125 SANCTUARY PARKWAY
SUITE 120
ALPHARETTA, GA 30009







Qualifications

PASSED INDUSTRY EXAMS





This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 Registered Options Principal Examination (S4)	Series 4	12/19/2003
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	12/03/2003
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	12/02/2003
 General Securities Principal Examination (S24)	Series 24	11/09/1998

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Futures Managed Funds Examination (S31)	Series 31	03/21/2007
 Limited Representative-Equity Trader Exam (S55)	Series 55	12/15/1999
 General Securities Representative Examination (S7)	Series 7	09/26/1995

State Securities Law Exams

Exam	Category	Date
 Uniform Securities Agent State Law Examination (S63)	Series 63	07/26/2010
 Uniform Investment Adviser Law Examination (S65)	Series 65	04/09/2007



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/24/2024 - 02/24/2026	FMG DISTRIBUTORS, INC.	CRD# 29243	NEW CANAAN, CT
IA	04/25/2023 - 03/05/2024	DIVERGENT CAPITAL ASSET MANAGEMENT LLC	CRD# 324549	WOODSTOCK, GA
IA	10/15/2019 - 11/16/2022	TLG ADVISORS, INC.	CRD# 111052	Woodstock, GA
B	09/18/2019 - 11/16/2022	THE LEADERS GROUP, INC.	CRD# 37157	SUMMIT, NJ
B	04/23/2008 - 05/02/2019	QUESTAR CAPITAL CORPORATION	CRD# 43100	WOODSTOCK, GA
B	03/01/2019 - 03/01/2019	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	WOODSTOCK, GA
IA	03/01/2019 - 03/01/2019	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	WOODSTOCK, GA
IA	03/30/2010 - 03/01/2019	QUESTAR ASSET MANAGEMENT, INC.	CRD# 133358	WOODSTOCK, GA
IA	05/30/2007 - 04/01/2008	MORGAN STANLEY & CO., INCORPORATED	CRD# 8209	LIVONIA, MI
B	04/02/2007 - 04/01/2008	MORGAN STANLEY & CO., INCORPORATED	CRD# 8209	LIVONIA, MI
B	03/12/2007 - 04/02/2007	MORGAN STANLEY DW INC.	CRD# 7556	LIVONIA, MI
B	03/13/2006 - 01/31/2007	M. S. HOWELLS & CO.	CRD# 104100	MILFORD, MI
B	03/23/2005 - 03/10/2006	ROSENTHAL COLLINS EQUITIES, L.L.C.	CRD# 44888	NOVI, MI
B	06/26/2001 - 10/20/2004	MAY FINANCIAL CORPORATION	CRD# 3927	DALLAS, TX
B	03/19/2001 - 07/05/2001	SOUTHWEST SECURITIES, INC.	CRD# 6220	DALLAS, TX
B	10/25/1999 - 03/15/2001	U.S. BANCORP PIPER JAFFRAY INC.	CRD# 665	MINNEAPOLIS, MN
B	07/01/1998 - 01/13/1999	WILSON-DAVIS & CO., INC.	CRD# 3777	SALT LAKE CITY, UT



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/27/1995 - 06/02/1998	OLDE DISCOUNT CORPORATION	CRD# 5979	DETROIT, MI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2026 - Present	Alpine Financial Solutions	External Wholesaling	N	Alpharetta, GA, United States
04/2026 - Present	Simplicity Investments	Registered Representative	Y	Summit, NJ, United States
01/2025 - Present	Leverage Financial Group	Insurance Agent	Y	Alpharetta, GA, United States
12/2024 - Present	Latitude Investment Group	Managing Member/CCO	Y	Alpharetta, GA, United States
12/2022 - Present	Divergent Financial Group Corp.	President and shareholder	N	Woodstock, GA, United States
11/2022 - Present	DIVERGENT ADVISORS	FOUNDER / CO-OWNER	Y	WOODSTOCK, GA, United States
10/2024 - 04/2026	FMG Distributors Inc	Regional Wholesaler	Y	New Canaan, CT, United States
12/2022 - 04/2024	Divergent Capital Asset Management LLC	Partner and Managing Director	Y	Woodstock, GA, United States
09/2019 - 11/2022	TLG Advisors, Inc.	Representative	Y	Littleton, CO, United States
09/2019 - 11/2022	The Leaders Group, Inc.	Registered Representative	Y	Littleton, CO, United States
04/2008 - 11/2022	Allianz Life Insurance Co of North America	SVP of Sales	N	Woodstock, GA, United States
04/2009 - 05/2019	Questar Capital Corporation	Registered Investment Advisor	Y	Minneapolis, MN, United States
04/2008 - 05/2019	Questar Capital Corporation	Registered Representative	Y	Minneapolis, MN, United States
03/2019 - 03/2019	Woodbury Financial Services Inc	Mass Transfer	Y	Woodstock, GA, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1.) LATITUDE INVESTMENT GROUP - 4/1/25 - 1125 Sanctuary Pkwy, Suite 120, Alpharetta, GA 30009 - President, Recruiting, Invt Rel, Registered Investment Advisor, 20 hrs/mo; 20 hrs/mo (during trading hours).
- 2.) DIVERGENT ADVISORS - 11/1/22 - 1125 Sanctuary Pkwy, Suite 120, Alpharetta, GA 30009 - President, Executive, Not Invt Rel, Insurance marketing business, 80 hrs/mo; 80 hrs/mo (during trading hours).
- 3.) LEVERAGE FINANCIAL GROUP - 1/1/25 - 1125 Sanctuary Pkwy, Suite 120, Alpharetta, GA 30009 - VP of Sales, Recruiting, Not Invt Rel, Insurance marketing business, 20 hrs/mo; 20 hrs/mo (during trading hours).
- 4.) ALPINE FINANCIAL SOLUTIONS - 5/15/26 - 1125 Sanctuary Pkwy, Suite 120, Alpharetta, GA 30009 - External Wholesaling, Wholesaling annuity products through Alpine, Not Invt Rel, Wholesaling business, 10 hrs/mo; 10 hrs/mo (during trading hours).



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 06/10/1998

Docket/Case Number: CMS980061

Employing firm when activity occurred which led to the regulatory action:

Product Type: Other

Other Product Type(s):

Allegations: ON JUNE 10, 1998 THE DEPARTMENT OF ENFORCEMENT FILED COMPLAINT NO. CMS980061 NAMING GORDON SYLVESTER AS THE RESPONDENT. THE COMPLAINT CONTAINED ONE CAUSE. CAUSE ONE ALLEGED THE RESPONDENT VIOLATED NASD RULE 2110 (ANTI-COMPETITIVE ACTIVITY.)SYLVESTER EXECUTED ONLY ONE SHARE OF AN ORDER TO RETALIATE AGAINST OR DISCOURAGE THE COMPETITIVE ACTIVITIES OF ANOTHER MARKET PARTICIPANT.

Current Status: Final

Resolution: Decision & Order of Offer of Settlement

Resolution Date: 11/20/1998

Sanctions Ordered: Monetary/Fine \$2,000.00

**Other Sanctions Ordered:**

Sanction Details: FINED \$2,000

Regulator Statement 10-22-99, NOVEMEBER 20, 1998, THE DECISION AND ORDER OF ORDER OF SETTLEMENT WAS ACCEPTED BY THE OFFICE OF HEARING OFFICERS WHEREBY SYLVESTER IS FINED \$2,000.
10-22-99, \$2,000 PAID ON 12/14/98, INVOICE #98-MS-958

Reporting Source: Firm

Regulatory Action Initiated By: NASD

Sanction(s) Sought: Other

Other Sanction(s) Sought: DISCIPLINARY SANCTIONS PURSUANT TO NASD PROCEDURAL RULE 8330.

Date Initiated: 06/10/1998

Docket/Case Number: CMS980061

Employing firm when activity occurred which led to the regulatory action: OLDE DISCOUNT CORPORATION

Product Type: Equity - OTC

Other Product Type(s):

Allegations: ALLEGES FAILURE TO OBSERVE HIGH STANDARDS OF COMMERCIAL HONOR AND JUST AND EQUITABLE PRINCIPLES OF TRADE IN VIOLATION OF NASD CONDUCT RULE 2110.

Current Status: Final

Resolution: Settled

Resolution Date: 11/20/1998

Sanctions Ordered: Monetary/Fine \$2,000.00

Other Sanctions Ordered: NONE

Sanction Details: \$2000.00 FINE ONLY. PAID ON 12/14/1998.

Firm Statement ORDER ACCEPTING OFFER OF SETTLEMENT WAS ORDERED ON 11/20/1998. THE ORDER IS NOT A DECISION OF THE OFFICE OF HEARING OFFICERS. THE FINE WAS PAID ON 12/14/1998.

Reporting Source: Individual

Regulatory Action Initiated By: NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 06/10/1998

Docket/Case Number: CMS980061



Employing firm when activity occurred which led to the regulatory action:	OLDE DISCOUNT CORP
Product Type:	Equity - OTC
Other Product Type(s):	
Allegations:	ON JUNE 10, 1998 THE DEPARTMENT OF ENFORCEMENT FILED COMPLAINT NO. CMS980061 NAMING GORDON SYLVESTER AS THE RESPONDENT. THE COMPLAINT CONTAINED ONE CAUSE. CAUSE ONE ALLEGED THE RESPONDENT VIOLATED NASD RULE 2110 (ANTI-COMPETITIVE ACTIVITY.) MR. SYLVESTER EXECUTED ONLY ONE SHARE OF AN ORDER TO RETALIATE AGAINST OR DISCOURAGE THE COMPETITIVE ACTIVITIES OF ANOTHER MARKET PARTICIPANT.
Current Status:	Final
Resolution:	Decision & Order of Offer of Settlement
Resolution Date:	11/20/1998
Sanctions Ordered:	Monetary/Fine \$2,000.00
Other Sanctions Ordered:	
Sanction Details:	FINED \$2000.00 PAID 12/14/1998
Broker Statement	10/22/99, NOVEMBER 20. 1998, THE DECISION AND ORDER OF SETTLEMENT WAS ACCEPTED BY THE OFFICE OF HEARING OFFICERS WHEREBY MR. SYLVESTER IS FINED \$2000.00. 10/22/99, \$2000.00 PAID ON 12/14/98, INVOICE #98-MS-958



End of Report

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