



IAPD Report

CHRISTOPHER CONLEY CHAKFORD

CRD# 2638492

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CHRISTOPHER CONLEY CHAKFORD (CRD# 2638492)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/25/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	DIVERGENT CAPITAL ASSET MANAGEMENT LLC	CRD# 324549	04/20/2023
B	ROCKLAND SECURITIES, LLC	CRD# 127855	11/26/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **5** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	KAWA SECURITIES LLC	307917	Aventura, FL	09/16/2020 - 11/07/2022
B	NEWOAK CAPITAL MARKETS LLC	104063	NEW YORK, NY	01/14/2014 - 08/21/2017
B	DIRECT ACCESS PARTNERS LLC	120950	NEW YORK, NY	03/26/2012 - 05/31/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 5 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ROCKLAND SECURITIES, LLC**

Main Address: 33785 NW 82ND AVE
UNIT 304
DORAL, FL 33166

Firm ID#: 127855

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	11/26/2024
B FINRA	General Securities Representative	Approved	11/26/2024
B FINRA	Investment Banking Principal	Approved	11/26/2024
B FINRA	Investment Banking Representative	Approved	11/26/2024
B FINRA	Operations Professional	Approved	11/26/2024
B FINRA	Securities Trader	Approved	11/26/2024
B Florida	Agent	Approved	03/04/2025

Branch Office Locations

33785 NW 82ND AVE
UNIT 304
DORAL, FL 33166

3785 NW 82ND AVENUE, UNIT 304
Doral, FL 33166

Employment 2 of 2

Firm Name: **DIVERGENT CAPITAL ASSET MANAGEMENT LLC**

Main Address: 3785 NW 82ND AVENUE
UNIT 304
DORAL, FL 33166

Firm ID#: 324549



Qualifications

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	11/27/2023
IA Florida	Investment Adviser Representative	Approved	09/12/2023
IA Georgia	Investment Adviser Representative	Approved	04/20/2023
IA Louisiana	Investment Adviser Representative	Approved	09/18/2023
IA Texas	Investment Adviser Representative	Approved	11/09/2023

Branch Office Locations

DIVERGENT CAPITAL ASSET MANAGEMENT LLC

3785 NW 82ND AVENUE
UNIT 304
DORAL, FL 33166



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	05/27/2009

General Industry/Product Exams

Exam	Category	Date
 Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	08/21/2017
 Limited Representative-Equity Trader Exam (S55)	Series 55	06/07/2002
 General Securities Representative Examination (S7)	Series 7	10/10/1995

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	07/19/2022
 Uniform Securities Agent State Law Examination (S63)	Series 63	05/04/2020

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
	09/16/2020 - 11/07/2022	KAWA SECURITIES LLC	CRD# 307917	Aventura, FL
	01/14/2014 - 08/21/2017	NEWOAK CAPITAL MARKETS LLC	CRD# 104063	NEW YORK, NY
	03/26/2012 - 05/31/2013	DIRECT ACCESS PARTNERS LLC	CRD# 120950	NEW YORK, NY
	04/04/2006 - 03/09/2012	SECONDMARKET, INC.	CRD# 136962	NEW YORK, NY
	03/23/2005 - 01/04/2006	ROSENTHAL COLLINS EQUITIES, L.L.C.	CRD# 44888	CHICAGO, IL
	06/27/2002 - 10/20/2004	MAY FINANCIAL CORPORATION	CRD# 3927	DALLAS, TX
	10/11/1995 - 03/05/1997	OLDE DISCOUNT CORPORATION	CRD# 5979	DETROIT, MI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2025 - Present	Rockland Securities LLC	Principal Executive Officer, GSP and CCO	Y	Doral, FL, United States
09/2023 - Present	Divergent Branch LLC	Managing Member	Y	Doral, FL, United States
12/2022 - Present	Divergent Capital Asset Management LLC	CEO, CCO	Y	Doral, FL, United States
11/2024 - 12/2024	Rockland Securities LLC	Registered Representative	Y	Summit, NJ, United States
12/2022 - 03/2024	Divergent Financial Group Corp.	Treasurer and shareholder	N	Woodstock, GA, United States
09/2020 - 11/2022	Kawa Securities LLC	CEO and GSP	Y	Aventura, FL, United States
08/2017 - 11/2022	Kawa Capital Management, Inc.	Managing Director of Investments	Y	Aventura, FL, United States
01/2014 - 08/2017	NewOak Capital Markets LLC	Head of New Oak SJ-MAC Funds	Y	New York, NY, United States



Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Christopher C. Chakford is an owner of Divergent Branch LLC ("DB"), a Florida corporation located in Parkland, FL that is the 100% direct owner and the Managing Member of Divergent Capital Asset Management LLC ("DCAM") (CRD # 324549) and also is a direct owner of Rockland Securities LLC (CRD #127855/SEC#: 8-66057), a FINRA registered broker-dealer. Mr. Chakford is the Principal Executive Officer, general securities principal and registered representative of Rockland Securities LLC, located in Summit, NJ, and he spends approximately 40 hours (of approximately 160 total hours), or 25 percent of his time (which may vary, depending on business requirements) during regular business hours and regular securities trading hours working away from DCAM at Rockland Securities LLC each month. Mr. Chakford spends approximately 8 hours (of approximately 160 total hours), or 5 percent of his time (which may vary, depending on business requirements) during regular business hours and regular securities trading hours working away from DCAM at DB each month.



End of Report

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