



IAPD Report

Abraham David Marston

CRD# 2642680

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Abraham David Marston (CRD# 2642680)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/24/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EXEMPLAR CAPITAL, LLC	CRD# 155786	01/12/2012
IA	EXEMPLAR WEALTH ADVISORS, LLC	CRD# 322419	09/28/2022

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **51** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **51** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **EXEMPLAR WEALTH ADVISORS, LLC**

Main Address: 20860 N TATUM BLVD
SUITE 300
PHOENIX, AZ 85050

Firm ID#: 322419

	Regulator	Registration	Status	Date
IA	Arizona	Investment Adviser Representative	Approved	10/14/2022
IA	California	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA	Florida	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA	Illinois	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA	New York	Investment Adviser Representative	Approved	04/16/2024
IA	Pennsylvania	Investment Adviser Representative	Approved	03/18/2024
IA	Texas	Investment Adviser Representative	Approved	09/28/2022

Branch Office Locations

EXEMPLAR WEALTH ADVISORS, LLC

20860 N TATUM BLVD
SUITE 300
PHOENIX, AZ 85050

Employment 2 of 2

Firm Name: **EXEMPLAR CAPITAL, LLC**

Main Address: 265 FRANKLIN STREET
SUITE 1702
BOSTON, MA 02110



Qualifications

Firm ID#: 155786

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	01/12/2012
B	FINRA	General Securities Representative	Approved	01/12/2012
B	FINRA	Investment Banking Representative	Approved	01/12/2012
B	FINRA	Compliance Officer	Approved	10/01/2018
B	FINRA	Investment Banking Principal	Approved	10/01/2018
B	Alabama	Agent	Approved	03/23/2018
B	Alaska	Agent	Approved	03/22/2018
B	Arizona	Agent	Approved	03/26/2018
B	Arkansas	Agent	Approved	12/17/2020
B	California	Agent	Approved	03/08/2018
B	Colorado	Agent	Approved	03/21/2018
B	Connecticut	Agent	Approved	04/05/2018
B	Delaware	Agent	Approved	03/27/2018
B	District of Columbia	Agent	Approved	04/06/2018
B	Florida	Agent	Approved	04/21/2021
B	Georgia	Agent	Approved	09/28/2022
B	Hawaii	Agent	Approved	03/27/2018
B	Idaho	Agent	Approved	03/06/2018



Qualifications

	Regulator	Registration	Status	Date
B	Illinois	Agent	Approved	03/21/2018
B	Indiana	Agent	Approved	05/18/2018
B	Iowa	Agent	Approved	03/05/2018
B	Kansas	Agent	Approved	05/21/2018
B	Kentucky	Agent	Approved	03/14/2018
B	Louisiana	Agent	Approved	03/12/2018
B	Maryland	Agent	Approved	05/24/2018
B	Massachusetts	Agent	Approved	01/23/2012
B	Michigan	Agent	Approved	03/26/2018
B	Minnesota	Agent	Approved	03/14/2018
B	Mississippi	Agent	Approved	03/06/2018
B	Missouri	Agent	Approved	04/02/2018
B	Montana	Agent	Approved	06/12/2018
B	Nebraska	Agent	Approved	03/23/2018
B	Nevada	Agent	Approved	03/08/2018
B	New Hampshire	Agent	Approved	01/28/2021
B	New Jersey	Agent	Approved	04/26/2021
B	New Mexico	Agent	Approved	05/18/2018
B	New York	Agent	Approved	03/16/2018



Qualifications

	Regulator	Registration	Status	Date
B	North Carolina	Agent	Approved	04/02/2018
B	North Dakota	Agent	Approved	03/22/2018
B	Ohio	Agent	Approved	03/19/2018
B	Oklahoma	Agent	Approved	03/08/2018
B	Oregon	Agent	Approved	03/22/2018
B	Pennsylvania	Agent	Approved	03/08/2018
B	Puerto Rico	Agent	Approved	07/06/2018
B	Rhode Island	Agent	Approved	03/08/2018
B	South Carolina	Agent	Approved	03/19/2018
B	South Dakota	Agent	Approved	03/06/2018
B	Texas	Agent	Approved	06/13/2018
B	Utah	Agent	Approved	03/05/2018
B	Vermont	Agent	Approved	03/22/2018
B	Virgin Islands	Agent	Approved	04/06/2018
B	Virginia	Agent	Approved	03/29/2018
B	Washington	Agent	Approved	03/08/2018
B	West Virginia	Agent	Approved	04/12/2018
B	Wisconsin	Agent	Approved	07/06/2018
B	Wyoming	Agent	Approved	03/21/2018



Qualifications

Regulator	Registration	Status	Date
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Branch Office Locations

11575-11585 U.S. Highway 1
Unit 26
North Palm Beach, FL 33408

265 Franklin Street
Suite 1702
BOSTON, MA 02110



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	Compliance Officer Examination (S14)	Series 14	01/02/2023
	General Securities Principal Examination (S24)	Series 24	10/04/2011

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	Investment Banking Registered Representative Examination (S79)	Series 79	11/08/2011
	General Securities Representative Examination (S7)	Series 7	03/18/2011

State Securities Law Exams

	Exam	Category	Date
 	Uniform Combined State Law Examination (S66)	Series 66	08/29/2022
	Uniform Securities Agent State Law Examination (S63)	Series 63	03/22/2011

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2023 - Present	Exemplar Wealth Advisors, LLC	CEO/CCO	Y	Phoenix, AZ, United States
08/2022 - Present	Exemplar Wealth Advisors, LLC	Investment Adviser Representative	Y	PHOENIX, AZ, United States
09/2021 - Present	Amatucci & Marston LLC	Partner	N	Shaumburg, IL, United States
09/2021 - Present	Valiant Litigation Finance LLC	Partner	N	Shaumburg, IL, United States
07/2010 - Present	Exemplar Capital LLC	Chief Executive Officer	Y	Boston, MA, United States
01/2005 - Present	Exemplar Companies PBC	Chief Executive Officer	N	Boston, MA, United States
05/2004 - Present	Exemplar Law LLC	Managing Member	N	Boston, MA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Exemplar Law LLC, non-Investment related, located at 10 Post Office Square 8th floor, Boston MA. 02195. Mr. Marston is a Managing Member providing legal services to mid-market business clients. Started May, 2004. Mr. Marston spends approximately 30 hours / month engaged in this business, most during trading hours.
2. Exemplar Companies PBC, non-investment related, located at 10 Post Office Square 8th floor, Boston MA. 02195. Mr. Marston is CEO providing administrative services to its subsidiary business advisory companies. Started in April, 2007. Mr. Marston spends approximately 10 hours / month engaged in this business, most during trading hours.
3. Amatucci & Marston, LLC, non-investment related, located at 1900 E. Golf Road, Suite 950, Shaumburg IL. 60089. Mr. Marston is a Partner providing co-counsel services to law firms. Started September, 2021. Mr. Marston spends approximately 10 hours / month engaged in this business, most during trading hours.
4. Valiant Litigation Finance LLC, non-investment related, located at 1900 E. Golf Road, Suite 950, Shaumburg IL. 60089. Mr. Marston is a Partner, providing mass tort litigation financing to law firms. Started September 2021. Mr. Marston spends



Registration & Employment History



OTHER BUSINESS ACTIVITIES

approximately 10 hours / month engaged in this business, most during trading hours.

5. Also, Principal, S24, of Exemplar Capital, LLC - FINRA Member Broker-Dealer. I occasionally receive commission from placement of private placement of securities.

6. Name: Valiant Investment Holdings, LLC and related subsidiaries, Investment Related, Located at 1900 E. Golf Road, Suite 950, Schaumburg IL. 60089, Nature of the Business: Holding Company, The holding company is parent to several wholly-owned subsidiaries, all related to one or more issuers of securities that fund Mass Tort Litigation Finance, including, at the time of this OBA filing, three (3) funds, a management company, a Sponsor entity and a holding company. Mr. Marston is Co-Owner, Start Date: January 1, 2023, Mr. Marston spends approximately 2 hours/month engaged in this business, zero hours during trading hours. Mr. Marston attends meetings to oversee the business as a passive owner of the company.

7. Name: Deep Hash Holdings, LLC and related subsidiaries, Investment Related, Located at 1900 E. Golf Road, Suite 950, Schaumburg IL. 60089, Nature of the Business: a parent entity to Deep Hash Mining, LLC and possibly other LLC's in the future engaged in identical activities, Mr. Marston is Co-Owner, Start Date February 1, 2024, Mr. Marston spends approximately 2 hours/month engaged in this business, zero hours during trading hours. Mr. Marston will attend at most one meeting per week outside of hours related to overseeing the business.

8. Name: Valiant Digital Mining Holdings, LLC and related subsidiaries, Investment Related, Located at 1900 E. Golf Road, Suite 950, Schaumburg IL. 60089, Nature of the Business: Holding company, The holding company is parent to several wholly-owned subsidiaries, all related to one or more issuers of securities that fund Digital Asset Mining, including, at the time of this OBA filing, 2 funds, a management company, a Sponsor entity and a holding company, Mr. Marston is Co-Owner, Start Date February 1, 2024, Mr. Marston spends approximately 2 hours/month engaged in this business, zero hours during trading hours. Mr. Marston attends meetings to oversee the business as a passive owner of the company.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Judgment/Lien	1

Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	State of CA
Judgment/Lien Amount:	\$47,786.73
Judgment/Lien Type:	Tax
Date Filed with Court:	05/15/2026
Date Individual Learned:	05/15/2026
Type of Court:	State Court
Name of Court:	Sacramento Superior Court of California
Location of Court:	Sacramento
Docket/Case #:	202604090223
Judgment/Lien Outstanding?	Yes



End of Report

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