IAPD Report

CHRISTOPHER KENNEALLY

CRD# 2646535

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

CHRISTOPHER KENNEALLY (CRD# 2646535)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/23/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	FORTIS GROUP ADVISORS LLC	CRD# 290427	02/21/2018

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	WESTWOOD, NJ	02/14/2018 - 12/31/2025
IA	LPL FINANCIAL LLC	6413	WESTWOOD, NJ	09/04/2018 - 02/28/2019
IA	LPL FINANCIAL LLC	6413	WESTWOOD, NJ	02/14/2018 - 07/24/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **FORTIS GROUP ADVISORS LLC**

Main Address: 345 KINDERKAMACK ROAD, SUITE B
WESTWOOD, NJ 07675

Firm ID#: 290427

	Regulator	Registration	Status	Date
IA	New Jersey	Investment Adviser Representative	Approved	02/21/2018
IA	Texas	Investment Adviser Representative	Restricted Approval	10/14/2024

Branch Office Locations

FORTIS GROUP ADVISORS LLC
345 KINDERKAMACK ROAD, SUITE B
WESTWOOD, NJ 07675

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	07/30/1998
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/25/1995

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	04/10/2000
B	Uniform Securities Agent State Law Examination (S63)	Series 63	06/02/1997

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	02/14/2018 - 12/31/2025	LPL FINANCIAL LLC	CRD# 6413	WESTWOOD, NJ
IA	09/04/2018 - 02/28/2019	LPL FINANCIAL LLC	CRD# 6413	WESTWOOD, NJ
IA	02/14/2018 - 07/24/2018	LPL FINANCIAL LLC	CRD# 6413	WESTWOOD, NJ
IA	03/31/2008 - 02/20/2018	INVEST FINANCIAL CORPORATION	CRD# 12984	WESTWOOD, NJ
B	03/31/2008 - 02/14/2018	INVEST FINANCIAL CORPORATION	CRD# 12984	WESTWOOD, NJ
IA	03/05/2003 - 04/02/2008	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	ORADELL, NJ
B	07/01/2002 - 04/02/2008	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	ORADELL, NJ
B	09/26/1995 - 07/01/2002	LUTHERAN BROTHERHOOD SECURITIES CORP.	CRD# 4205	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2018 - Present	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	WESTWOOD, NJ, United States
01/2018 - Present	Fortis Group Advisors, LLC	Member / Investment Adviser Representative	Y	Westwood, NJ, United States
03/2008 - 02/2018	INVEST FINANCIAL CORPORATION	REGISTERED REPRESENTATIVE	Y	Tampa, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 02/14/2018 - CHRISTOPHER EDWARDS FINANCIAL ASSOCIATES, LLP - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Start Date 03/31/08 - 160 Hours Per Month/100 During Securities

Registration & Employment History

OTHER BUSINESS ACTIVITIES

Trading.

2. 02/14/2018 - Stonegate Brokerage - Investment Related - At Reported Business Location(s) - Non-Variable Insurance - Agent - 20 Hours Per Month/10 During Securities Trading.

3. 4/11/2018 - Fortis Group Advisors, LLC - DBA: (HYBRID) Fortis Group Advisors - Investment Related - At Reported Business Location(s) - Registered Investment Advisor Hybrid - IAR - 120 Hours Per Month During Securities Trading - I provide investment advisory services through Fortis Group Advisors, LLC, an independent investment advisor firm. I started this business activity in 2/2018. I expect to spend approximately 120 hours per month on this activity. Please see the advisory firms Form ADV for more information about its address, the nature of its business, its owners, and its services at http://www.adviserinfo.sec.gov/IAPD. The firm is separate from and independent of LPL Financial.

4. 10/28/2024 - Christopher Edwards Financial Associates, LLP - Registered Investment Advisor DBA - IAR - Investment Related - At Reported Business Location(s) - Start Date 04/11/2018 - 160 Hours Per Month/ 120 Hours During Trading

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	COURT 81-0735 COMMONWEALTH OF PENNSYLVANIA
Charge Date:	02/26/1981
Charge Details:	STEALING AN AUTOMOBILE TIRE. IT WAS NOT INVESTMENT RELATED. MISDEMEANOR - NOLLE PROSEQUI WAS ENTERED
Felony?	No
Current Status:	Final
Status Date:	09/03/1982
Disposition Details:	I WAS PART OF A PRE TRIAL INTERVENTION PROGRAM FOR BEING WITH SOMEONE WHO STOLE AN AUTOMOBILE TIRE. THE CHARGES WERE DROPPED SINCE I COMPLETED THE PROGRAM NOLLE PROSEQUI WAS ENTERED
Broker Statement	I WAS WITH SOMEONE WHO STOLE A TIRE WHILE A COLLEGE STUDENT WE WERE IN A PRE TRIAL INTERVENTION PROGRAM AND THE CHARGES WERE DROPPED. BAD JUDGEMENT USED IN TAKING AUTOMOBILE TIRE.

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	JAMES CONTRINI, CPA
Allegations:	THE ALLEGATIONS AGAINST ME ARE THAT I SHOULD HAVE BEEN AWARE OF FRUDULENT PRACTICES OF DEFENDENT (OTHER FIRM EMPLOYEE)THIS WAS EITHER NEGLIGENT AND/OR INTENTIONALLY THAT I DID NOT COME FORWARD AND STOP THE FRAUDULENT CONDUCT OF (THE OTHER FIRM EMPLOYEE).
Product Type:	Other
Alleged Damages:	$0.00

Customer Complaint Information

Date Complaint Received:	01/10/1997
Complaint Pending?	No
Status:	Settled
Status Date:	02/07/2001
Settlement Amount:	$0.00
Individual Contribution Amount:	$15,000.00

Civil Litigation Information

Court Details:	DOCKET NO. BER-L-3396-96, DOCKET NO. BER-L-115-97, DOCKET NO. BER-L-3061-97, DOCKET NO. BER-L-7561-97 AND DOCKET NO. BER-L-11466-98
Date Notice/Process Served:	01/10/1997
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	02/07/2001
Monetary Compensation Amount:	$15,000.00
Individual Contribution Amount:	$15,000.00
Broker Statement	THE ALLEGATIONS AGAINST ME ARE TOTALLY FALSE AND FRIVOLOUS (OTHER FIRM EMPLOYEE'S) CRIMINAL ACTS WERE DONE LONG BEFORE I NEW HIM. I WAS HIRED AS A SALES TRAINING CONSULTANT LONG AFTER HE COMMITTED THESE ACTS. I HAD NOT KNOWLEDGE OF ANY FRAUDULENT BEHAVIOR AT ANY TIME. THERE WAS NO ADMISSION OF ANY WRONG DOING. THE 15,000 WAS PAID FOR THE LAWSUIT TO END. I WAS ADVISED BY MY ATTORNEY THAT THE

LAWSUIT COULD GO ON FOR A VERY LONG TIME WITH A HUGE LEGAL BILL AND THAT I WOULD BE BETTER OFF SETTLING IF THE PLAINTIFFS WOULD ACKNOWLEDGE NO WRONG DOING ON MY PART, WHICH THEY DID.

End of Report

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