



## IAPD Report

# GARY ANTHONY WAYNE

CRD# 2660462

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### GARY ANTHONY WAYNE (CRD# 2660462)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/01/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	INFINITY WEALTH MANAGEMENT, INC.	CRD# 171510	01/15/2026

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	INFINITY WEALTH MANAGEMENT, INC.	171510	DENVER, CO	01/18/2017 - 12/31/2025
IA	WACHOVIA SECURITIES, LLC	19616	DENVER, CO	05/30/2001 - 03/15/2004
B	WACHOVIA SECURITIES, LLC	19616	ST. LOUIS, MO	02/26/2001 - 03/15/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Termination	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

#### Employment 1 of 1

Firm Name: **INFINITY WEALTH MANAGEMENT, INC.**  
Main Address: 9101 EAST KENYON AVE.  
SUITE 1100  
DENVER, CO 80237  
Firm ID#: 171510

Regulator	Registration	Status	Date
<b>IA</b> Colorado	Investment Adviser Representative	Approved	01/15/2026

#### Branch Office Locations

**INFINITY WEALTH MANAGEMENT, INC.**  
9101 EAST KENYON AVE.  
SUITE 1100  
DENVER, CO 80237



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 1 general industry/product exam, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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<b>B</b> General Securities Representative Examination (S7)	Series 7	10/18/1995
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#### State Securities Law Exams

Exam	Category	Date
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<b>IA</b> Uniform Investment Adviser Law Examination (S65)	Series 65	07/07/2016
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<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	10/25/1995
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



### Registration & Employment History

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/18/2017 - 12/31/2025	INFINITY WEALTH MANAGEMENT, INC.	CRD# 171510	DENVER, CO
IA	05/30/2001 - 03/15/2004	WACHOVIA SECURITIES, LLC	CRD# 19616	DENVER, CO
B	02/26/2001 - 03/15/2004	WACHOVIA SECURITIES, LLC	CRD# 19616	ST. LOUIS, MO
B	06/23/1999 - 02/20/2001	STANFORD GROUP COMPANY	CRD# 39285	HOUSTON, TX
B	10/27/1995 - 06/30/1999	PIPER JAFFRAY INC.	CRD# 665	MINNEAPOLIS, MN

#### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2016 - Present	INFINITY WEALTH MANAGEMENT LLC	MANAGING MEMBER	Y	DENVER, CO, United States
09/2004 - Present	INTEGRITY DEBT SOLUTIONS, LLC	OWNER/FOUNDER	N	LAKEWOOD, CO, United States

#### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

I AM THE OWNER OF INTEGRITY DEBT SOLUTIONS, LLC A DEBT SETTLEMENT COMPANY HELPING CONSUMERS IN THE STATE OF TEXAS GET OUT OF CREDIT CARD DEBT. THIS IS NOT AN INVESTMENT-RELATED BUSINESS. THE ADDRESS IS 5762 W. ASBURY PL., LAKEWOOD, CO 80227. THIS BUSINESS STARTED IN SEPTEMBER, 2004. I WORK APPROXIMATELY 20 HOURS PER MONTH IN THIS BUSINESS CURRENTLY WITH 30 HOURS PER MONTH OCCURING DURING SECURITIES TRADING HOURS. MY DUTIES ARE TO EXPLAIN HOW OUR PROGRAM CAN REDUCE A CONSUMER'S UNSECURED DEBTS AND THEN NEGOTIATE SETTLEMENTS WITH BANKS, COLLECTION AGENCIES, AND/OR DEBT COLLECTION ATTORNEYS.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Termination	1

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 2

**Reporting Source:** Regulator

**Regulatory Action Initiated By:** Colorado Division of Securities

**Sanction(s) Sought:** Other: Licensing Order

**Date Initiated:** 09/28/2016

**Docket/Case Number:** Order No. 2016-CDS-023

#### URL for Regulatory Action:

#### Employing firm when activity occurred which led to the regulatory action:

**Product Type:** No Product

**Allegations:** Wayne was previously subject to Colorado Consent Order No. 14-L-02 and its incorporated Stipulation for Consent Order. The Stipulation and the Consent Order alleged that Wayne acted as an unlicensed investment adviser representative.

**Current Status:** Final

**Resolution:** Stipulation and Consent with Consent Licensing Order

**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** No

**Resolution Date:** 01/18/2017



**Sanctions Ordered:** Undertaking  
Other: IAR license subject to restrictions/conditions, license made effective pursuant to a licensing order.

**Regulator Statement** Wayne's license as an investment adviser representative was made effective pursuant to Licensing Order No. 2016-CDS-023, dated January 18, 2017. For a period of three (3) years from the effective date of the Order, Wayne, is subject to special supervision that includes, but is not limited to, heightened supervision, product restrictions, pre-approval of communications with the public, and no principal, supervisory, or managerial duties.

**Disclosure 2 of 2**

**Reporting Source:** Individual

**Regulatory Action Initiated By:** STATE OF COLORADO (DIVISION OF SECURITIES / SECURITIES COMMISSIONER)

**Sanction(s) Sought:** Suspension

**Date Initiated:** 07/09/2013

**Docket/Case Number:** 14-L-02

**Employing firm when activity occurred which led to the regulatory action:** PROVIDENCE FINANCIAL SERVICES DBA INTEGRITY FINANCIAL CONSULTING

**Product Type:** No Product

**Allegations:** ACTING AS AN INVESTMENT ADVISER REPRESENTATIVE WHILE NOT PROPERLY LICENSED

**Current Status:** Final

**Resolution:** Consent

**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** No

**Resolution Date:** 07/09/2016

**Sanctions Ordered:** Suspension

**Sanction 1 of 1**

**Sanction Type:** Suspension

**Capacities Affected:** Investment Adviser Representative, Solicitor

**Duration:** 3 years

**Start Date:** 07/09/2013

**End Date:** 07/09/2016



## Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

### Disclosure 1 of 1

**Reporting Source:** Individual  
**Firm Name:** WACHOVIA SECURITIES  
**Termination Type:** Discharged  
**Termination Date:** 03/09/2004  
**Allegations:** EXERCISING UNAUTHORIZED DISCRETIONARY TRADING AUTHORITY  
**Product Type:** Equity Listed (Common & Preferred Stock)  
**Broker Statement**

WACHOVIA HAD A MODEL PORTFOLIO THAT HAD A LIST OF ABOUT 30-35 GROWTH STOCKS WHICH THEY UPDATED ON AN ONGOING BASIS. STOCKS WERE ADDED OR DELETED FROM THE LIST BASED UPON RESEARCH THE ANALYSTS PERFORMED. I WAS FOLLOWING THIS PORTFOLIO FOR MANY OF MY CLIENTS AND I HAD ONE CLIENT THAT I COULD NOT REACH ON A CONSISTENT BASIS AS HE TRAVELED QUITE A BIT AND DID NOT HAVE A CELL PHONE WHICH WERE NOT AS COMMON IN 2004. WHEN I DID REACH HIM TO DISCUSS THAT I NEEDED TO TALK TO HIM BEFORE EACH PURCHASE (WE DIDN'T NEED DISCRETIONARY TRADING AUTHORITY TO SELL, JUST TO BUY) HE SAID THAT HE UNDERSTOOD THE STRATEGY AND HE WAS FINE IF I COULDN'T REACH HIM EACH PURCHASE AND TO GO AHEAD WITH THE STRATEGY. I HAD BEEN WORKING WITH THIS CLIENT FOR ABOUT 8 YEARS AND WE HAD A GOOD TRUSTING RELATIONSHIP. I DID BEGIN PURCHASING STOCKS WITHOUT REACHING HIM EACH TIME AND THIS IS WHY I WAS FIRED - FOR EXERCISING DISCRETIONARY TRADING AUTHORITY WHEN I DIDN'T POSSESS FULL AUTHORIZATION. WACHOVIA WOULD CONDUCT RANDOM CLIENT SATISFACTION CALLS AND OUR OFFICE CALLED THIS CLIENT TO ASK IF HE WAS SATISFIED AND HOW THINGS WERE WORKING OUT WITH ME AS HIS ADVISOR - THIS IS HOW THEY DISCOVERED THE ISSUE. THE ACCOUNT WAS A FEE-BASED ACCOUNT THEREFORE I DID NOT BENEFIT FROM ANY OF THE TRADES THAT OCCURRED.



## End of Report

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