IAPD Report

JEFFREY CHARLES KIKEL

CRD# 2661350

Section Title	Page(s)

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JEFFREY CHARLES KIKEL (CRD# 2661350)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/03/2023**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	FREEDOM DAY WEALTH MANAGEMENT LLC	CRD# 323916	12/07/2022

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	BKA WEALTH CONSULTING, INC.	288157	CEDAR PARK, TX	06/06/2017 - 01/03/2023
B	ONEAMERICA SECURITIES, INC.	4173	AUSTIN, TX	03/10/2016 - 06/09/2017
IA	ONEAMERICA SECURITIES, INC.	4173	AUSTIN, TX	03/09/2016 - 06/09/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Financial	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **FREEDOM DAY WEALTH MANAGEMENT LLC**

Main Address: 1320 ARROW POINT DR, STE 501
CEDAR PARK, TX 78613

Firm ID#: 323916

	Regulator	Registration	Status	Date
IA	Texas	Investment Adviser Representative	Approved	12/07/2022

Branch Office Locations

FREEDOM DAY WEALTH MANAGEMENT LLC
1320 Arrow Point Dr, Ste 501
Cedar Park, TX 78613

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	09/10/1999
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	07/19/1999

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	06/09/2017
B	General Securities Representative Examination (S7)	Series 7	05/09/1996
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/26/1995

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	12/31/2014
IA B	Uniform Combined State Law Examination (S66)	Series 66	07/20/2001
B	Uniform Securities Agent State Law Examination (S63)	Series 63	10/04/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/06/2017 - 01/03/2023	BKA WEALTH CONSULTING, INC.	CRD# 288157	CEDAR PARK, TX
B	03/10/2016 - 06/09/2017	ONEAMERICA SECURITIES, INC.	CRD# 4173	AUSTIN, TX
IA	03/09/2016 - 06/09/2017	ONEAMERICA SECURITIES, INC.	CRD# 4173	AUSTIN, TX
IA	01/14/2015 - 02/29/2016	SOUTH TEXAS MONEY MANAGEMENT, LTD.	CRD# 112188	AUSTIN, TX
B	03/15/2013 - 12/15/2014	EDWARD JONES	CRD# 250	AUSTIN, TX
IA	03/15/2013 - 12/15/2014	EDWARD JONES	CRD# 250	AUSTIN, TX
B	01/24/2011 - 02/13/2013	CHARLES SCHWAB & CO., INC.	CRD# 5393	AUSTIN, TX
IA	01/24/2011 - 02/13/2013	CHARLES SCHWAB & CO., INC.	CRD# 5393	AUSTIN, TX
IA	08/15/2001 - 01/06/2011	STRATEGIC ADVISERS, INC.	CRD# 104555	WESTLAKE, TX
B	09/27/1995 - 01/05/2011	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	WESTLAKE, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2022 - Present	Freedom Day Wealth Management LLC	Managing Member and CCO	Y	Cedar Park, TX, United States
03/2017 - Present	BKA Wealth Consulting, Inc	President/Founder	Y	Cedar Park, TX, United States
01/2016 - Present	American United Life	Agent	N	Austin, TX, United States
03/2016 - 03/2017	CPTX Wealth Strategies	President/Founder	Y	Cedar Park, TX, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Jeffrey Charles Kikel is an independent licensed insurance agent, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. BWCI always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of BWCI in connection with such individual's activities outside of BWCI.

Jeffrey Charles Kikel is owner of CPTX Products; a liquidation resale business, co-owner T-Werx Coworking co-working facility business President & founder, in startup phase. Mr. Kikel does not offer clients any services from his outside activities.

Jeffrey Charles Kikel is a Consultant at BKA Business Consulting, LLC; a single-member limited liability company set up under BKA Wealth Consulting, Inc. to perform business consulting activities, including business assessment and valuation, as part of our broader exit planning practice for business owners. It is not investment-related. Jeffrey Charles Kikel started his relationship with this business on 8/20 and devotes approximately 5 hours per month to this business. He devotes up to 1 hour per month to this business during securities hours.

Jeffrey Charles Kikel the CEO of CPTX Renewable Energy, LLC; which sells solar residential systems. It is not investment-related. Jeffrey Charles Kikel started his relationship with this business on 02/22 and devotes approximately 15 hours per month to this business, none of which are during securities trading hours.

Jeffrey Charles Kikel is the owner of CPTX Media, LLC; a single-member limited liability company set through which he publishes books and performs Marketing Consulting. It is not investment-related. Jeffrey Charles Kikel started his relationship with this business on 11/21 and devotes approximately 10 hours per month to this business, none of which are during securities trading hours.

T-Werx LLC, Coworking and Shared Office | Not Investment Related | 1320 Arrow Point Dr, Ste
501, Cedar Park TX 78613 - Founder since 01/2016,Less than 20 Hours Per Month, Less than 20 hours per month

Connemara Hills Partners | Real Estate Investment | Investment Related | 1320 Arrow Point Dr,
Ste 501, Cedar Park, TX 78613 - Managing Partner since 10/2022 (Less than 2 hours per week)

Be the Rhino, 1320 Arrow Point Dr, Ste 501, Cedar Park, TX 78613, Board Member, 2018, 1 Hr per year, 0 during trading hours

LEEF, 204 W South St. Leander, TX 78646, Board Member, March 2015, 1-2 Hours per Month, 1 Hour during Trading Hours

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Financial	1

Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source:	Individual
Action Type:	Compromise
Action Date:	08/31/2021
Organization Investment-Related?	
Type of Court:	Williamson County Court
Name of Court:	Williamson County Court
Location of Court:	Williamson County, Texas
Docket/Case #:	19-2510-CC4
Action Pending?	No
Disposition:	Satisfied/Released
Disposition Date:	08/31/2021
If a compromise with creditor, provide:	
Name of Creditor:	Portfolio Recovery Associates
Original Amount Owed:	$33,166.00
Terms Reached with Creditor:	Settled for $10,000.

End of Report

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