



IAPD Report

JASON PATRICK BRESSLER

CRD# 2662673

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JASON PATRICK BRESSLER (CRD# 2662673)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/09/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SECURITIES AMERICA ADVISORS, INC.	110518	ROCHESTER, MN	09/18/2020 - 06/14/2024
B	SECURITIES AMERICA, INC.	10205	Rochester, MN	09/18/2020 - 06/14/2024
B	SECURITIES SERVICE NETWORK, LLC	13318	Rochester, MN	04/24/2017 - 09/18/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 11 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	06/14/2024
B	FINRA	Municipal Securities Representative	Approved	06/14/2024
B	Arizona	Agent	Approved	06/14/2024
B	California	Agent	Approved	06/14/2024
B	Colorado	Agent	Approved	06/14/2024
B	Florida	Agent	Approved	06/14/2024
IA	Florida	Investment Adviser Representative	Approved	03/27/2025
B	Kansas	Agent	Approved	03/09/2026
B	Michigan	Agent	Approved	03/11/2026
B	Minnesota	Agent	Approved	06/14/2024
IA	Minnesota	Investment Adviser Representative	Approved	06/14/2024
B	North Carolina	Agent	Approved	06/14/2024
B	South Dakota	Agent	Approved	06/14/2024



Qualifications

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Restricted Approval	06/14/2024
B Wisconsin	Agent	Approved	06/14/2024

Branch Office Locations

OSAIC WEALTH, INC.
1801 GREENVIEW DR SW, SUITE 104
Rochester, MN 55902

OSAIC WEALTH, INC.
BONIFAY, FL





Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	12/15/2000
 Municipal Securities Principal Examination (S53)	Series 53	03/31/1997

General Industry/Product Exams

Exam	Category	Date
 Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	09/26/1995

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	10/12/1999
 Uniform Securities Agent State Law Examination (S63)	Series 63	11/01/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/18/2020 - 06/14/2024	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	ROCHESTER, MN
B	09/18/2020 - 06/14/2024	SECURITIES AMERICA, INC.	CRD# 10205	Rochester, MN
B	04/24/2017 - 09/18/2020	SECURITIES SERVICE NETWORK, LLC	CRD# 13318	Rochester, MN
IA	04/24/2017 - 09/18/2020	SSN ADVISORY, INC.	CRD# 126090	Rochester, MN
IA	12/12/2013 - 05/02/2017	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	ROCHESTER, MN
B	04/07/2010 - 05/02/2017	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	ROCHESTER, MN
B	02/13/2007 - 03/29/2010	GUNNALLEN FINANCIAL, INC	CRD# 17609	ROCHESTER, MN
B	10/12/1995 - 02/13/2007	ASKAR CORP.	CRD# 7512	ROCHESTER, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	Rochester, MN, United States
09/2020 - 06/2024	SECURITIES AMERICA ADVISORS, INC.	IAR	Y	ROCHESTER, MN, United States
09/2020 - 06/2024	SECURITIES AMERICA, INC.	Registered Rep	Y	Rochester, MN, United States
04/2017 - 09/2020	SSN Advisory, Inc	Investment Advisor	Y	Rochester, MN, United States
04/2017 - 09/2020	Securities Service Network	Registered Representative	Y	Rochester, MN, United States
03/2010 - 04/2017	Ameriprise Financial Services, Inc.	Registered Rep	Y	Austin, MN, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Admiral Financial (this is a DBA)

-3265 19th St NW, #300, Rochester, MN 55901 - 04/2017 start date - Investment related - DBA - DBA for securities business - DBA - 160 hrs/mo trading

2. Jason Bressler

-3265 19th St NW, #300, Rochester, MN 55901 - 04/2017 start date - NIR - Sell fixed insurance - Insurance sales - Sole Prop - 1 hrs/mo trading

3. SAVED BY GRACE INC

POSITION: President NATURE: retail sales of sports cards, dog and horse breeding INVESTMENT RELATED: No NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2012 ADDRESS: 1801 Greenview Drive SW #104, Rochester MN 55092 DESCRIPTION: buy and resell sports cards. Daily activities to take care of dogs and horses on a daily basis for the purposes of breeding and selling puppies or foals.

4. N/A

POSITION: successor POA NATURE: I am the successor POA on my mother's and father's Durable Power of Attorney INVESTMENT RELATED: Yes NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 04/07/2017 ADDRESS: 15151 304th Street, Spring Valley MN 55975 DESCRIPTION: will be able to transact financial matters for either of them should they and the Primary successor become incapacitated (currently I am just listed as successor and not acting in any manner).

5. FAMILY ASSET PROTECTION, INC

POSITION: insurance agent NATURE: fixed insurance, life insurance, long-term care insurance sales and service INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 5 START DATE: 05/07/2018 ADDRESS: 4010 Autumn Lake Court SW, Rochester MN 55902, United States DESCRIPTION: sales and service of the existing book of business



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	GUNNALLEN FINANCIAL
Allegations:	VIOLATION OF 10(B) AND RULE 10(B)(5) OF THE SECURITIES EXCHANGE ACT OF 1934, BREACH OF CONTRACT, COMMON LAW FRAUD, NEGLIGENCE, BREACH OF FIDUCIARY DUTY. THE SALES TOOK PLACE AROUND 2008.
Product Type:	Other: PRIVATE PLACEMENT
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	NONE INDICATED

Civil Litigation Information

Type of Court:	Federal Court
Name of Court:	U.S. DISTRICT COURT FOR THE DISTRICT OF DELAWARE
Location of Court:	WILMINGTON, DE
Docket/Case #:	1:12-CV-00828 (GMS)
Date Notice/Process Served:	08/27/2012
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	02/08/2016



Monetary Compensation Amount: \$3,000.00

Individual Contribution Amount: \$3,000.00

Broker Statement DBSI WAS A REAL ESTATE INVESTMENT COMPANY THAT OFFERED INVESTMENTS THAT WENT BAD AND EVENTUALLY FILED BANKRUPTCY IN NOVEMBER 2008. THE TRUSTEE OF THE DBSI PRIVATE ACTIONS TRUST IS FILING A LAWSUIT TO TRY TO RECOUP FUNDS FOR THE INVESTORS OF DBSI INVESTMENTS FROM ANY PARTY INVOLVED, EVEN THOUGH THE NAMED ACTUAL INVESTORS CHOSE NOT TO PURSUE A LAWSUIT/COMPLAINT AGAINST ME.

Disclosure 2 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: ASKAR CORP.

Allegations: UNSUITABLE INVESTMENT AND MISREPRESENTATION OF THE INVESTMENT, DBSI REIT SOLD TO CLIENT JULY 28, 2005 AND DBSI SECURED NOTE SOLD TO CLIENT NOVEMBER 9, 2005

Product Type: Direct Investment-DPP & LP Interests

Alleged Damages: \$220,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 05/24/2010

Complaint Pending? No

Status: Settled

Status Date: 07/19/2010

Settlement Amount: \$110,000.00

Individual Contribution Amount: \$0.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: ASKAR CORP.

Allegations: UNSUITABLE INVESTMENT AND MISREPRESENTATION OF THE INVESTMENT, DBSI REIT SOLD TO CLIENT JULY 28, 2005 AND DBSI SECURED NOTE SOLD TO CLIENT NOVEMBER 9, 2005

Product Type: Direct Investment-DPP & LP Interests

Alleged Damages: \$220,000.00



Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC
reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 05/24/2010

Complaint Pending? No

Status: Settled

Status Date: 07/19/2010

Settlement Amount: \$110,000.00

Individual Contribution
Amount: \$0.00

Disclosure 3 of 3

Reporting Source: Firm

Employing firm when
activities occurred which led
to the complaint: ASKAR CORP

Allegations: ALLEGATIONS THAT DBSI PURCHASE UNSUITABLE

Product Type: Direct Investment-DPP & LP Interests

Alleged Damages: \$425,000.00

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC
reparation or civil litigation? Yes

Arbitration/Reparation forum
or court name and location: FINRA ARBITRATION, MINNEAPOLIS, MN

Docket/Case #: 09-04967

Filing date of
arbitration/CFTC reparation
or civil litigation: 08/17/2009

Customer Complaint Information

Date Complaint Received: 09/02/2009

Complaint Pending? No

Status: Settled

Status Date: 03/11/2010

Settlement Amount: \$180,000.00

Individual Contribution
Amount: \$0.00

Arbitration Information



Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA ARBITRATION, MINNEAPOLIS, MN

Docket/Case #: 09-14967

Date Notice/Process Served: 09/02/2009

Arbitration Pending? No

Disposition: Settled

Disposition Date: 03/11/2010

Monetary Compensation Amount: \$180,000.00

Individual Contribution Amount: \$0.00

Firm Statement SETTLED VIA MEDIATION

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: GUNNALLEN FINANCIAL

Allegations: CLAIMANTS ALLEGE MISREPRESENTATION, UNSUITABLE TRANSACTIONS, AND BREACH OF FIDUCIARY DUTY IN THE HANDLING OF THEIR ACCOUNT.

Product Type: Direct Investment-DPP & LP Interests

Alleged Damages: \$425,000.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: 09-04967

Date Notice/Process Served: 09/09/2009

Arbitration Pending? No

Disposition: Settled

Disposition Date: 03/04/2010

Monetary Compensation Amount: \$165,000.00

Individual Contribution Amount: \$5,000.00



End of Report

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