User Guidance

www.adviserinfo.sec.gov

IAPD Report

ROBERT WAYNE TYLER JR

CRD# 2681663

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

ROBERT WAYNE TYLER JR (CRD# 2681663)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/07/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	11/02/2018
IA	OSAIC WEALTH, INC.	CRD# 23131	11/02/2018

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **30** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	SIGNATOR INVESTORS, INC.	468	MUNCIE, IN	05/13/2016 - 11/02/2018
IA	SIGNATOR INVESTORS, INC.	468	MUNCIE, IN	05/13/2016 - 11/02/2018
IA	TRANSAMERICA FINANCIAL ADVISORS, INC.	16164	MUNCIE, IN	01/22/2001 - 05/13/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **30** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**

Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255

Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	11/02/2018
B	Alabama	Agent	Approved	04/07/2026
B	Arizona	Agent	Approved	11/02/2018
B	Arkansas	Agent	Approved	02/02/2026
B	California	Agent	Approved	11/02/2018
B	Colorado	Agent	Approved	08/02/2021
B	District of Columbia	Agent	Approved	12/06/2019
B	Florida	Agent	Approved	11/02/2018
IA	Florida	Investment Adviser Representative	Approved	08/01/2023
B	Georgia	Agent	Approved	07/16/2020
B	Hawaii	Agent	Approved	03/13/2024
B	Idaho	Agent	Approved	11/02/2018
B	Illinois	Agent	Approved	11/02/2018

Qualifications

	Regulator	Registration	Status	Date
B	Indiana	Agent	Approved	11/02/2018
IA	Indiana	Investment Adviser Representative	Approved	11/02/2018
B	Kentucky	Agent	Approved	04/03/2023
B	Massachusetts	Agent	Approved	10/01/2021
B	Michigan	Agent	Approved	11/02/2018
B	Minnesota	Agent	Approved	11/02/2018
B	Missouri	Agent	Approved	01/08/2026
B	Montana	Agent	Approved	11/01/2023
B	New Jersey	Agent	Approved	12/02/2021
B	New Mexico	Agent	Approved	11/02/2018
B	New York	Agent	Approved	11/02/2018
B	North Carolina	Agent	Approved	11/02/2018
IA	North Carolina	Investment Adviser Representative	Approved	07/31/2023
B	Ohio	Agent	Approved	11/02/2018
IA	Ohio	Investment Adviser Representative	Approved	08/03/2023
B	Oklahoma	Agent	Approved	02/21/2024
B	Oregon	Agent	Approved	11/02/2018
B	Pennsylvania	Agent	Approved	10/22/2024
B	South Carolina	Agent	Approved	01/14/2020

Qualifications

	Regulator	Registration	Status	Date
B	Tennessee	Agent	Approved	11/02/2018
B	Texas	Agent	Approved	11/02/2018
IA	Texas	Investment Adviser Representative	Approved	07/30/2019
B	Virginia	Agent	Approved	11/02/2018

Branch Office Locations

OSAIC WEALTH, INC.
10101 W. SR 32
Yorktown, IN 47396

OSAIC WEALTH, INC.
7017 CORPORATE WAY
CENTERVILLE, OH 45459

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	11/22/1995

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	11/01/2000
B	Uniform Securities Agent State Law Examination (S63)	Series 63	12/22/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/13/2016 - 11/02/2018	SIGNATOR INVESTORS, INC.	CRD# 468	MUNCIE, IN
IA	05/13/2016 - 11/02/2018	SIGNATOR INVESTORS, INC.	CRD# 468	MUNCIE, IN
IA	01/22/2001 - 05/13/2016	TRANSAMERICA FINANCIAL ADVISORS, INC.	CRD# 16164	MUNCIE, IN
B	01/03/2001 - 05/13/2016	TRANSAMERICA FINANCIAL ADVISORS, INC	CRD# 16164	MUNCIE, IN
B	09/25/1998 - 12/31/2000	PROEQUITIES, INC.	CRD# 15708	BIRMINGHAM, AL
B	11/24/1995 - 09/25/1998	ADVANTAGE CAPITAL CORPORATION	CRD# 146	ATLANTA, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2018 - Present	OSAIC WEALTH, INC.	REGISTERED REP	Y	MUNCIE, IN, United States
06/2007 - Present	Tyler Wealth Management	Owner/Lead Advisor	Y	Muncie, IN, United States
05/2016 - 11/2018	SIGNATOR INVESTORS, INC.	REG REP	Y	MUNCIE, IN, United States
01/2016 - 01/2018	TYLER LAKE RENTAL #1, LLC	MANAGING MEMBER	Y	YORKTOWN, IN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. TYLER WEALTH MANAGEMENT - FIXED INSURANCE
POSITION: Insurance Agent NATURE: Sole Proprietorship INVESTMENT RELATED: Yes NUMBER OF HOURS: 10
SECURITIES TRADING HOURS: 10 START DATE: 02/03/1995
ADDRESS: 10101 W. SR 32, Yorktown IN 47396, United States
DESCRIPTION: Help clients purchase VUL, UL, and Term Life Insurance. Help clients purchase Disability Income and Long-Term Care Insurance.

Registration & Employment History

OTHER BUSINESS ACTIVITIES

2. BALL STATE UNIVERSITY
POSITION: Miller College of Business Finance Department Advisory Board Member NATURE: University INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 01/01/2005
ADDRESS: 2000 W. University Ave., Muncie IN 47306, United States
DESCRIPTION: Meet twice each year for an afternoon with the Finance Department Chair. Receive updates on curriculum, students, programs, and new initiatives. Provide guidance and industry feedback to improve the educational experience of Finance Majors at Ball State University.

3. TYLER WEALTH MANAGEMENT
POSITION: Owner/Lead Advisor NATURE: Sole Proprietorship INVESTMENT RELATED: Yes NUMBER OF HOURS: 195 SECURITIES TRADING HOURS: 140 START DATE: 06/01/2007
ADDRESS: 10101 W. SR 32, Yorktown IN 47396, United States
DESCRIPTION: Tyler Wealth Management is the DBA for my wealth management practice. I serve my clients as their investment advisor and fixed insurance agent. I develop and implement financial plans. I lead my team and run the business.

4. THE COMMUNITY FOUNDATION OF MUNCIE AND DELAWARE COUNTY, INC
POSITION: Investment Committee Member NATURE: Non-profit, Community Foundation, that is incorporated. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 07/01/2021
ADDRESS: 302 E Jackson St, Muncie IN 47305, United States
DESCRIPTION:
Job Description:
Committee members of The Community Foundation have a responsibility to create, support and act to fulfill the organization's mission within the context of the specific committee to which they are assigned. All committee members should demonstrate their belief in the organization's purpose and goals and act responsibly and prudently as its stewards.
Investment Committee members' specific responsibilities include:
1. Acting as a proponent and spokesperson for the Foundation and its mission.
2. Attending and actively participating in committee meetings.
3. Reviewing the Foundation's quarterly investment performance reports and insuring that resources are invested effectively.
4. Reviewing and recommending approval of the Investment Policy, Spending Policy, Life Insurance Policies and Asset Allocation each year.
5. Adhering to the Foundation's conflicts of interest policy.
6. Respecting the confidential matters of the Foundation's business.
7. Providing an annual gift to the Foundation according to personal capacity.
8. Attending and participating in other Foundation activities as appropriate.

5. TYLER FAMILY FARMS LLC
POSITION: Owner NATURE: Dual Member LLC with my wife, Julie A. Tyler. INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 08/01/2022
ADDRESS: 906 N. TK Way, Yorktown IN 47396, United States
DESCRIPTION: Pay bills and collect farm rent beginning in 2023 from 12 tillable acres within the 36.5 acre farm on Tiger Drive and River Valley Road.
Pay bills and collect farm rent beginning in 2025 from 7 tillable acres within the 10 acre property on W. SR 32, collect monthly rent from Tyler Wealth Management who will begin renting the building at the same address in October, and collect billboard rent from one billboard on the property.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	PROEQUITIES, INC.
Allegations:	CUSTOMER'S ATTORNEY SENT PROEQUITIES AND THE REP A "CONFIDENTIAL SETTLEMENT DEMAND" ALLEGING THAT INVESTMENTS HELD IN CUSTOMER'S ACCOUNT AT PROEQUITIES WERE UNSUITABLE; FURTHER ALLEGED THAT FIRM AND REP FAILED TO KNOW THE CUSTOMER.
Product Type:	Mutual Fund(s)
Other Product Type(s):	MANAGED ACCOUNT
Alleged Damages:	$65,000.00

Customer Complaint Information

Date Complaint Received:	10/27/2006
Complaint Pending?	No
Status:	Denied
Status Date:	11/24/2006
Settlement Amount:	$0.00
Individual Contribution Amount:	$0.00
Firm Statement	ALLEGATIONS WERE DENIED IN FULL.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	PRO EQUITIES, INC. & INTERSECURITIES, INC.
Allegations:	THE CLIENT ALLEGES THAT THE MUTUAL FUNDS HE PURCHASED IN HIS ADVISORY ACCOUNT WERE UNSUITABLE AND THAT THE REPRESENTATIVE FAILED TO MONITOR THE ACCOUNTS FROM DECEMBER 1999 THROUGH DECEMBER 2002.
Product Type:	Mutual Fund(s)
Alleged Damages:	$100,999.00

Customer Complaint Information

Date Complaint Received:	10/27/2006
Complaint Pending?	No
Status:	Denied
Status Date:	12/08/2006
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	THE REPRESENTATIVE'S PRIOR B/D, PRO EQUITIES, ALSO RECEIVED THIS COMPLAINT. PRO EQUITIES REACHED ITS' CONCLUSION TO DENY THE COMPLAINT PRIOR TO INTERSECURITIES MAKING ITS' DETERMINATION. ISI DENIED THE COMPLAINT ON 12/8/2006. ISI AND THE REPRESENTATIVE STRONGLY REFUTE ALL OF THE ALLEGATIONS CONTAINED IN THIS CLAIM.

End of Report

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