



IAPD Report

BRIAN MICHAEL LEVITAN

CRD# 2684478

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

BRIAN MICHAEL LEVITAN (CRD# 2684478)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/22/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	TRANSAMERICA FINANCIAL ADVISORS, LLC	CRD# 16164	06/08/2017
IA	TRANSAMERICA FINANCIAL ADVISORS, LLC	CRD# 16164	07/25/2017

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **24** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	WORLD GROUP SECURITIES, INC.	114473	DULUTH, GA	04/12/2002 - 12/31/2004
B	WMA SECURITIES, INC.	32625	DULUTH, GA	12/07/1998 - 04/12/2002
B	WMA SECURITIES, INC.	32625	DULUTH, GA	01/09/1996 - 12/31/1997

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Financial	15



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **24** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **TRANSAMERICA FINANCIAL ADVISORS, LLC**
Main Address: TWO LIBERTY PLACE
50 SOUTH 16TH STREET, SUITE 3700
PHILADELPHIA, PA 19102
Firm ID#: 16164

Regulator	Registration	Status	Date
B FINRA	Invest. Co and Variable Contracts	Approved	06/08/2017
B FINRA	Investment Co./Variable Contracts Prin	Approved	02/22/2024
B Alabama	Agent	Approved	03/25/2025
B Arizona	Agent	Approved	02/10/2023
B California	Agent	Approved	02/23/2021
IA District of Columbia	Investment Adviser Representative	Approved	07/07/2021
B Florida	Agent	Approved	03/29/2021
B Georgia	Agent	Approved	09/03/2019
IA Georgia	Investment Adviser Representative	Approved	09/26/2019
B Illinois	Agent	Approved	06/08/2017
B Indiana	Agent	Approved	09/21/2020
B Kentucky	Agent	Approved	02/06/2026
B Maryland	Agent	Approved	05/28/2026



Qualifications

Regulator	Registration	Status	Date
B Minnesota	Agent	Approved	02/01/2024
B Missouri	Agent	Approved	03/12/2021
B Nevada	Agent	Approved	08/22/2022
B New Jersey	Agent	Approved	07/20/2021
IA New Jersey	Investment Adviser Representative	Approved	07/20/2021
B New York	Agent	Approved	03/07/2021
IA North Carolina	Investment Adviser Representative	Approved	06/11/2021
B Oregon	Agent	Approved	01/27/2025
B Pennsylvania	Agent	Approved	04/03/2018
B South Carolina	Agent	Approved	05/17/2024
B Tennessee	Agent	Approved	11/20/2025
B Texas	Agent	Approved	02/26/2019
IA Texas	Investment Adviser Representative	Restricted Approval	02/25/2019
B Virginia	Agent	Approved	06/11/2025
B Washington	Agent	Approved	01/28/2022
B Wisconsin	Agent	Approved	07/21/2021

Branch Office Locations

TRANSAMERICA FINANCIAL ADVISORS, LLC
 294 South Main St
 Suite 100
 ALPHARETTA, GA 30009




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	02/22/2024

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/08/2017

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	07/21/2017
 Uniform Securities Agent State Law Examination (S63)	Series 63	07/11/2017

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	04/12/2002 - 12/31/2004	WORLD GROUP SECURITIES, INC.	CRD# 114473	DULUTH, GA
B	12/07/1998 - 04/12/2002	WMA SECURITIES, INC.	CRD# 32625	DULUTH, GA
B	01/09/1996 - 12/31/1997	WMA SECURITIES, INC.	CRD# 32625	DULUTH, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	Cam Fin LLC	Executive Assistant	N	Milton, GA, United States
03/2023 - Present	BML Property Services, LLC.	Owner	N	Suwanee, GA, United States
01/2022 - Present	Clearwater Benefits	Independent Agent	N	Austin, TX, United States
03/2017 - Present	TRANSAMERICA FINANCIAL ADVISORS, INC	Registered Representative	Y	ALPHARETTA, GA, United States
07/2013 - Present	REAL ESTATE INSTITUTE	INSTRUCTOR	N	NILES, IL, United States
06/2001 - Present	WFGIA	ASSOCIATE	Y	ALPHARETTA, GA, United States
10/2019 - 09/2023	Transformation Financial Inc	COO - Executive Assistant	Y	Alpharetta, GA, United States
03/2020 - 08/2023	HOME TOWN MORTGAGE	LOAN ORIGINATOR	Y	ALPHARETTA, GA, United States
06/2017 - 09/2019	LYFT	DRIVER	N	SAN FRANCISCO, CA, United States
06/2013 - 09/2019	BRIAN LEVITAN	SOLE OWNER	N	VERNON HILLS, IL, United States
09/2018 - 06/2019	Inland Home Mortgage	Loan Officer	Y	Geneva, IL, United States
03/2017 - 03/2018	Pacific Union Financial, LLC	Loan Officer	Y	Irving, TX, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2016 - 03/2017	BAY EQUITY LLC	SENIOR LOAN OFFICER/LOAN OFFICER ASST.	Y	PLAINFIELD, IL, United States
07/2015 - 10/2016	HOMEBRIDGE FINANCIAL SERVICES	LOAN OFFICER ASSISTANT	Y	OAKBROOK TERRACE, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Sales of insurance and non-insurance products, part-time or full-time, for companies affiliated with Transamerica Financial Advisors, Inc."

Clearwater Benefits / 0122-Present / Investment Related: No / Austin, TX 78739 / Independent Agent / Health Insurance plans / Hrs. Work Monthly: 1 / Sec Trading Hrs: 0 / Currently none. Must be part of association to get the health insurance, eventually may market though WFG is available

REAL ESTATE INSTITUTE/Investment Related - No/6203 W Howard St Niles, IL 60714 800-995-1700/Mortgage continuing education instructor/Instructor/07.2013/8/0/Teach online, in person, and web based training

BML PROPERTY SERVICES, LLC. POSITION: Owner NATURE: Handyman Services INVESTMENT RELATED: No NUMBER OF HOURS: 32 SECURITIES TRADING HOURS: 0 START DATE: 03/29/2023 ADDRESS: 918 Summer Forest Dr, Suwanee GA 30024, United States DESCRIPTION: Handyman services for family estate and rental properties

CAM FIN LLC POSITION: Executive Assistant NATURE: Financial INVESTMENT RELATED: No NUMBER OF HOURS: 80 SECURITIES TRADING HOURS: 80 START DATE: 09/15/2023 ADDRESS: 1865 Bethany Way, Milton GA 30004, United States DESCRIPTION: Administrative support for WFG Exec Chairman Cam Levitan. Calling clients, running reports, general office duties. This was same role under TFI previously. Cam Fin LLC is just an entity solely owner by Cam Levitan for purposes of running expenses through for tax purposes. No business is conducted using the Cam Fin name for marketing or co-branding in WFG.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Financial	15

Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 15

Reporting Source: Individual

Action Type: Compromise

Action Date: 03/04/2020

Organization Investment-Related?

Action Pending? No

Disposition: SETTLED

Disposition Date: 03/04/2020

If a compromise with creditor, provide:

Name of Creditor: CAPITAL ONE

Original Amount Owed: \$3,024.00

Terms Reached with Creditor: SETTLED FOR \$2070.

Disclosure 2 of 15

Reporting Source: Individual

Action Type: Compromise

Action Date: 03/09/2020

Organization Investment-Related?

Action Pending? No



Disposition: Settled
Disposition Date: 03/09/2020
If a compromise with creditor, provide:
Name of Creditor: CAPITAL ONE BANK
Original Amount Owed: \$1,539.00
Terms Reached with Creditor: SETTLED FOR \$1005.86

Disclosure 3 of 15

Reporting Source: Individual
Action Type: Compromise
Action Date: 03/05/2020
Organization Investment-Related?
Action Pending? No
Disposition: SETTLED
Disposition Date: 03/05/2020
If a compromise with creditor, provide:
Name of Creditor: BARCLAYS BANK DELAWARE
Original Amount Owed: \$2,653.00
Terms Reached with Creditor: SETTLED FOR \$1300

Disclosure 4 of 15

Reporting Source: Individual
Action Type: Compromise
Action Date: 02/14/2020
Organization Investment-Related?
Action Pending? No
Disposition: SETTLED
Disposition Date: 02/14/2020
If a compromise with creditor, provide:
Name of Creditor: BLITT & GAINES PC/CREDIT ONE BANK
Original Amount Owed: \$650.00



Terms Reached with Creditor: Settled for \$540.

Disclosure 5 of 15

Reporting Source: Individual

Action Type: Compromise

Action Date: 01/15/2020

Organization Investment-Related?

Action Pending? No

Disposition: Settled

Disposition Date: 01/27/2020

If a compromise with creditor, provide:

Name of Creditor: Santander Consumer USA

Original Amount Owed: \$15,498.09

Terms Reached with Creditor: Settled for \$8000.

Broker Statement Car was turned in due to mileage/breakdown made offer to settle account. Account has been paid per settlement on 1/27/2020.

Disclosure 6 of 15

Reporting Source: Individual

Action Type: Compromise

Action Date: 10/30/2019

Organization Investment-Related?

Action Pending? No

Disposition: Settled

Disposition Date: 10/30/2019

If a compromise with creditor, provide:

Name of Creditor: Northshore University Health

Original Amount Owed: \$457.21

Terms Reached with Creditor: Settled for \$297.19

Disclosure 7 of 15

Reporting Source: Individual



Action Type: Compromise

Action Date: 10/21/2019

Organization Investment-Related?

Action Pending? No

Disposition: Settled

Disposition Date: 10/21/2019

If a compromise with creditor, provide:

Name of Creditor: Synchrony Bank/Walmart

Original Amount Owed: \$1,317.85

Terms Reached with Creditor: Settled for \$775.93

Disclosure 8 of 15

Reporting Source: Individual

Action Type: Compromise

Action Date: 10/18/2019

Organization Investment-Related?

Action Pending? No

Disposition: Settled

Disposition Date: 10/18/2019

If a compromise with creditor, provide:

Name of Creditor: Synchrony Bank/HH Gregg

Original Amount Owed: \$2,356.12

Terms Reached with Creditor: Settled for \$1378.34

Disclosure 9 of 15

Reporting Source: Individual

Action Type: Compromise

Action Date: 10/18/2019

Organization Investment-Related?

Action Pending? No

Disposition: Settled



Disposition Date: 10/18/2019

If a compromise with creditor, provide:

Name of Creditor: Comenity Bank

Original Amount Owed: \$647.01

Terms Reached with Creditor: Settled for \$195.00

Disclosure 10 of 15

Reporting Source: Individual

Action Type: Compromise

Action Date: 10/16/2019

Organization Investment-Related?

Action Pending? No

Disposition: Settled

Disposition Date: 10/16/2019

If a compromise with creditor, provide:

Name of Creditor: Capital One Bank

Original Amount Owed: \$425.00

Terms Reached with Creditor: Settled for \$212.00

Disclosure 11 of 15

Reporting Source: Individual

Action Type: Compromise

Action Date: 10/15/2019

Organization Investment-Related?

Action Pending? No

Disposition: Settled

Disposition Date: 10/15/2019

If a compromise with creditor, provide:

Name of Creditor: First Premier Bank

Original Amount Owed: \$676.90

Terms Reached with Creditor: Settled for \$338.45



Disclosure 12 of 15

Reporting Source: Individual
Action Type: Compromise
Action Date: 10/15/2019
Organization Investment-Related?
Action Pending? No
Disposition: Settled
Disposition Date: 10/15/2019
If a compromise with creditor, provide:
Name of Creditor: Ally Financial
Original Amount Owed: \$14,645.62
Terms Reached with Creditor: Settled for \$7322.80

Disclosure 13 of 15

Reporting Source: Individual
Action Type: Compromise
Action Date: 12/06/2016
Organization Investment-Related? No
Action Pending? No
Disposition: Satisfied/Released
Disposition Date: 12/22/2016
If a compromise with creditor, provide:
Name of Creditor: BLAZE
Original Amount Owed: \$541.15
Terms Reached with Creditor: SETTLED FOR LESS THAN FULL BALANCE \$216.46
Broker Statement UNEMPLOYMENT IN 2014

Disclosure 14 of 15

Reporting Source: Individual
Action Type: Compromise
Action Date: 12/05/2016



Organization Investment-Related? No

Action Pending? No

Disposition: Satisfied/Released

Disposition Date: 12/20/2016

If a compromise with creditor, provide:

Name of Creditor: FIRST NATIONAL CREDIT CARD

Original Amount Owed: \$733.39

Terms Reached with Creditor: SETTLED FOR LESS THAN FULL BALANCE \$325.00

Broker Statement UNEMPLOYMENT IN 2014

Disclosure 15 of 15

Reporting Source: Individual

Action Type: Compromise

Action Date: 11/28/2016

Organization Investment-Related? No

Action Pending? No

Disposition: Satisfied/Released

Disposition Date: 11/29/2016

If a compromise with creditor, provide:

Name of Creditor: FIRST PREMIER BANK / JEFFERSON CAPITAL SYSTEMS, LLC

Original Amount Owed: \$776.00

Terms Reached with Creditor: SETTLED FOR LESS THAN FULL BALANCE \$310.40

Broker Statement UNEMPLOYMENT IN 2014



End of Report

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