



IAPD Report

MAJDAH ALQUHTANI DPHREPAULEZZ

CRD# 2689925

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 5
Registration and Employment History	6 - 7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MAJDAH ALQUHTANI DPHREPAULEZZ (CRD# 2689925)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/11/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	11/13/2001
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	06/29/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **23** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CETERA ADVISOR NETWORKS LLC	13572	ALBUQUERQUE, NM	11/26/2007 - 06/29/2023
B	COLUMBIA FINANCIAL CENTER INCORPORATED	23562	PORTLAND, OR	10/12/1999 - 11/04/1999
B	T. ROWE PRICE INVESTMENT SERVICES, INC.	8348	BALTIMORE, MD	02/01/1996 - 12/04/1997

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No
















Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **23** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**
Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245
Firm ID#: 13572

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	11/13/2001
	FINRA	Invest. Co and Variable Contracts	Approved	11/13/2001
	Alabama	Agent	Approved	03/24/2023
	Arizona	Agent	Approved	08/26/2019
	Arkansas	Agent	Approved	07/21/2008
	California	Agent	Approved	07/17/2008
	Colorado	Agent	Approved	01/13/2011
	District of Columbia	Agent	Approved	08/16/2021
	Florida	Agent	Approved	03/21/2019
	Louisiana	Agent	Approved	03/20/2025
	Maryland	Agent	Approved	01/05/2024
	Massachusetts	Agent	Approved	01/06/2015
	Michigan	Agent	Approved	02/17/2021



Qualifications

	Regulator	Registration	Status	Date
B	Minnesota	Agent	Approved	08/05/2022
B	Mississippi	Agent	Approved	10/22/2021
B	Missouri	Agent	Approved	01/07/2025
B	Nevada	Agent	Approved	11/22/2021
B	New Mexico	Agent	Approved	11/13/2001
B	Oregon	Agent	Approved	01/16/2014
B	Pennsylvania	Agent	Approved	05/29/2019
B	South Carolina	Agent	Approved	02/14/2023
B	Texas	Agent	Approved	04/07/2010
B	Utah	Agent	Approved	09/20/2019
B	Virginia	Agent	Approved	02/13/2017
B	Washington	Agent	Approved	08/12/2019

Branch Office Locations

CETERA ADVISOR NETWORKS LLC

7770 JEFFERSON ST NE
SUITE 405
ALBUQUERQUE, NM 87109

CETERA ADVISOR NETWORKS LLC

2201 SAN PEDRO DR NE BLDG 25
ALBUQUERQUE, NM 87110

CETERA ADVISOR NETWORKS LLC

54 E OAKLAND AVE
DOYLESTOWN, PA 18901

CETERA ADVISOR NETWORKS LLC

Doylestown, PA

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**

Main Address: 1450 AMERICAN LANE
6TH FLOOR, SUITE 650
SCHAUMBURG, IL 60173-2096

Firm ID#: 105644



Qualifications

Regulator	Registration	Status	Date
IA New Mexico	Investment Adviser Representative	Approved	06/29/2023
IA Pennsylvania	Investment Adviser Representative	Approved	06/29/2023
IA Texas	Investment Adviser Representative	Approved	06/29/2023

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC
7770 JEFFERSON ST NE
SUITE 405
ALBUQUERQUE, NM 87109

CETERA INVESTMENT ADVISERS LLC
2201 SAN PEDRO DR NE BLDG 25
ALBUQUERQUE, NM 87110

CETERA INVESTMENT ADVISERS LLC
Doylestown, PA

CETERA INVESTMENT ADVISERS LLC
54 E OAKLAND AVE
DOYLESTOWN, PA 18901



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
------	----------	------

No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	10/21/1996
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/31/1996

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	11/12/2007
B Uniform Securities Agent State Law Examination (S63)	Series 63	02/20/1996



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/26/2007 - 06/29/2023	CETERA ADVISOR NETWORKS LLC	CRD# 13572	ALBUQUERQUE, NM
B	10/12/1999 - 11/04/1999	COLUMBIA FINANCIAL CENTER INCORPORATED	CRD# 23562	PORTLAND, OR
B	02/01/1996 - 12/04/1997	T. ROWE PRICE INVESTMENT SERVICES, INC.	CRD# 8348	BALTIMORE, MD

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2023 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
01/2013 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) NAME OF OTHER BUSINESS: FIXED INSURANCE WITH VARIOUS COMPANIES;

INVESTMENT RELATED: YES;

ADDRESS: SAME AS REGISTERED LOCATION;

NATURE OF BUSINESS: FIXED INSURANCE;

START DATE: 9/2022

APX NUMBER OF HOURS PER WEEK: 1;

APX NUMBER OF HOURS DURING TRADING HOURS: 1;

POSITION/TITLE/RELATIONSHIP: INSURANCE AGENT

BRIEF DESCRIPTION OF DUTIES: SELLS LIFE, DISABILITY AND LONG-TERM CARE;

2) NAME OF OTHER BUSINESS: ALTUS WEALTH MANAGEMENT

INVESTMENT RELATED: YES

ADDRESS: COLUMBIA, SAME AS REGISTERED LOCATION

NATURE OF BUSINESS: FINANCIAL SERVICES & FINANCIAL COACHING FOR WIDOWS & DIVORCEES

START DATE: 3/2017

APX NUMBER OF HOURS PER WEEK: 40

APX NUMBER OF HOURS DURING TRADING HOURS: 32.5

POSITION/TITLE/RELATIONSHIP: FINANCIAL ADVISOR & COUNSELOR

BRIEF DESCRIPTION OF DUTIES: DBA FOR ADVISING & COUNSELING



Registration & Employment History



OTHER BUSINESS ACTIVITIES

3) NAME OF OTHER BUSINESS: N/A ;
INVESTMENT RELATED: NO ;
ADDRESS: 419 12TH ST NW, ALBUQUERQUE NM 87102 ;
NATURE OF BUSINESS: RENTAL PROPERTY ;
START DATE: 01/2011 ;
POSITION/TITLE/RELATIONSHIP: OWNER / LANDLORD ;
APX NUMBER OF HOURS PER WEEK: 1 ;
APX NUMBER OF HOURS DURING TRADING HOURS: 1 ;
BRIEF DESCRIPTION OF DUTIES: OWNER OF RENTAL HOME ;

4) NAME OF OTHER BUSINESS: 920 LOMAS NW LLC;
INVESTMENT RELATED: NO;
ADDRESS: 920 LOMAS BLVD NW ALBUQUERQUE, MN 87102;
NATURE OF BUSINESS: REAL ESTATE;
START DATE: 03/2022;
POSITION/TITLE/RELATIONSHIP: OWNER;
APX NUMBER OF HOURS PER WEEK:5 ;
APX NUMBER OF HOURS DURING TRADING HOURS: 5 ;
BRIEF DESCRIPTION OF DUTIES: OFFICE BUILDING TO HOUSE FUTURE OFFICE SPACE;



End of Report

This page is intentionally left blank.