



IAPD Report

TRELLANEE T MOORE

CRD# 2700947

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

TRELLANEE T MOORE (CRD# 2700947)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/23/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	01/11/2021
IA	LPL FINANCIAL LLC	CRD# 6413	01/11/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **7** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SAGEPOINT FINANCIAL, INC.	133763	FORT LAUDERDALE, FL	09/05/2019 - 12/21/2020
B	SAGEPOINT FINANCIAL, INC.	133763	FORT LAUDERDALE, FL	09/04/2019 - 12/21/2020
IA	AXA ADVISORS, LLC	6627	FT. LAUDERDALE, FL	02/25/2015 - 03/13/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 7 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	01/11/2021
B	FINRA	General Securities Representative	Approved	01/11/2021
B	California	Agent	Approved	01/11/2021
B	Florida	Agent	Approved	01/11/2021
IA	Florida	Investment Adviser Representative	Approved	01/12/2021
B	Georgia	Agent	Approved	02/17/2021
B	Maine	Agent	Approved	03/20/2023
B	New York	Agent	Approved	05/12/2021
B	Tennessee	Agent	Approved	08/02/2024
B	Texas	Agent	Approved	01/11/2021
IA	Texas	Investment Adviser Representative	Restricted Approval	01/11/2021

Branch Office Locations

LPL FINANCIAL LLC
3616 SW 34TH ST
GAINESVILLE, FL 32068



Qualifications

LPL FINANCIAL LLC
76 S LAURA ST
JACKSONVILLE, FL 32202

LPL FINANCIAL LLC
5857 SEVEN MILE DR
WILDWOOD, FL 34785

LPL FINANCIAL LLC
2810 NW 43RD ST
GAINESVILLE, FL 32606

LPL FINANCIAL LLC
3340 WEDGEWOOD LN
THE VILLAGES, FL 32162

LPL FINANCIAL LLC
16201 NW US HIGHWAY 441
ALACHUA, FL 32615

LPL FINANCIAL LLC
1450 SOUTH WALNUT STREET
STARKE, FL 32091

LPL FINANCIAL LLC
4614 SW COLLEGE RD
OCALA, FL 34474



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Principal Examination (S24)	Series 24	05/11/2017

General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	10/07/2011
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/22/1996

State Securities Law Exams

Exam	Category	Date
Uniform Combined State Law Examination (S66)	Series 66	11/04/2011

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/05/2019 - 12/21/2020	SAGEPOINT FINANCIAL, INC.	CRD# 133763	FORT LAUDERDALE, FL
B	09/04/2019 - 12/21/2020	SAGEPOINT FINANCIAL, INC.	CRD# 133763	FORT LAUDERDALE, FL
IA	02/25/2015 - 03/13/2019	AXA ADVISORS, LLC	CRD# 6627	FT. LAUDERDALE, FL
B	01/22/2015 - 03/13/2019	AXA ADVISORS, LLC	CRD# 6627	FT. LAUDERDALE, FL
B	07/18/2013 - 02/05/2015	AMERITAS INVESTMENT CORP.	CRD# 14869	COOPER CITY, FL
IA	12/16/2011 - 03/05/2013	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	FORT LAUDERDALE, FL
B	10/10/2011 - 03/05/2013	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	FORT LAUDERDALE, FL
B	01/05/2000 - 09/20/2000	SEQUOIA INVESTMENTS, INC.	CRD# 39341	ROSWELL, GA
B	11/15/1996 - 12/17/1999	RAYMOND JAMES & ASSOCIATES, INC.	CRD# 705	ST. PETERSBURG, FL
B	01/25/1996 - 10/25/1996	FRANKLIN/TEMPLETON DISTRIBUTORS, INC.	CRD# 332	SAN MATEO, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2021 - Present	LPL Financial LLC	Registered Representative	Y	Gainesville, FL, United States
01/2021 - Present	VYSTAR CREDIT UNION	FINANCIAL INSTITUTION DUTIES	Y	GAINSVILLE, FL, United States
09/2019 - 12/2020	SAGEPOINT FINANCIAL, INC.	REGISTERED REPRESENTATIVE	Y	FORT LAUDERDALE, FL, United States
04/2019 - 08/2019	Unemployed	n/a	N	Fort Lauderdale, FL, United States
03/2015 - 03/2019	AXA ADVISORS, LLC	REGISTERED REPRESENTATIVE	Y	NEW YORK, NY, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1)01/11/2021 - Vystar Investment Services - DBA for LPL Business (entity for LPL business) - Inv Rel - At Reported Business Location(s) - Start: 01/2021

2)01/11/2021 - MAG LEGACY WEALTH MANAGEMENT - Non-Variable Insurance - Inv Rel - At Reported Business Location(s) - Start: 04/2019 - 80 Hr/Mth



End of Report

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