



IAPD Report

ILONKA NOBLES

CRD# 2704881

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ILONKA NOBLES (CRD# 2704881)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/05/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	NOBLES & RICHARDS, INC.	CRD# 146870	08/13/2008
IA	NOBLES & RICHARDS ADVISORS, LLC	CRD# 281539	03/26/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **25** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	TEXLARK SECURITIES, LLC	132226	PLANO, TX	09/07/2006 - 01/04/2011
B	COUCH FINANCIAL SERVICES, INC.	130559	ALLEN, TX	07/26/2004 - 10/01/2007
B	CHESTNUT ENERGY PARTNERS, INC.	127228	DALLAS, TX	12/14/2004 - 07/30/2007

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 25 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **NOBLES & RICHARDS ADVISORS, LLC**

Main Address: 801 E. PLANO PKWY
SUITE 220
PLANO, TX 75074

Firm ID#: 281539

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	10/06/2025
IA New Jersey	Investment Adviser Representative	Approved	11/05/2025
IA New York	Investment Adviser Representative	Approved	04/09/2025
IA Texas	Investment Adviser Representative	Approved	03/26/2025

Branch Office Locations

NOBLES & RICHARDS ADVISORS, LLC

801 E. PLANO PKWY
SUITE 220
PLANO, TX 75074

Employment 2 of 2

Firm Name: **NOBLES & RICHARDS, INC.**

Main Address: 801 EAST PLANO PARKWAY
SUITE 220
PLANO, TX 75074

Firm ID#: 146870

Regulator	Registration	Status	Date
B FINRA	Direct Participation Programs	Approved	08/13/2008
B FINRA	Direct Participation Programs Principal	Approved	08/13/2008



Qualifications

Regulator	Registration	Status	Date
B FINRA	Operations Professional	Approved	10/16/2012
B FINRA	General Securities Representative	Approved	11/19/2014
B FINRA	Invest. Co and Variable Contracts	Approved	01/06/2023
B FINRA	Investment Co./Variable Contracts Prin	Approved	01/23/2023
B FINRA	Limited Representative-Prvt Scrts Ofrngs	Approved	01/10/2024
B FINRA	General Securities Principal	Approved	01/19/2024
B FINRA	Private Securities Offerings Principal	Approved	01/19/2024
B FINRA	Investment Banking Principal	Approved	10/02/2024
B FINRA	Investment Banking Representative	Approved	10/02/2024
B Arkansas	Agent	Approved	03/04/2009
B California	Agent	Approved	01/02/2025
B Colorado	Agent	Approved	11/24/2010
B Connecticut	Agent	Approved	03/24/2017
B District of Columbia	Agent	Approved	11/04/2010
B Florida	Agent	Approved	04/28/2009
B Georgia	Agent	Approved	08/20/2015
B Illinois	Agent	Approved	02/09/2009
B Indiana	Agent	Approved	04/23/2009
B Iowa	Agent	Approved	11/24/2010



Qualifications

Regulator	Registration	Status	Date
B Maine	Agent	Approved	03/28/2017
B Maryland	Agent	Approved	01/16/2025
B Massachusetts	Agent	Approved	02/15/2023
B Missouri	Agent	Approved	05/14/2009
B Nebraska	Agent	Approved	11/02/2020
B Nevada	Agent	Approved	03/10/2009
B New Hampshire	Agent	Approved	06/03/2015
B New Mexico	Agent	Approved	02/10/2009
B Ohio	Agent	Approved	02/03/2009
B Oregon	Agent	Approved	11/15/2010
B South Dakota	Agent	Approved	03/01/2017
B Texas	Agent	Approved	05/23/2008
B Vermont	Agent	Approved	03/15/2017

Branch Office Locations

801 EAST PLANO PARKWAY
SUITE 220
PLANO, TX 75074

Fairview, TX

801 EAST PLANO PARKWAY
SUITE 220
PLANO, TX 75074



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 7 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	01/19/2024
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	01/23/2023
 Direct Participation Programs Principal Examination (S39)	Series 39	01/12/1998

General Industry/Product Exams

Exam	Category	Date
 Investment Banking Registered Representative Examination (S79TO)	Series 79TO	10/02/2024
 Limited Representative-Private Securities Offerings (S82TO)	Series 82TO	01/10/2024
 Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	01/06/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	11/19/2014
 Operations Professional Examination (S99)	Series 99	10/15/2012
 Direct Participation Programs Representative Examination (S22)	Series 22	01/23/1996

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	03/25/2025



Qualifications

PASSED INDUSTRY EXAMS

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination (S63)	Series 63	01/25/1996

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
	09/07/2006 - 01/04/2011	TEXLARK SECURITIES, LLC	CRD# 132226	PLANO, TX
	07/26/2004 - 10/01/2007	COUCH FINANCIAL SERVICES, INC.	CRD# 130559	ALLEN, TX
	12/14/2004 - 07/30/2007	CHESTNUT ENERGY PARTNERS, INC.	CRD# 127228	DALLAS, TX
	12/12/2002 - 05/22/2003	KDN SECURITIES, INC.	CRD# 102960	DALLAS, TX
	01/24/1996 - 12/12/2001	WESTERN AMERICAN SECURITIES CORPORATION	CRD# 31951	RICHARDSON, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2016 - Present	Nobles & Richards Advisors, LLC	CEO/COO	Y	Plano, TX, United States
08/2008 - Present	NOBLES & RICHARDS, INC.	PRESIDENT/CCO	Y	Plano, TX, United States
01/2002 - Present	Telemarketing Law Compliance, LLC aka TLC	Self Employed CONSULTANT	N	Plano, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. TLC/NOT INVESTMENT RELATED/801 E. PLANO PKWY, PLANO 75074/CONSULTANT/OWNER/JAN 2002/20HRS-MO
2. NOBLES & RICHARDS ADVISORS, LLC, INV REL, PLANO, TX, CO-FOUNDER/CO-OWNER, STARTED 03/15, 0 HRS
3. Licensed as an Insurance agent through Nobles & Richards, Inc.
4. Funding Choice, LLC, Inv rel, CF Portal, Manager, Inactive



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	NOBLES & RICHARDS
Allegations:	CUSTOMER'S LAWSUIT ALLEGES MISREPRESENTATIONS
Product Type:	Direct Investment-DPP & LP Interests Oil & Gas
Alleged Damages:	\$450,000.00
Alleged Damages Amount Explanation (if amount not exact):	CUSTOMER INVESTED \$450,000 BUT LAWSUIT SEEKS "MORE THAN \$1,000,000"

Civil Litigation Information

Type of Court:	DISTRICT COURT
Name of Court:	DISTRICT COURT OF COLLIN COUNTY, TEXAS
Location of Court:	COLLIN COUNTY, TEXAS
Docket/Case #:	401-00196-2015
Date Notice/Process Served:	01/20/2015
Litigation Pending?	No
Disposition:	Other: THE MATTER WAS SETTLED BETWEEN THE CUSTOMER AND THE UNAFFILIATED ISSUER.
Disposition Date:	08/07/2015



Monetary Compensation Amount:	\$340,000.00
Individual Contribution Amount:	\$0.00
Type of Court:	DISTRICT COURT
Name of Court:	DISTRICT COURT OF COLLIN COUNTY, TEXAS
Location of Court:	COLLIN COUNTY, TEXAS
Docket/Case #:	401-00196-2015
Broker Statement	THE MATTER WAS SETTLED BETWEEN THE CUSTOMER AND THE UNAFFILIATED ISSUER.

Disclosure 2 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	COUCH FINANCIAL SERVICES
Allegations:	SEPT 05, [CUSTOMER] CONTACTED THE ISSUER AND REPRESENTED HIMSELF AS AN INDUSTRY PLAYER/ISSUER WITH HIS BROTHER INTERESTED IN TAKING AN INDUSTRY POSITION IN AN OIL WELL. HE'S ALLEGING MISREPRESENTATION AND UNSUITABLE RECOMMENDATIONS.
Product Type:	Direct Investment(s) - DPP & LP Interest(s)
Alleged Damages:	\$27,745.67

Customer Complaint Information

Date Complaint Received:	06/11/2006
Complaint Pending?	No
Status:	Denied
Status Date:	07/10/2006
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	JUNE 2006 [CUSTOMER] MADE FALSE ACCUSATIONS TO ME AS THE CO AGAINST HIS RR TO GET HIS MONEY BACK AFTER A DRY HOLE. AFTER INVESTIGATING HIS ALLEGATIONS I DENIED HIS CLAIMS IN JULY 2006. HE RESUBMITTED HIS COMPLAINT TO INCLUDE ME & SENT COPIES TO THE NASD & SEC JANUARY 2007. NEITHER REGULATOR FOUND ANY WRONG DOING ON OUR PART.



End of Report

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