



## IAPD Report

# JEFFREY DALE REETER

CRD# 2707520

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### JEFFREY DALE REETER (CRD# 2707520)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/23/2021**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	CRD# 2881	02/12/1996
<b>IA</b>	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	CRD# 2881	01/01/2002

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **51** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>B</b>	ROBERT W. BAIRD & CO. INCORPORATED	8158	MILWAUKEE, WI	04/30/1996 - 01/01/2002

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **51** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **NORTHWESTERN MUTUAL INVESTMENT SERVICES,LLC**  
Main Address: 720 EAST WISCONSIN AVENUE  
MILWAUKEE, WI 53202-4797  
Firm ID#: 2881

Regulator	Registration	Status	Date
<b>B</b> FINRA	Invest. Co and Variable Contracts	Approved	02/12/1996
<b>B</b> FINRA	General Securities Representative	Approved	11/12/2001
<b>B</b> FINRA	General Securities Sales Supervisor	Approved	11/12/2001
<b>B</b> FINRA	Operations Professional	Approved	12/14/2011
<b>B</b> Alabama	Agent	Approved	01/05/2004
<b>B</b> Alaska	Agent	Approved	01/05/2004
<b>B</b> Arizona	Agent	Approved	01/05/2004
<b>B</b> Arkansas	Agent	Approved	01/05/2004
<b>B</b> California	Agent	Approved	01/05/2004
<b>B</b> Colorado	Agent	Approved	01/05/2004
<b>B</b> Connecticut	Agent	Approved	01/05/2004
<b>B</b> Delaware	Agent	Approved	01/05/2004
<b>B</b> District of Columbia	Agent	Approved	01/05/2004



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Florida	Agent	Approved	01/05/2004
<b>B</b> Georgia	Agent	Approved	01/05/2004
<b>B</b> Hawaii	Agent	Approved	01/05/2004
<b>B</b> Idaho	Agent	Approved	01/05/2004
<b>B</b> Illinois	Agent	Approved	01/01/2002
<b>B</b> Indiana	Agent	Approved	01/01/2002
<b>B</b> Iowa	Agent	Approved	01/05/2004
<b>B</b> Kansas	Agent	Approved	01/05/2004
<b>B</b> Kentucky	Agent	Approved	01/05/2004
<b>B</b> Louisiana	Agent	Approved	01/07/2004
<b>B</b> Maine	Agent	Approved	01/05/2004
<b>B</b> Maryland	Agent	Approved	01/05/2004
<b>B</b> Massachusetts	Agent	Approved	01/05/2004
<b>B</b> Michigan	Agent	Approved	01/05/2004
<b>B</b> Minnesota	Agent	Approved	01/05/2004
<b>B</b> Mississippi	Agent	Approved	01/05/2004
<b>B</b> Missouri	Agent	Approved	01/01/2002
<b>B</b> Montana	Agent	Approved	01/05/2004
<b>B</b> Nebraska	Agent	Approved	01/05/2004



## Qualifications

Regulator	Registration	Status	Date
<b>B</b> Nevada	Agent	Approved	01/01/2002
<b>B</b> New Hampshire	Agent	Approved	01/05/2004
<b>B</b> New Jersey	Agent	Approved	06/26/2003
<b>B</b> New Mexico	Agent	Approved	01/05/2004
<b>B</b> New York	Agent	Approved	01/05/2004
<b>B</b> North Carolina	Agent	Approved	01/05/2004
<b>B</b> North Dakota	Agent	Approved	01/05/2004
<b>B</b> Ohio	Agent	Approved	01/05/2004
<b>B</b> Oklahoma	Agent	Approved	01/01/2002
<b>B</b> Oregon	Agent	Approved	01/05/2004
<b>B</b> Pennsylvania	Agent	Approved	01/05/2004
<b>B</b> Rhode Island	Agent	Approved	01/05/2004
<b>B</b> South Carolina	Agent	Approved	01/05/2004
<b>B</b> South Dakota	Agent	Approved	01/05/2004
<b>B</b> Tennessee	Agent	Approved	01/05/2004
<b>B</b> Texas	Agent	Approved	01/01/2002
<b>IA</b> Texas	Investment Adviser Representative	Approved	01/01/2002
<b>B</b> Utah	Agent	Approved	01/05/2004
<b>B</b> Vermont	Agent	Approved	01/05/2004



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Virginia	Agent	Approved	01/05/2004
<b>B</b> Washington	Agent	Approved	07/12/1999
<b>B</b> West Virginia	Agent	Approved	01/05/2004
<b>B</b> Wisconsin	Agent	Approved	01/05/2004
<b>B</b> Wyoming	Agent	Approved	01/05/2004

### Branch Office Locations

**NORTHWESTERN MUTUAL INVESTMENT SERVICES,LLC**  
1 RIVERWAY STE 900  
HOUSTON, TX 77056






## Qualifications

### PASSED INDUSTRY EXAMS





This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 3 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.**



#### Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
 General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	06/25/1996

#### General Industry/Product Exams

Exam	Category	Date
 Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	06/18/1996
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	02/07/1996

#### State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	08/28/1999
 Uniform Securities Agent State Law Examination (S63)	Series 63	03/25/1996



## PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	04/30/1996 - 01/01/2002	ROBERT W. BAIRD & CO. INCORPORATED	CRD# 8158	MILWAUKEE, WI

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2016 - Present	NORTHWESTERN MUTUAL WEALTH MANAGEMENT COMPANY	REPRESENTATIVE	Y	Milwaukee, WI, United States
02/1996 - Present	THE REETER AGENCY - NORTHWESTERN MUTUAL	OTHER - GENERAL AGENT	N	FORT WORTH, TX, United States
01/1996 - Present	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	NOT PROVIDED	Y	MILWAUKEE, WI, United States
09/1984 - Present	NORTHWESTERN MUTUAL LIFE	OTHER - FIELD , DIRECTOR,ASST GENERAL	N	DALLAS, TX, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) MATTHEW 5:16 LTD., INVESTMENT-RELATED, LAND DEVELOPMENT
- 2) TRINITY LOFTS, LTD., INVESTMENT-RELATED, DALLAS, TX, REAL ESTATE, LIMITED PARTNER, APRIL 11, 2005, PASSIVE INVESTMENT, PROVIDE INSIGHT AND COUNSEL
- 3) Abres III, INVESTMENT-RELATED, FORT WORTH, TX, REAL ESTATE, LIMITED PARTNER, JANUARY 1, 2006, PASSIVE INVESTMENT, TWO HOURS PER MONTH, PROVIDE INSIGHT AND COUNSEL
- 4) VALUE PLACE DEVELOPMENT, INVESTMENT-RELATED, NASHVILLE, TN, CLARKSVILLE, TN, MIDLAND, TX AND SAN ANTONIO, TX, REAL ESTATE, DECEMBER 1, 2006, PROVIDE COUNSEL
- 5) THE TEXAS FINANCIAL GROUP GP, LLC, INVESTMENT-RELATED, OWNER.
- 6) THE TEXAS FINANCIAL GROUP LP, INVESTMENT RELATED, OWNER.
- 7) TEAM REETER VENTURES, LLC, INVESTMENT-RELATED, BURTON, TX, 50% OWNER, TEAM TRAINING, OCTOBER 1, 2006, 10 HOURS PER MONTH, LEAD TRAINING CAMP FOR NEW FINANCIAL REPRESENTATIVES, OVERSEE CATTLE RANCHING OPERATIONS HANDLED BY RANCH FOREMAN
- 8) BE STILL; NOT INVESTMENT-RELATED; HOUSTON, TX, 50% OWNER, LESS THAN ONE HOUR PER MONTH, DEVELOPMENT OF SOFTWARE FOR TRACKING DAILY SPIRITUAL FOCUS



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

- 9) OTHER NON-FINANCIAL SERVICE BUSINESS, NON-FINANCIAL SERVICES BUSINESS, START DATE: 01/06/2020, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, WRITING A BOOK. PUBLISHING A BOOK
- 10) 80% OWNER RENTAL PROPERTY; 84 RIVER RIDGE DR, WACO, TX 76705; INVESTMENT-RELATED; START DATE 7/30/15; 5 HOURS PER MONTH DURING NON-TRADING HOURS; LANDLORD DUTIES.
- 11) 100% OWNER, 59 BORDERS ROAD, 59 BORDERS ROAD, AVON, , COLORADO, 81620, UNITED STATES OF AMERICA, INVESTMENT PROPERTY, START DATE: 10/26/2018, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, OWNER OF PROPERTY
- 12) 100% OWNER, WELLSRING, LLC, 6301 BREDTHAUER RD, BURTON, , TEXAS, 77835, UNITED STATES OF AMERICA, NON-FINANCIAL SERVICES BUSINESS, START DATE: 3/1/2019, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, OWNER
- 13) <1% OWNER, 211 W FRANKLIN STREET, 211 W FRANKLIN STREET, RICHMOND, , VIRGINIA, 23220, UNITED STATES OF AMERICA, INVESTMENT PROPERTY, START DATE: 2/7/2019, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, NONE
- 14) 7.5% OWNER, 5949 SHERRY LANE, SUITE 1225, 5949 SHERRY LANE, SUITE 1225, DALLAS, , TEXAS, 75225, UNITED STATES OF AMERICA, INVESTMENT PROPERTY, START DATE: 12/1/2006, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, NONE
- 15) VICE CHAIR, ZIKLAG, 7 WILLIAMSBURG LANE, HOUSTON, , TEXAS, 77024, UNITED STATES OF AMERICA, NON-PROFIT, START DATE: 02/23/2021, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, VICE CHAIR



## End of Report

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