



IAPD Report

Jason M Eskenazi

CRD# 2731181

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Jason M Eskenazi (CRD# 2731181)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/05/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	06/08/2023
IA	EDWARD JONES	CRD# 250	06/08/2023

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **51** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	MORGAN STANLEY	149777	New York, NY	03/18/2022 - 04/04/2023
IA	MORGAN STANLEY	149777	New York, NY	03/18/2022 - 04/04/2023
B	PARK AVENUE SECURITIES LLC	46173	NEW YORK, NY	05/18/2001 - 01/04/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **51** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**
Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131
Firm ID#: 250

	Regulator	Registration	Status	Date
B	FINRA	Financial and Operations Principal	Approved	06/08/2023
B	FINRA	General Securities Principal	Approved	06/08/2023
B	FINRA	General Securities Representative	Approved	06/08/2023
B	FINRA	Municipal Securities Principal	Approved	06/08/2023
B	FINRA	Municipal Securities Representative	Approved	06/08/2023
B	FINRA	Operations Professional	Approved	06/08/2023
B	FINRA	Registered Options Principal	Approved	06/08/2023
B	NYSE American LLC	Financial and Operations Principal	Approved	06/08/2023
B	NYSE American LLC	General Securities Principal	Approved	06/08/2023
B	NYSE American LLC	General Securities Representative	Approved	06/08/2023
B	NYSE American LLC	Municipal Securities Principal	Approved	06/08/2023
B	NYSE American LLC	Municipal Securities Representative	Approved	06/08/2023
B	NYSE American LLC	Registered Options Principal	Approved	06/08/2023



Qualifications

Regulator	Registration	Status	Date
B Nasdaq Stock Market	Financial and Operations Principal	Approved	06/08/2023
B Nasdaq Stock Market	General Securities Principal	Approved	06/08/2023
B Nasdaq Stock Market	General Securities Representative	Approved	06/08/2023
B Nasdaq Stock Market	Registered Options Principal	Approved	06/08/2023
B New York Stock Exchange	Financial and Operations Principal	Approved	06/08/2023
B New York Stock Exchange	General Securities Principal	Approved	06/08/2023
B New York Stock Exchange	General Securities Representative	Approved	06/08/2023
B New York Stock Exchange	Municipal Securities Principal	Approved	06/08/2023
B New York Stock Exchange	Municipal Securities Representative	Approved	06/08/2023
B Alabama	Agent	Approved	08/10/2023
B Alaska	Agent	Approved	06/19/2023
B Arizona	Agent	Approved	08/09/2023
B Arkansas	Agent	Approved	08/11/2023
B California	Agent	Approved	06/14/2023
B Colorado	Agent	Approved	09/06/2023
B Connecticut	Agent	Approved	06/14/2023
B Delaware	Agent	Approved	06/14/2023
B District of Columbia	Agent	Approved	08/14/2023
B Florida	Agent	Approved	06/14/2023



Qualifications

Regulator	Registration	Status	Date
B Georgia	Agent	Approved	06/14/2023
B Hawaii	Agent	Approved	11/08/2023
B Idaho	Agent	Approved	06/13/2023
B Illinois	Agent	Approved	11/07/2023
B Indiana	Agent	Approved	10/05/2023
B Iowa	Agent	Approved	06/15/2023
B Kansas	Agent	Approved	08/10/2023
B Kentucky	Agent	Approved	07/03/2023
B Louisiana	Agent	Approved	09/20/2023
B Maine	Agent	Approved	06/15/2023
B Maryland	Agent	Approved	09/05/2023
B Massachusetts	Agent	Approved	06/13/2023
B Michigan	Agent	Approved	08/29/2023
B Minnesota	Agent	Approved	08/10/2023
B Mississippi	Agent	Approved	08/10/2023
B Missouri	Agent	Approved	06/14/2023
B Montana	Agent	Approved	09/20/2023
B Nebraska	Agent	Approved	06/13/2023
B Nevada	Agent	Approved	08/15/2023



Qualifications

	Regulator	Registration	Status	Date
B	New Hampshire	Agent	Approved	08/14/2023
B	New Jersey	Agent	Approved	08/10/2023
B	New Mexico	Agent	Approved	06/13/2023
IA	New York	Investment Adviser Representative	Approved	06/08/2023
B	New York	Agent	Approved	08/09/2023
B	North Carolina	Agent	Approved	06/14/2023
B	North Dakota	Agent	Approved	12/06/2023
B	Ohio	Agent	Approved	06/13/2023
B	Oklahoma	Agent	Approved	08/10/2023
B	Oregon	Agent	Approved	06/14/2023
B	Pennsylvania	Agent	Approved	08/16/2023
B	Rhode Island	Agent	Approved	06/21/2023
B	South Carolina	Agent	Approved	07/17/2023
B	South Dakota	Agent	Approved	08/10/2023
B	Tennessee	Agent	Approved	08/15/2023
B	Texas	Agent	Approved	06/14/2023
IA	Texas	Investment Adviser Representative	Restricted Approval	06/15/2023
B	Utah	Agent	Approved	06/14/2023



Qualifications

Regulator	Registration	Status	Date
B Vermont	Agent	Approved	08/10/2023
B Virginia	Agent	Approved	08/10/2023
B Washington	Agent	Approved	09/06/2023
B West Virginia	Agent	Approved	08/10/2023
B Wisconsin	Agent	Approved	06/13/2023
B Wyoming	Agent	Approved	09/20/2023

Branch Office Locations

EDWARD JONES
STATEN ISLAND, NY







Qualifications

PASSED INDUSTRY EXAMS






This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

	Exam	Category	Date
	Registered Options Principal Examination (S4)	Series 4	03/21/2011
	Municipal Securities Principal Examination (S53)	Series 53	01/10/2011
	Financial and Operations Principal Examination (S27)	Series 27	10/25/2010
	General Securities Principal Examination (S24)	Series 24	07/24/2000

General Industry/Product Exams

	Exam	Category	Date
	Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
	Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	Futures Managed Funds Examination (S31)	Series 31	03/13/1997
	General Securities Representative Examination (S7)	Series 7	12/13/1996

State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	03/21/2003
	Uniform Securities Agent State Law Examination (S63)	Series 63	12/24/1996



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/18/2022 - 04/04/2023	MORGAN STANLEY	CRD# 149777	New York, NY
IA	03/18/2022 - 04/04/2023	MORGAN STANLEY	CRD# 149777	New York, NY
B	05/18/2001 - 01/04/2022	PARK AVENUE SECURITIES LLC	CRD# 46173	NEW YORK, NY
IA	03/31/2003 - 12/31/2021	PARK AVENUE SECURITIES LLC	CRD# 46173	NEW YORK, NY
B	10/14/1997 - 05/18/2001	CSFBDIRECT, INC.	CRD# 42159	JERSEY CITY, NJ
B	02/11/1997 - 09/30/1997	DEAN WITTER REYNOLDS INC.	CRD# 7556	PURCHASE, NY
B	12/16/1996 - 01/22/1997	OPPENHEIMER & CO., INC.	CRD# 630	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2023 - Present	Edward Jones	Wealth Management Specialist	Y	St Louis, MO, United States
02/2022 - 03/2023	Morgan Stanley	Product Manager	Y	New York, NY, United States
05/2001 - 12/2021	GUARDIAN LIFE INS CO OF AMERICA	SUPERVISOR WITH PAS	Y	NEW YORK, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Individual

Regulatory Action Initiated By: LOUISIANA DEPARTMENT OF INSURANCE

Sanction(s) Sought: Civil and Administrative Penalty(ies)/Fine(s)

Date Initiated: 07/25/2018

Docket/Case Number: License # 283579

Employing firm when activity occurred which led to the regulatory action: PARK AVENUE SECURITIES, LLC

Product Type: No Product

Allegations: On July 25, 2018, the Louisiana Department of Insurance issued an administrative action against the Firm and the RR, as the licensed designated responsible producer (LDRP), for their failure to timely report an administrative action against the Firm dated April 11, 2018, in violation of La. R.S 22:1563.

Current Status: Final

Resolution: Notice of Fine

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 07/25/2018

Sanctions Ordered: Civil and Administrative Penalty(ies)/Fine(s)



Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$250.00

Portion Levied against individual: \$0.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 08/03/2018

Was any portion of penalty waived? No

Amount Waived:

Broker Statement

The Firm entered into an AWC with FINRA on April 11, 2018 and was required to report this matter to the commissioner of insurance within 30 days of the final disposition of the matter. The reporting would be facilitated through the Firm's licensing department on behalf of the RR, who is the licensed designated responsible producer (LDRP) for the Firm. Due to an administrative oversight, and through no fault of the RR, the Firm did not timely notify the licensing unit and the RR of this administrative action. As a result, the matter was not timely reported to the LA Insurance Department. They issued a fine in the amount of \$250. The Firm paid the fine and did not hold the RR accountable.



End of Report

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