



IAPD Report

ABRAHAM BITTON

CRD# 2739586

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ABRAHAM BITTON (CRD# 2739586)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/04/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CITIGROUP GLOBAL MARKETS INC.	CRD# 7059	03/26/2021
B	CITI PRIVATE ALTERNATIVES, LLC	CRD# 153777	03/26/2021
IA	CITIGROUP GLOBAL MARKETS INC.	CRD# 7059	04/10/2021

QUALIFICATIONS

This representative is currently registered in **27** SRO(s) and **53** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	J.P. MORGAN SECURITIES LLC	79	Brooklyn, NY	03/26/2018 - 10/08/2019
IA	J.P. MORGAN SECURITIES LLC	79	Brooklyn, NY	03/26/2018 - 10/08/2019
IA	MORGAN STANLEY	149777	NEW YORK, NY	06/29/2017 - 02/28/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 53 jurisdiction(s) and 27 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CITIGROUP GLOBAL MARKETS INC.**

Main Address: 388 GREENWICH STREET
NEW YORK, NY 10013

Firm ID#: 7059

Regulator	Registration	Status	Date
B 24X National Exchange LLC	General Securities Principal	Approved	10/20/2025
B 24X National Exchange LLC	General Securities Representative	Approved	10/20/2025
B BOX Exchange LLC	General Securities Principal	Approved	03/26/2021
B BOX Exchange LLC	General Securities Representative	Approved	03/26/2021
B BOX Exchange LLC	General Securities Sales Supervisor	Approved	03/26/2021
B BOX Exchange LLC	Registered Options Principal	Approved	03/26/2021
B Cboe BYX Exchange, Inc.	General Securities Principal	Approved	03/26/2021
B Cboe BYX Exchange, Inc.	General Securities Representative	Approved	03/26/2021
B Cboe BZX Exchange, Inc.	General Securities Principal	Approved	03/26/2021
B Cboe BZX Exchange, Inc.	General Securities Representative	Approved	03/26/2021
B Cboe BZX Exchange, Inc.	Registered Options Principal	Approved	03/26/2021
B Cboe C2 Exchange, Inc.	General Securities Representative	Approved	03/26/2021
B Cboe C2 Exchange, Inc.	General Securities Sales Supervisor	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B Cboe C2 Exchange, Inc.	Registered Options Principal	Approved	03/26/2021
B Cboe C2 Exchange, Inc.	General Securities Principal	Approved	02/23/2025
B Cboe EDGA Exchange, Inc.	General Securities Principal	Approved	03/26/2021
B Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	03/26/2021
B Cboe EDGA Exchange, Inc.	General Securities Sales Supervisor	Approved	03/26/2021
B Cboe EDGX Exchange, Inc.	General Securities Principal	Approved	03/26/2021
B Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	03/26/2021
B Cboe EDGX Exchange, Inc.	General Securities Sales Supervisor	Approved	03/26/2021
B Cboe EDGX Exchange, Inc.	Registered Options Principal	Approved	03/26/2021
B Cboe Exchange, Inc.	General Securities Representative	Approved	03/26/2021
B Cboe Exchange, Inc.	General Securities Sales Supervisor	Approved	03/26/2021
B Cboe Exchange, Inc.	Registered Options Principal	Approved	03/26/2021
B Cboe Exchange, Inc.	General Securities Principal	Approved	02/23/2025
B FINRA	General Securities Principal	Approved	03/26/2021
B FINRA	General Securities Representative	Approved	03/26/2021
B FINRA	General Securities Sales Supervisor	Approved	03/26/2021
B FINRA	Investment Banking Representative	Approved	03/26/2021
B FINRA	Municipal Securities Principal	Approved	03/26/2021
B FINRA	Municipal Securities Representative	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B FINRA	Registered Options Principal	Approved	03/26/2021
B FINRA	Investment Banking Principal	Approved	12/04/2025
B Investors' Exchange LLC	General Securities Principal	Approved	03/26/2021
B Investors' Exchange LLC	General Securities Representative	Approved	03/26/2021
B Long-Term Stock Exchange, Inc.	General Securities Principal	Approved	03/26/2021
B Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	03/26/2021
B MEMX LLC	General Securities Principal	Approved	03/26/2021
B MEMX LLC	General Securities Representative	Approved	03/26/2021
B MEMX LLC	General Securities Sales Supervisor	Approved	03/26/2021
B MEMX LLC	Registered Options Principal	Approved	02/23/2025
B MIAX Emerald, LLC	General Securities Principal	Approved	03/26/2021
B MIAX Emerald, LLC	General Securities Representative	Approved	03/26/2021
B MIAX Emerald, LLC	General Securities Sales Supervisor	Approved	03/26/2021
B MIAX Emerald, LLC	Registered Options Principal	Approved	03/26/2021
B MIAX PEARL, LLC	General Securities Principal	Approved	03/26/2021
B MIAX PEARL, LLC	General Securities Representative	Approved	03/26/2021
B MIAX PEARL, LLC	General Securities Sales Supervisor	Approved	03/26/2021
B MIAX PEARL, LLC	Registered Options Principal	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B MIAX Sapphire	General Securities Principal	Approved	09/23/2024
B MIAX Sapphire	General Securities Representative	Approved	09/23/2024
B MIAX Sapphire	General Securities Sales Supervisor	Approved	09/23/2024
B MIAX Sapphire	Registered Options Principal	Approved	09/23/2024
B Miami International Securities Exchange, LLC	General Securities Principal	Approved	03/26/2021
B Miami International Securities Exchange, LLC	General Securities Representative	Approved	03/26/2021
B Miami International Securities Exchange, LLC	General Securities Sales Supervisor	Approved	03/26/2021
B Miami International Securities Exchange, LLC	Registered Options Principal	Approved	03/26/2021
B NYSE American LLC	General Securities Principal	Approved	03/26/2021
B NYSE American LLC	General Securities Representative	Approved	03/26/2021
B NYSE American LLC	General Securities Sales Supervisor	Approved	03/26/2021
B NYSE American LLC	Municipal Securities Principal	Approved	03/26/2021
B NYSE American LLC	Municipal Securities Representative	Approved	03/26/2021
B NYSE American LLC	Registered Options Principal	Approved	03/26/2021
B NYSE Arca, Inc.	General Securities Principal	Approved	03/26/2021
B NYSE Arca, Inc.	General Securities Representative	Approved	03/26/2021
B NYSE Arca, Inc.	General Securities Sales Supervisor	Approved	03/26/2021
B NYSE Arca, Inc.	Registered Options Principal	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B NYSE National, Inc.	General Securities Principal	Approved	03/26/2021
B NYSE National, Inc.	General Securities Representative	Approved	03/26/2021
B NYSE National, Inc.	General Securities Sales Supervisor	Approved	03/26/2021
B NYSE National, Inc.	Municipal Securities Principal	Approved	03/26/2021
B NYSE National, Inc.	Municipal Securities Representative	Approved	03/26/2021
B NYSE Texas, Inc.	General Securities Principal	Approved	03/26/2021
B NYSE Texas, Inc.	General Securities Representative	Approved	03/26/2021
B NYSE Texas, Inc.	General Securities Sales Supervisor	Approved	03/26/2021
B Nasdaq BX, Inc.	General Securities Principal	Approved	03/26/2021
B Nasdaq BX, Inc.	General Securities Representative	Approved	03/26/2021
B Nasdaq BX, Inc.	General Securities Sales Supervisor	Approved	03/26/2021
B Nasdaq BX, Inc.	Registered Options Principal	Approved	03/26/2021
B Nasdaq GEMX, LLC	General Securities Principal	Approved	03/26/2021
B Nasdaq GEMX, LLC	General Securities Representative	Approved	03/26/2021
B Nasdaq GEMX, LLC	General Securities Sales Supervisor	Approved	03/26/2021
B Nasdaq GEMX, LLC	Registered Options Principal	Approved	03/26/2021
B Nasdaq ISE, LLC	General Securities Principal	Approved	03/26/2021
B Nasdaq ISE, LLC	General Securities Representative	Approved	03/26/2021
B Nasdaq ISE, LLC	General Securities Sales Supervisor	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B Nasdaq ISE, LLC	Registered Options Principal	Approved	03/26/2021
B Nasdaq MRX, LLC	General Securities Principal	Approved	03/26/2021
B Nasdaq MRX, LLC	General Securities Representative	Approved	03/26/2021
B Nasdaq MRX, LLC	General Securities Sales Supervisor	Approved	03/26/2021
B Nasdaq MRX, LLC	Registered Options Principal	Approved	03/26/2021
B Nasdaq PHLX LLC	General Securities Principal	Approved	03/26/2021
B Nasdaq PHLX LLC	General Securities Representative	Approved	03/26/2021
B Nasdaq PHLX LLC	General Securities Sales Supervisor	Approved	03/26/2021
B Nasdaq PHLX LLC	Registered Options Principal	Approved	03/26/2021
B Nasdaq Stock Market	General Securities Principal	Approved	03/26/2021
B Nasdaq Stock Market	General Securities Representative	Approved	03/26/2021
B Nasdaq Stock Market	General Securities Sales Supervisor	Approved	03/26/2021
B Nasdaq Stock Market	Registered Options Principal	Approved	03/26/2021
B New York Stock Exchange	General Securities Principal	Approved	03/26/2021
B New York Stock Exchange	General Securities Representative	Approved	03/26/2021
B New York Stock Exchange	General Securities Sales Supervisor	Approved	03/26/2021
B New York Stock Exchange	Municipal Securities Principal	Approved	03/26/2021
B New York Stock Exchange	Municipal Securities Representative	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B Alabama	Agent	Approved	03/26/2021
B Alaska	Agent	Approved	03/26/2021
B Arizona	Agent	Approved	03/26/2021
B Arkansas	Agent	Approved	03/26/2021
B California	Agent	Approved	03/26/2021
B Colorado	Agent	Approved	03/26/2021
B Connecticut	Agent	Approved	03/26/2021
B Delaware	Agent	Approved	03/26/2021
B District of Columbia	Agent	Approved	03/26/2021
B Florida	Agent	Approved	03/26/2021
B Georgia	Agent	Approved	03/26/2021
B Hawaii	Agent	Approved	03/26/2021
B Idaho	Agent	Approved	03/26/2021
B Illinois	Agent	Approved	03/26/2021
B Indiana	Agent	Approved	03/26/2021
B Iowa	Agent	Approved	03/26/2021
B Kansas	Agent	Approved	03/26/2021
B Kentucky	Agent	Approved	03/26/2021
B Louisiana	Agent	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B Maine	Agent	Approved	03/26/2021
B Maryland	Agent	Approved	03/26/2021
B Massachusetts	Agent	Approved	03/26/2021
B Michigan	Agent	Approved	03/26/2021
B Minnesota	Agent	Approved	03/26/2021
B Mississippi	Agent	Approved	03/26/2021
B Missouri	Agent	Approved	03/26/2021
B Montana	Agent	Approved	03/26/2021
B Nebraska	Agent	Approved	03/26/2021
B Nevada	Agent	Approved	03/26/2021
B New Hampshire	Agent	Approved	03/26/2021
B New Jersey	Agent	Approved	03/26/2021
B New Mexico	Agent	Approved	03/26/2021
B New York	Agent	Approved	03/26/2021
IA New York	Investment Adviser Representative	Approved	04/10/2021
B North Carolina	Agent	Approved	03/26/2021
B North Dakota	Agent	Approved	03/26/2021
B Ohio	Agent	Approved	03/26/2021
B Oklahoma	Agent	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B Oregon	Agent	Approved	03/26/2021
B Pennsylvania	Agent	Approved	03/26/2021
B Puerto Rico	Agent	Approved	03/26/2021
B Rhode Island	Agent	Approved	03/26/2021
B South Carolina	Agent	Approved	03/26/2021
B South Dakota	Agent	Approved	03/26/2021
B Tennessee	Agent	Approved	03/26/2021
B Texas	Agent	Approved	03/26/2021
B Utah	Agent	Approved	03/26/2021
B Vermont	Agent	Approved	03/26/2021
B Virgin Islands	Agent	Approved	03/26/2021
B Virginia	Agent	Approved	03/26/2021
B Washington	Agent	Approved	03/26/2021
B West Virginia	Agent	Approved	03/26/2021
B Wisconsin	Agent	Approved	03/26/2021
B Wyoming	Agent	Approved	03/26/2021

Branch Office Locations

CITIGROUP GLOBAL MARKETS INC.
CITI PRIVATE BANK
153 EAST 53RD ST., 24TH, 25TH FLS
NEW YORK, NY 10022

CITIGROUP GLOBAL MARKETS INC.
Glen Cove, NY



Qualifications

Employment 2 of 2

Firm Name: **CITI PRIVATE ALTERNATIVES, LLC**

Main Address: 388 GREENWICH STREET
29TH FLOOR
NEW YORK, NY 10013

Firm ID#: 153777

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	03/26/2021
B FINRA	General Securities Representative	Approved	03/26/2021
B FINRA	General Securities Sales Supervisor	Approved	03/26/2021
B FINRA	Investment Banking Representative	Approved	03/26/2021
B FINRA	Municipal Securities Principal	Approved	03/26/2021
B FINRA	Municipal Securities Representative	Approved	03/26/2021
B FINRA	Registered Options Principal	Approved	03/26/2021
B FINRA	Investment Banking Principal	Approved	12/04/2025
B Alabama	Agent	Approved	03/26/2021
B Alaska	Agent	Approved	04/15/2021
B Arizona	Agent	Approved	03/26/2021
B Arkansas	Agent	Approved	03/26/2021
B California	Agent	Approved	03/26/2021
B Colorado	Agent	Approved	03/26/2021
B Connecticut	Agent	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B Delaware	Agent	Approved	03/26/2021
B District of Columbia	Agent	Approved	03/26/2021
B Florida	Agent	Approved	03/26/2021
B Georgia	Agent	Approved	03/26/2021
B Hawaii	Agent	Approved	03/26/2021
B Idaho	Agent	Approved	03/26/2021
B Illinois	Agent	Approved	03/26/2021
B Indiana	Agent	Approved	05/18/2021
B Iowa	Agent	Approved	03/26/2021
B Kansas	Agent	Approved	03/26/2021
B Kentucky	Agent	Approved	03/26/2021
B Louisiana	Agent	Approved	03/26/2021
B Maine	Agent	Approved	03/26/2021
B Maryland	Agent	Approved	03/26/2021
B Massachusetts	Agent	Approved	04/22/2021
B Michigan	Agent	Approved	03/26/2021
B Minnesota	Agent	Approved	03/26/2021
B Mississippi	Agent	Approved	03/26/2021
B Missouri	Agent	Approved	03/26/2021



Qualifications

Regulator	Registration	Status	Date
B Montana	Agent	Approved	03/26/2021
B Nebraska	Agent	Approved	04/07/2021
B Nevada	Agent	Approved	09/12/2023
B New Hampshire	Agent	Approved	03/26/2021
B New Jersey	Agent	Approved	03/26/2021
B New Mexico	Agent	Approved	03/26/2021
B New York	Agent	Approved	03/26/2021
B North Dakota	Agent	Approved	03/26/2021
B Ohio	Agent	Approved	03/26/2021
B Oklahoma	Agent	Approved	03/26/2021
B Oregon	Agent	Approved	04/15/2021
B Pennsylvania	Agent	Approved	04/15/2021
B Puerto Rico	Agent	Approved	03/26/2021
B Rhode Island	Agent	Approved	03/26/2021
B South Carolina	Agent	Approved	03/26/2021
B South Dakota	Agent	Approved	03/26/2021
B Tennessee	Agent	Approved	03/26/2021
B Texas	Agent	Approved	03/26/2021
B Utah	Agent	Approved	04/15/2021



Qualifications

Regulator	Registration	Status	Date
B Vermont	Agent	Approved	03/26/2021
B Virgin Islands	Agent	Approved	03/26/2021
B Virginia	Agent	Approved	04/21/2021
B Washington	Agent	Approved	03/26/2021
B West Virginia	Agent	Approved	05/20/2021
B Wisconsin	Agent	Approved	03/26/2021
B Wyoming	Agent	Approved	03/26/2021

Branch Office Locations

CITI PRIVATE ADVISORY, LLC

CITI PRIVATE BANK

153 EAST 53RD ST. 24TH, 25TH FLS

New York, NY 10022



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 5 principal/supervisory exams, 6 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
 Municipal Securities Principal Examination (S53)	Series 53	09/13/2010
 Registered Options Principal Examination (S4)	Series 4	12/14/2009
 General Securities Principal Examination (S24)	Series 24	08/28/2007

General Industry/Product Exams

Exam	Category	Date
 Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
 Investment Banking Registered Representative Examination (S79TO)	Series 79TO	01/02/2023
 Futures Managed Funds Examination (S31)	Series 31	04/23/2021
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 National Commodity Futures Examination (S3)	Series 3	04/04/2011
 General Securities Representative Examination (S7)	Series 7	07/19/2007



Qualifications

PASSED INDUSTRY EXAMS

State Securities Law Exams

	Exam	Category	Date
 	Uniform Combined State Law Examination (S66)	Series 66	06/29/2017
	Uniform Securities Agent State Law Examination (S63)	Series 63	03/24/2008

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
	03/26/2018 - 10/08/2019	J.P. MORGAN SECURITIES LLC	CRD# 79	Brooklyn, NY
	03/26/2018 - 10/08/2019	J.P. MORGAN SECURITIES LLC	CRD# 79	Brooklyn, NY
	06/29/2017 - 02/28/2018	MORGAN STANLEY	CRD# 149777	NEW YORK, NY
	04/29/2016 - 02/28/2018	MORGAN STANLEY	CRD# 149777	NEW YORK, NY
	05/28/2009 - 04/26/2016	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	CRD# 18487	HOLBROOK, NY
	07/20/2007 - 11/23/2007	QUEST CAPITAL STRATEGIES, INC.	CRD# 16783	LAGUNA HILLS, CA
	11/12/2001 - 03/27/2002	SANDS BROTHERS & CO., LTD.	CRD# 26816	NEW YORK, NY
	01/25/2000 - 11/21/2001	BLUESTONE CAPITAL CORP.	CRD# 13516	NEW YORK, NY
	08/05/1997 - 02/18/2000	J.W. BARCLAY & CO., INC.	CRD# 23350	WOODBRIDGE, NJ
	06/02/1997 - 08/28/1997	ANDREW, ALEXANDER, WISE & COMPANY INCORPORATED	CRD# 37710	NEW YORK, NY
	02/21/1997 - 05/16/1997	WHALE SECURITIES CO., L.P.	CRD# 13516	NEW YORK, NY
	10/03/1996 - 01/17/1997	LAIDLAW EQUITIES, INC.	CRD# 19018	NEW YORK, NY
	05/09/1996 - 11/11/1996	TOLUCA PACIFIC SECURITIES CORP.	CRD# 13875	BURBANK, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2021 - Present	Citigroup	VP Business Risk Officer	Y	New York, NY, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2019 - 03/2021	Unemployed	NA	N	Glen Cove, NY, United States
03/2018 - 10/2019	JPMORGAN SECURITIES LLC	VP - SUPERVISORY MANAGER	Y	BROOKLYN, NY, United States
04/2016 - 03/2018	MORGAN STANLEY	COMPLEX RISK OFFICER	Y	NEW YORK, NY, United States
05/2009 - 04/2016	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	Director of Supervision	Y	HOLBROOK, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



End of Report

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