



IAPD Report

JEANPAUL CHARLES SKOVRONCK

CRD# 2744738

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 5
Registration and Employment History	6 - 7
Disclosure Information	8



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JEANPAUL CHARLES SKOVRONCK (CRD# 2744738)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/17/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	ALEXANDER CAPITAL, L.P.	CRD# 40077	05/04/2012
IA	ALEXANDER CAPITAL WEALTH MANAGEMENT LLC	CRD# 157714	07/14/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **36** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LEGEND SECURITIES, INC.	44952	NEW YORK, NY	09/09/2010 - 05/15/2012
B	J.P. TURNER & COMPANY, L.L.C.	43177	STATEN ISLAND, NY	11/17/2003 - 09/13/2010
B	SHELMAN SECURITIES CORP.	39795	DALLAS, TX	04/04/2003 - 11/12/2003

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **36** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ALEXANDER CAPITAL, L.P.**
Main Address: 10 DRS JAMES PARKER BLVD
SUITE 202
RED BANK, NJ 07701
Firm ID#: 40077

Regulator	Registration	Status	Date
B FINRA	Corporate Securities Represent	Approved	05/04/2012
B FINRA	General Securities Principal	Approved	05/04/2012
B FINRA	General Securities Representative	Approved	05/04/2012
B FINRA	Compliance Officer	Approved	04/01/2019
B Alaska	Agent	Approved	08/23/2021
B Arizona	Agent	Approved	05/04/2012
B California	Agent	Approved	03/06/2014
B Colorado	Agent	Approved	05/22/2012
B Connecticut	Agent	Approved	04/16/2018
B Florida	Agent	Approved	05/04/2012
B Georgia	Agent	Approved	05/04/2012
B Hawaii	Agent	Approved	12/21/2018
B Illinois	Agent	Approved	05/04/2012



Qualifications

Regulator	Registration	Status	Date
B Indiana	Agent	Approved	05/04/2012
B Iowa	Agent	Approved	09/30/2016
B Kansas	Agent	Approved	04/17/2018
B Kentucky	Agent	Approved	05/04/2012
B Louisiana	Agent	Approved	08/24/2021
B Maryland	Agent	Approved	05/08/2012
B Massachusetts	Agent	Approved	05/04/2012
B Michigan	Agent	Approved	05/04/2012
B Minnesota	Agent	Approved	05/04/2012
B Missouri	Agent	Approved	05/04/2012
B New Hampshire	Agent	Approved	03/06/2014
B New Jersey	Agent	Approved	06/10/2014
B New Mexico	Agent	Approved	08/20/2021
B New York	Agent	Approved	05/04/2012
B North Carolina	Agent	Approved	05/07/2012
B Ohio	Agent	Approved	04/10/2018
B Oklahoma	Agent	Approved	03/07/2014
B Pennsylvania	Agent	Approved	05/04/2012
B Rhode Island	Agent	Approved	10/07/2014



Qualifications

Regulator	Registration	Status	Date
B South Carolina	Agent	Approved	08/10/2012
B Texas	Agent	Approved	05/04/2012
B Utah	Agent	Approved	04/10/2018
B Vermont	Agent	Approved	12/24/2018
B Virginia	Agent	Approved	08/19/2013
B Washington	Agent	Approved	05/04/2012
B West Virginia	Agent	Approved	05/09/2012
B Wisconsin	Agent	Approved	05/21/2012

Branch Office Locations

ALEXANDER CAPITAL, L.P.
 5379 ARTHUR KILL ROAD
 2ND FLOOR
 STATEN ISLAND, NY 10307

ALEXANDER CAPITAL, L.P.
 STATEN ISLAND, NY

Employment 2 of 2

Firm Name: **ALEXANDER CAPITAL WEALTH MANAGEMENT LLC**
 Main Address: 10 DRS. JAMES PARKER BLVD
 SUITE 202
 RED BANK, NJ 07701
 Firm ID#: 157714

Regulator	Registration	Status	Date
IA New York	Investment Adviser Representative	Approved	07/14/2021

Branch Office Locations

ALEXANDER CAPITAL WEALTH MANAGEMENT LLC
 STATEN ISLAND, NY





Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 Compliance Officer Examination (S14)	Series 14	01/02/2023
 General Securities Principal Examination (S24)	Series 24	10/01/2003

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	03/17/2011
 Corporate Securities Limited Representative Examination (S62)	Series 62	05/14/1997

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	03/10/2020
 Uniform Securities Agent State Law Examination (S63)	Series 63	05/23/1997

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/09/2010 - 05/15/2012	LEGEND SECURITIES, INC.	CRD# 44952	NEW YORK, NY
B	11/17/2003 - 09/13/2010	J.P. TURNER & COMPANY, L.L.C.	CRD# 43177	STATEN ISLAND, NY
B	04/04/2003 - 11/12/2003	SHELMAN SECURITIES CORP.	CRD# 39795	DALLAS, TX
B	11/15/2002 - 03/28/2003	BROCKINGTON SECURITIES, INC.	CRD# 37438	RONKONKOMA, NY
B	02/27/2002 - 07/25/2002	RAIKE FINANCIAL GROUP INC.	CRD# 38095	WOODSTOCK, GA
B	06/14/2001 - 03/12/2002	SALOMON GREY FINANCIAL CORPORATION	CRD# 43413	DALLAS, TX
B	05/15/2001 - 06/12/2001	CLARK STREET CAPITAL, INC.	CRD# 38304	LEVITTOWN, NY
B	06/09/1997 - 05/04/2001	ROYAL HUTTON SECURITIES CORP.	CRD# 14489	NEW YORK, NY
B	05/15/1997 - 06/12/1997	MEYERS POLLOCK ROBBINS, INC.	CRD# 13436	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2012 - Present	ALEXANDER CAPITAL WEALTH MANAGEMENT LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	NEW YORK, NY, United States
05/2012 - Present	ALEXANDER CAPITAL, L.P.	REGISTERED REP	Y	NEW YORK, NY, United States
11/2003 - Present	1009 CASTLETON AVE.	BROKER	Y	STATEN ISLAND, NY, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. GUARDIAN LIFE INSURANCE/BROKER OF RECORD AGENT- THIS IS INVESTMENT RELATED. DEVOTES 5 HOURS PER WEEK AFTER TRADING HOURS. COMPENSATION IS NOT BEING RECEIVED AT THIS TIME.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Alexander Capital LP
Allegations:	Customer alleges failure to execute a sales order.
Product Type:	Equity-OTC
Alleged Damages:	\$25,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	02/12/2019
Complaint Pending?	No
Status:	Denied
Status Date:	07/01/2020

Settlement Amount:

Individual Contribution Amount:

Broker Statement Customer never asked for security in question to be sold. Subsequent phone calls confirm this. This complaint is a result from an email, from a dissatisfied customer



and is without merit.

Disclosure 2 of 2

Reporting Source: Regulator

Employing firm when activities occurred which led to the complaint: ROYAL HUTTON SECURITIES CORPORATION

Allegations: MISREPRESENTATION; OMISSION OF FACTS; SUITABILITY; EXECUTIONS-FAILURE TO EXECUTE

Product Type:

Alleged Damages: \$150,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD - CASE #98-00386

Date Notice/Process Served: 02/23/1998

Arbitration Pending? No

Disposition: Withdrawn

Disposition Date: 08/25/1998

Disposition Detail: CASE IS CLOSED, WITHDRAWN WO/ PREJUDICE
** CASE WITHDRAWN WITHOUT PREJUDICE **

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: ROYAL HUTTON SECURITIES CORPORATION

Allegations: MISREPRESENTATION. AMOUNT OF ALLEDGED DAMAGES WAS 150,000

Product Type:

Alleged Damages: \$150,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 08/25/1998

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD; 98-00386



Date Notice/Process Served:	02/23/1998
Arbitration Pending?	No
Disposition:	Withdrawn
Disposition Date:	08/25/1998
Broker Statement	SETTLED BEFORE ARBIRATION WITH A PAYMENT OF 100,000 NOT PROVIDED



End of Report

This page is intentionally left blank.