

# IAPD Report BEATRIX EVA KONDOR

CRD# 2745221

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Please contact FINRA with any concerns.



### **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

#### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

#### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

#### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

#### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <a href="http://www.nasaa.org/IAPD/IARReports.cfm">http://www.nasaa.org/IAPD/IARReports.cfm</a>

#### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

#### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <a href="http://www.nasaa.org">http://www.nasaa.org</a>



# **Report Summary**

# BEATRIX EVA KONDOR (CRD# 2745221)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/25/2025**.

#### **CURRENT EMPLOYERS**

	Firm	CRD#	Registered Since
B	J.P. MORGAN SECURITIES LLC	CRD# 79	12/09/2022
IA	J.P. MORGAN SECURITIES LLC	CRD# 79	01/09/2023

#### 

This representative is currently registered in 26 SRO(s) and 53 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? No

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

# REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
В	BTIG, LLC	122225	Miami, FL	12/17/2012 - 10/14/2021
В	KNIGHT CAPITAL AMERICAS LLC	149823	ATLANTA, GA	07/02/2012 - 01/02/2013
B	KNIGHT CAPITAL AMERICAS, L.P.	38599	ATLANTA, GA	04/13/2004 - 07/02/2012

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

# DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



# Qualifications

# **REGISTRATIONS**

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **53** jurisdiction(s) and 26 SRO(s) through his or her employer(s).

## Employment 1 of 1

Firm Name:	J.P. MORGAN SECURITIES LLC
Main Address:	383 MADISON AVE NEW YORK, NY 10179
Firm ID#:	79

Regulator	Registration	Status	Date
B BOX Exchange LLC	General Securities Principal	Approved	12/09/2022
B BOX Exchange LLC	General Securities Representative	Approved	12/09/2022
BOX Exchange LLC	Securities Trader	Approved	12/09/2022
B BOX Exchange LLC	Securities Trader Principal	Approved	12/09/2022
B Cboe BYX Exchange, Inc.	General Securities Principal	Approved	12/09/2022
B Cboe BYX Exchange, Inc.	General Securities Representative	Approved	12/09/2022
B Cboe BYX Exchange, Inc.	Securities Trader	Approved	12/09/2022
B Cboe BYX Exchange, Inc.	Securities Trader Principal	Approved	12/09/2022
B Cboe BZX Exchange, Inc.	General Securities Principal	Approved	12/09/2022
B Cboe BZX Exchange, Inc.	General Securities Representative	Approved	12/09/2022
B Cboe BZX Exchange, Inc.	Securities Trader	Approved	12/09/2022
B Cboe BZX Exchange, Inc.	Securities Trader Principal	Approved	12/09/2022
B Cboe C2 Exchange, Inc.	General Securities Principal	Approved	12/09/2022



	Qualifications		
Regulator	Registration	Status	Date
Cboe C2 Exchange, Inc.	General Securities Representative	Approved	12/09/2022
Cboe C2 Exchange, Inc.	Securities Trader	Approved	12/09/2022
Cboe C2 Exchange, Inc.	Securities Trader Principal	Approved	12/09/2022
B Cboe EDGA Exchange, Inc.	General Securities Principal	Approved	12/09/2022
Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	12/09/2022
Cboe EDGA Exchange, Inc.	Securities Trader	Approved	12/09/2022
Cboe EDGA Exchange, Inc.	Securities Trader Principal	Approved	12/09/2022
Cboe EDGX Exchange, Inc.	General Securities Principal	Approved	12/09/2022
B Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	12/09/2022
Cboe EDGX Exchange, Inc.	Securities Trader	Approved	12/09/2022
Cboe EDGX Exchange, Inc.	Securities Trader Principal	Approved	12/09/2022
B Cboe Exchange, Inc.	General Securities Principal	Approved	12/09/2022
B Cboe Exchange, Inc.	General Securities Representative	Approved	12/09/2022
B Cboe Exchange, Inc.	Securities Trader	Approved	12/09/2022
B Cboe Exchange, Inc.	Securities Trader Principal	Approved	12/09/2022
FINRA	General Securities Principal	Approved	12/09/2022
FINRA	General Securities Representative	Approved	12/09/2022
FINRA	Securities Trader	Approved	12/09/2022
FINRA	Securities Trader Principal	Approved	12/09/2022



	Qualifications		
Regulator	Registration	Status	Date
B Investors' Exchange LLC	General Securities Principal	Approved	12/09/2022
B Investors' Exchange LLC	General Securities Representative	Approved	12/09/2022
B Investors' Exchange LLC	Securities Trader	Approved	12/09/2022
B Investors' Exchange LLC	Securities Trader Principal	Approved	12/09/2022
B Long-Term Stock Exchange, Inc.	General Securities Principal	Approved	12/09/2022
B Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	12/09/2022
B Long-Term Stock Exchange, Inc.	Securities Trader	Approved	12/09/2022
B Long-Term Stock Exchange, Inc.	Securities Trader Principal	Approved	12/09/2022
B MEMX LLC	General Securities Principal	Approved	12/09/2022
B MEMX LLC	General Securities Representative	Approved	12/09/2022
B MEMX LLC	Securities Trader	Approved	12/09/2022
B MEMX LLC	Securities Trader Principal	Approved	12/09/2022
B MIAX Emerald, LLC	General Securities Principal	Approved	12/09/2022
B MIAX Emerald, LLC	General Securities Representative	Approved	12/09/2022
B MIAX Emerald, LLC	Securities Trader	Approved	12/09/2022
B MIAX Emerald, LLC	Securities Trader Principal	Approved	12/09/2022
B MIAX PEARL, LLC	General Securities Principal	Approved	12/09/2022
B MIAX PEARL, LLC	General Securities Representative	Approved	12/09/2022

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Qualifications			
Regulator	Registration	Status	Date
B MIAX PEARL, LLC	Securities Trader	Approved	12/09/2022
B MIAX PEARL, LLC	Securities Trader Principal	Approved	12/09/2022
B MIAX Sapphire	General Securities Principal	Approved	09/23/2024
B MIAX Sapphire	General Securities Representative	Approved	09/23/2024
B MIAX Sapphire	Securities Trader	Approved	09/23/2024
B MIAX Sapphire	Securities Trader Principal	Approved	09/23/2024
B Miami International Securities Exchange, LLC	General Securities Principal	Approved	12/09/2022
B Miami International Securities Exchange, LLC	General Securities Representative	Approved	12/09/2022
B Miami International Securities Exchange, LLC	Securities Trader	Approved	12/09/2022
B Miami International Securities Exchange, LLC	Securities Trader Principal	Approved	12/09/2022
B NYSE American LLC	General Securities Principal	Approved	12/09/2022
B NYSE American LLC	General Securities Representative	Approved	12/09/2022
B NYSE American LLC	Securities Trader	Approved	12/09/2022
B NYSE American LLC	Securities Trader Principal	Approved	12/09/2022
B NYSE Arca, Inc.	General Securities Principal	Approved	12/09/2022
B NYSE Arca, Inc.	General Securities Representative	Approved	12/09/2022
B NYSE Arca, Inc.	Securities Trader	Approved	12/09/2022



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Nasdaq GEMX, LLC Securities Trader Principal Approved 12/09/2022   Nasdaq ISE, LLC General Securities Principal Approved 12/09/2022	Nasdaq GEMX, LLC	General Securities Representative	Approved	12/09/2022
Nasdaq ISE, LLC General Securities Principal Approved 12/09/2022	Nasdaq GEMX, LLC	Securities Trader	Approved	12/09/2022
	Nasdaq GEMX, LLC	Securities Trader Principal	Approved	12/09/2022
Nasdaq ISE, LLC   General Securities Representative   Approved   12/09/2022	Nasdaq ISE, LLC	General Securities Principal	Approved	12/09/2022
	Nasdaq ISE, LLC	General Securities Representative	Approved	12/09/2022



	Qualifications		
Regulator	Registration	Status	Date
B Nasdaq ISE, LLC	Securities Trader	Approved	12/09/2022
B Nasdaq ISE, LLC	Securities Trader Principal	Approved	12/09/2022
B Nasdaq MRX, LLC	General Securities Principal	Approved	12/09/2022
B Nasdaq MRX, LLC	General Securities Representative	Approved	12/09/2022
B Nasdaq MRX, LLC	Securities Trader	Approved	12/09/2022
B Nasdaq MRX, LLC	Securities Trader Principal	Approved	12/09/2022
B Nasdaq PHLX LLC	General Securities Principal	Approved	12/09/2022
B Nasdaq PHLX LLC	General Securities Representative	Approved	12/09/2022
B Nasdaq PHLX LLC	Securities Trader	Approved	12/09/2022
B Nasdaq PHLX LLC	Securities Trader Principal	Approved	12/09/2022
B Nasdaq Stock Market	General Securities Principal	Approved	12/09/2022
B Nasdaq Stock Market	General Securities Representative	Approved	12/09/2022
B Nasdaq Stock Market	Securities Trader	Approved	12/09/2022
B Nasdaq Stock Market	Securities Trader Principal	Approved	12/09/2022
B New York Stock Exchange	General Securities Principal	Approved	12/09/2022
B New York Stock Exchange	General Securities Representative	Approved	12/09/2022
B New York Stock Exchange	Securities Trader	Approved	12/09/2022
B New York Stock Exchange	Securities Trader Principal	Approved	12/09/2022
B Alabama	Agent	Approved	12/09/2022



	Qualifications		
Regulator	Registration	Status	Date
Alaska	Agent	Approved	12/09/2022
B Arizona	Agent	Approved	12/09/2022
B Arkansas	Agent	Approved	01/31/2023
B California	Agent	Approved	12/09/2022
B Colorado	Agent	Approved	12/09/2022
B Connecticut	Agent	Approved	12/09/2022
B Delaware	Agent	Approved	12/09/2022
B District of Columbia	Agent	Approved	12/09/2022
B Florida	Agent	Approved	12/09/2022
IA Florida	Investment Adviser Representative	Approved	01/09/2023
B Georgia	Agent	Approved	12/09/2022
B Hawaii	Agent	Approved	12/09/2022
B Idaho	Agent	Approved	12/09/2022
B Illinois	Agent	Approved	12/09/2022
B Indiana	Agent	Approved	12/09/2022
B lowa	Agent	Approved	12/09/2022
B Kansas	Agent	Approved	12/09/2022
B Kentucky	Agent	Approved	12/09/2022
B Louisiana	Agent	Approved	12/09/2022

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	Qualificati	ons	
Regulator	Registration	Status	Date
Maine	Agent	Approved	12/09/2022
Maryland	Agent	Approved	12/09/2022
B Massachusetts	Agent	Approved	12/09/2022
B Michigan	Agent	Approved	12/09/2022
Minnesota	Agent	Approved	12/09/2022
Mississippi	Agent	Approved	12/09/2022
Missouri	Agent	Approved	12/09/2022
Montana	Agent	Approved	12/09/2022
8 Nebraska	Agent	Approved	12/09/2022
B Nevada	Agent	Approved	12/09/2022
8 New Hampshire	Agent	Approved	12/09/2022
8 New Jersey	Agent	Approved	12/09/2022
New Mexico	Agent	Approved	12/09/2022
New York	Agent	Approved	12/09/2022
North Carolina	Agent	Approved	12/09/2022
North Dakota	Agent	Approved	12/09/2022
Ohio	Agent	Approved	12/16/2022
3 Oklahoma	Agent	Approved	12/09/2022



Qualifications				
Regulator	Registration	Status	Date	
Oregon	Agent	Approved	12/09/2022	
Pennsylvania	Agent	Approved	12/09/2022	
Puerto Rico	Agent	Approved	12/09/2022	
Rhode Island	Agent	Approved	12/09/2022	
South Carolina	Agent	Approved	12/09/2022	
South Dakota	Agent	Approved	12/09/2022	
Tennessee	Agent	Approved	12/09/2022	
B Texas	Agent	Approved	12/09/2022	
A Texas	Investment Adviser Representative	Restricted Approval	01/09/2023	
B Utah	Agent	Approved	12/09/2022	
B Vermont	Agent	Approved	12/09/2022	
B Virgin Islands	Agent	Approved	12/09/2022	
Virginia	Agent	Approved	12/09/2022	
Washington	Agent	Approved	12/09/2022	
West Virginia	Agent	Approved	12/09/2022	
Wisconsin	Agent	Approved	12/09/2022	
Wyoming	Agent	Approved	12/09/2022	

### **Branch Office Locations**

#### J.P. MORGAN SECURITIES LLC

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# Qualifications

205 Royal Palm Way Palm Beach, FL 33480



# Qualifications

#### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

# This individual has passed 1 principal/supervisory exam, 5 general industry/product exams, and 3 state securities law exams.

## **Principal/Supervisory Exams**

	Exam	Category	Date
В	General Securities Principal Examination (S24)	Series 24	10/12/2022

#### **General Industry/Product Exams**

Exam	Category	Date
B Securities Trader Exam (S57TO)	Series 57TO	01/02/2023
B General Securities Representative Examination (S7TO)	Series 7TO	10/12/2022
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B Limited Representative-Equity Trader Exam (S55)	Series 55	06/19/2003
B General Securities Representative Examination (S7)	Series 7	08/16/1996

#### **State Securities Law Exams**

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	01/06/2023
	Uniform Combined State Law Examination (S66)	Series 66	04/15/2002
В	Uniform Securities Agent State Law Examination (S63)	Series 63	10/10/1996



# **PROFESSIONAL DESIGNATIONS**

This section details that the representative has reported **0** professional designation(s).

No information reported.



# **Registration & Employment History**

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	<b>Registration Dates</b>	Firm Name	ID#	Branch Location
B	12/17/2012 - 10/14/2021	BTIG, LLC	CRD# 122225	Miami, FL
B	07/02/2012 - 01/02/2013	KNIGHT CAPITAL AMERICAS LLC	CRD# 149823	ATLANTA, GA
B	04/13/2004 - 07/02/2012	KNIGHT CAPITAL AMERICAS, L.P.	CRD# 38599	ATLANTA, GA
IA	11/18/1999 - 03/01/2004	MERRILL LYNCH PIERCE FENNER & SMITH INC.	CRD# 7691	ATLANTA, GA
B	08/19/1996 - 03/01/2004	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY

#### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2022 - Present	JPMORGAN CHASE BANK NA	ED - SC BANKER	Y	PALM BEACH, FL, United States
11/2022 - Present	JPMORGAN SECURITIES LLC	ED - SC BANKER	Y	PALM BEACH, FL, United States
05/2022 - 11/2022	NRT LLC	INDEPENDENT CONTRACTOR	Ν	PALM BEACH, FL, United States
11/2021 - 04/2022	UNEMPLOYED	UNEMPLOYED	Ν	Palm Beach, FL, United States
01/2013 - 10/2021	BTIG LLC	MANAGING DIRECTOR	Y	NEW YORK, NY, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



# End of Report

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