



IAPD Report

LONNY RYAN BOHLING

CRD# 2756366

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

LONNY RYAN BOHLING (CRD# 2756366)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/20/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	WOODBURY FINANCIAL SERVICES, INC.	421	RALSTON, NE	03/01/2019 - 01/19/2024
IA	WOODBURY FINANCIAL SERVICES, INC.	421	RALSTON, NE	03/01/2019 - 01/19/2024
IA	QUESTAR ASSET MANAGEMENT, INC.	133358	RALSTON, NE	01/19/2017 - 03/01/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **11** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	01/19/2024
B	Arizona	Agent	Approved	01/19/2024
B	Colorado	Agent	Approved	01/19/2024
B	Iowa	Agent	Approved	01/19/2024
B	Minnesota	Agent	Approved	01/19/2024
B	Missouri	Agent	Approved	01/19/2024
B	Nebraska	Agent	Approved	01/19/2024
IA	Nebraska	Investment Adviser Representative	Approved	01/19/2024
B	Nevada	Agent	Approved	01/19/2024
B	North Carolina	Agent	Approved	01/19/2024
B	South Dakota	Agent	Approved	01/19/2024
B	Tennessee	Agent	Approved	01/19/2024
B	Washington	Agent	Approved	02/12/2025



Qualifications

Branch Office Locations

OSAIC WEALTH, INC.
11205 WRIGHT CIRCLE
SUITE 220
OMAHA, NE 68144



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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B General Securities Representative Examination (S7)	Series 7	07/30/1996
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State Securities Law Exams

Exam	Category	Date
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IA Uniform Investment Adviser Law Examination (S65)	Series 65	12/22/1999
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B Uniform Securities Agent State Law Examination (S63)	Series 63	08/01/1996
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/01/2019 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	RALSTON, NE
IA	03/01/2019 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	RALSTON, NE
IA	01/19/2017 - 03/01/2019	QUESTAR ASSET MANAGEMENT, INC.	CRD# 133358	RALSTON, NE
B	01/13/2017 - 03/01/2019	QUESTAR CAPITAL CORPORATION	CRD# 43100	RALSTON, NE
B	11/11/2016 - 12/27/2016	SECURITIES AMERICA, INC.	CRD# 10205	OMAHA, NE
IA	01/02/2009 - 12/16/2016	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	OMAHA, NE
B	09/22/1999 - 12/16/2016	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	OMAHA, NE
IA	12/30/1999 - 01/02/2009	RAYMOND JAMES FINANCIAL SERVICES	CRD# 6694	OMAHA, NE
B	06/16/1998 - 09/10/1999	LM FINANCIAL PARTNERS, INC.	CRD# 30958	NEW ORLEANS, LA
B	08/01/1996 - 06/22/1998	EDWARD D. JONES & CO., L.P.	CRD# 250	ST. LOUIS, MO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	RALSTON, NE, United States
03/2019 - Present	WOODBURY FINANCIAL SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	RALSTON, NE, United States
06/1984 - Present	INVESTMENT MANAGERS, INC.	PRESIDENT/INSURA NCE AGENT	Y	OMAHA, NE, United States
03/2019 - 01/2024	WOODBURY FINANCIAL SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	RALSTON, NE, United States
01/2017 - 03/2019	QUESTAR ASSET MANAGEMENT	REGISTERED REPRESENTATIVE	Y	MINNEAPOLIS, MN, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2017 - 03/2019	QUESTAR CAPITAL CORPORATION	REGISTERED REPRESENTATIVE	Y	MINNEAPOLIS, MN, United States
11/2016 - 12/2016	SECURITIES AMERICA ADVISORS	IAR	Y	OMAHA, NE, United States
11/2016 - 12/2016	SECURITIES AMERICA, INC.	REGISTERED REP	Y	OMAHA, NE, United States
01/2009 - 11/2016	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	IAR	Y	OMAHA, NE, United States
09/1999 - 11/2016	RAYMOND JAMES FINANCIAL SERVICES	FINANCIAL ADVISOR	Y	OMAHA, NE, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. INVESTMENT MANAGERS, INC.

POSITION: President/Owner. NATURE: President/Owner. INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 2 START DATE: 12/23/2016
 ADDRESS: 5370 South 72nd Street, Ralston NE 68127, United States
 DESCRIPTION: President/Owner - file corporate returns, and accounting. Overall run business in general.

2. INVESTMENT MANAGERS, INC.

POSITION: Owner NATURE: Sub S Corporation INVESTMENT RELATED: Yes NUMBER OF HOURS: 250 SECURITIES TRADING HOURS: 150 START DATE: 05/01/2000
 ADDRESS: 5470 So. 72nd St., Ralston NE 68127, United States
 DESCRIPTION: I am a sole Registered Rep. This is the DBA if doing business for my securities business as well as my fixed insurance.

3. THE BETTY J. BOHLING IRRV TRUST UAD 01/31/20

POSITION: Trustee NATURE: Trust owning my mother's (Betty Jean Bohling) home which is now being rented as my mother is in a nursing home with [REDACTED]. I am the trustee as I guaranteed my mother that her home would not be sold as long as she was alive. INVESTMENT RELATED: Yes NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2022
 ADDRESS: 11223 Kentucky Rd., Papillion NE 68133, United States
 DESCRIPTION: Accounting and management. I collect rental payments and pay expenses out of a Trust a/c. I direct repairs and respond to tenants requests as needed. Home in great shape so very few hours are required.

4. TFC REAL ESTATE, LLC

POSITION: Principal owner NATURE: This is an LLC, TFC Real Estate, LLC and the location is as above. We will be moving into this building around March/April 2026 time frame. Currently my business location remains 5307 So. 72nd St., Ralston, NE 68127 INVESTMENT RELATED: Yes NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 0 START DATE: 06/04/2025
 ADDRESS: 11205 Wright Circle, Omaha NE 68144, United States
 DESCRIPTION: I will be the principal owner and manager of my office building. My son, Trevor R. Bohling who is currently getting licensed with Osaic and will be my future successor, is also a partner in TFC Real Estate, LLC. After hours duties regarding admin



Registration & Employment History



OTHER BUSINESS ACTIVITIES

and maintenance decisions.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Termination	1
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Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Individual
Firm Name:	EDWARD D. JONES & CO., L.P.
Termination Type:	Discharged
Termination Date:	05/07/1998
Allegations:	MR. BOHLING PREPARED TAX FORMS FOR CUSTOMERS AND ACCEPTED COMPENSATION FOR THE PREPARATION OF THE FORMS WITHOUT NOTIFYING OR OBTAINING APPROVAL FROM OUR FIRM AS REQUIRED BY FIRM POLICIES.
Product Type:	No Product
Other Product Types:	
Broker Statement	TERMINATION OF EMPLOYMENT AS REPORTED ON THE U5, I PREPARED TAX RETURNS FOR LIMITED CUSTOMERS AND ACCEPTED COMPENSATION FROM THREE FOR THE PREPARATION. ALTHOUGH I CONTEND EDWARD D. JONES WAS AWARE OF THIS PREPARATION, JONES CONCLUDED THEY WERE NOT AND INITIATED TERMINATION OF MY EMPLOYMENT.



End of Report

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